

Program Questions: Economic Transformation Grant Program

- Q_3784** Is this project located in an Economic Transformation Area designated in 2014?
- Q_3785** If the project is in an Economic Transformation Area designated in 2014, but not at the site of a closed correctional or juvenile detention facility, is the business operating as a retail business, a real estate development company, or a professional services firm licensed by the State?
- Q_928** Project Street Address: if the project does not have a definite street address, please skip to "Project without a Street Address" below.
- Q_565** Project City
- Q_972** Project county or counties.
- Q_568** Project State
•Choice Options:
AL,AK,AZ,AR,CA,CO,CT,DE,FL,GA,HI,ID,IL,IN,IA,KS,KY,LA,ME,MD,MA,MI,MN,MS,MO,MT,NE,NV,NH,NJ,NM,NY,NC,ND,OH,OK,OR,PA,RI,SC,SD,TN,TX,UT,VT,VA,WA,WV,WI,WY,AS,DC,FM,GU,MH,MP,PW,PR,VI
- Q_971** Project Without a Street Address: please enter a description of the project location. Include project starting/ending street addresses, cities & zip codes if applicable.
- Q_572** Project Latitude
- Q_573** Project Longitude
- Q_184** NYS Assembly District where the project is located. (please enter a number between 1 and 150 that represents your Assembly District)
- Q_190** NY Senate District where the project is located. (please enter a number between 1 and 63 that represents your Senate District)
- Q_1034** Project ZIP Code. (please use ZIP+4 if known)
- Q_616** For more than one project location, please provide full address(es) for each location. If Not Applicable, indicate "NA".
- Q_550** If you are a DBA, what is your DBA name?
- Q_549** Type of Applicant (select all that apply)
•Choice Options: Federal, State, County, City, Town, Village, Tribal, School District, County or Town Improvement District, District Corporation, For-Profit, Not-For-Profit, Individual, S Corporation, C Corporation, IDA, LDC,LLC,LLP, Public Authority, Public Benefit Corp, Sole-Proprietorship, HDFC,BID, LP,Boards Of Cooperative Educational Services (BOCES), Fire District, Regional Planning and Development Board, Public Library, Association Library,College/University/Community College
- Q_556** Select an applicant ID type from the list below that you normally use to identify your organization on application forms.
•Choice Options: Charity Reg #, Duns Number, Federal Tax ID Number, NYS Unemployment Insurance Tax Number, Social Security Number, NYS Vendor Identification Number (SFS)
- Q_969** If you are a business, have you been certified as a New York State Minority or Women-owned Business Enterprise (MWBE)?
•Choice Options: Yes, No, N/A
- Q_546** Legal Name of Applicant
- Q_551** Applicant Street Address
- Q_552** Applicant City
- Q_553** Applicant State
- Q_554** Applicant ZIP Code. (please use ZIP+4 if known)
- Q_651** Applicant Telephone Number, (please include area code)

- Q_555** Applicant Email Address
- Q_547** Contact First Name
- Q_1049** Contact Last Name
- Q_1050** Contact Title
- Q_562** Primary Contact Phone Number. (please include area code)
- Q_1052** Additional Project Contact First Name
- Q_1053** If project review pursuant to the National Environmental Policy Act (NEPA) has been completed has a Finding of No Significant Impact or Record of Decision been issued?
 • Choice Options: Yes, No, N/A
- Q_970** Additional Project Contact Last Name
- Q_1051** Additional Contact Title
- Q_561** Additional Contact Email Address
- Q_4199** Please select the primary sector or characterization that best defines this project.
 • Choice Options: Agriculture, Arts/Culture/Cultural Institutions, Biomedical/Medical, Community Development, Education/College/University, Energy, Environment, Financial Services, Food/Beverage, Healthcare, Hospitality, Housing, Industrial/Manufacturing, Information Technology Services/Communications, Infrastructure, Municipal/Government, Office, Research & Development, Tourism/Travel, Transportation, Water/Wastewater/Sewer, Waterfront Revitalization, Workforce Development, Business Development, Technology Commercialization
- Q_4198** Please select the secondary sector or characterization that best defines this project.
 • Choice Options: Agriculture, Arts/Culture/Cultural Institutions, Biomedical/Medical, Community Development, Education/College/University, Energy, Environment, Financial Services, Food/Beverage, Healthcare, Hospitality, Housing, Industrial/Manufacturing, Information Technology Services/Communications, Infrastructure, Municipal/Government, Office, Research & Development, Tourism/Travel, Transportation, Water/Wastewater/Sewer, Waterfront Revitalization, Workforce Development, Business Development, Technology Commercialization
- Q_4200** Does your project provide opportunities for Veterans' to participate in the workforce, or improve services to the Veterans' and military families in New York?
- Q_4201** If Yes, please explain how your project impacts the Veterans' and military families in New York.
- Q_6048** Does your project advance downtown revitalization?
- Q_6047** If Yes, please detail how it will attract and retain residents, visitors and businesses and transform neighborhoods.
- Q_575** Project Description. Concisely describe the project, indicating the location, what will be planned, designed, acquired, and/or constructed, the issues/opportunities to be addressed, and expected outcomes and deliverables. Additional details will be collected later in the application process.
- Q_976** Statement of Need
- Q_930** Explain what makes your project a regional economic priority - for example creates jobs, economic investment, sustainability and community revitalization, government efficiency or consolidation etc.
- Q_929** Current State of Project Development (i.e. planning, preliminary engineering, final design, etc. You may enter N/A for non-project related applications)
- Q_975** Estimated Project Timeline: including project start/completion dates, estimates for design, permitting and construction or other major steps. (You may enter N/A for non-Project related applications)
- Q_580** Provide a list of all federal, state, and local reviews, approvals, or permits needed or completed, including the dates when they are expected to be completed or were completed. If Not Applicable, indicate "NA".
- Q_4162** Attach 2012 financial documents.
 For privately owned companies, IDAs, not-for-profits, educational institutions, start-ups, municipalities, or any business or organization other than publicly traded companies, please provide audited or reviewed financials, or compiled financials with signed tax returns, for 2012. For publicly traded companies please provide Form 10-K for 2012.
- Q_3121** Attach 2013 financial documents
 For privately owned companies, IDAs, not-for-profits, educational institutions, start-ups, municipalities, or any business or organization other than publicly traded companies, please provide audited or reviewed financials, or compiled financials with signed tax returns, for 2013. For publicly traded companies, please provide Form 10-K for 2013.

- Q_4163** Attach 2014 financial documents
For privately owned companies, IDAs, not-for-profits, educational institutions, start-ups, municipalities, or any business or organization other than publicly traded companies, please provide audited or reviewed financials, or compiled financials with signed tax returns, for 2014. For publicly traded companies, please provide Form 10-K for 2014.
- Q_4165** Attach interim financials for 2015
For privately owned companies, IDAs, not-for-profits, educational institutions, start-ups, municipalities, or any business or organization other than publicly traded companies, please provide interim financials for 2015, certified by a company officer. For publicly traded companies, please provide the most recent Form 10-Q.
- Q_2331** Attach an organizational chart and/or description of ownership structure including the percentage of ownership for each individual entity.
- Q_2333** If review of the project has been completed pursuant to State Environmental Quality Review Act (SEQRA) or National Environmental Policy Act (NEPA), please submit the Negative Declaration or Findings Statement, or Finding of No Significant Impact or Record of Decision.
- Q_2332** Attach a short or long Environmental Assessment Form
Project review pursuant to the State Environmental Quality Review Act (SEQRA) must be completed prior to the award of any state funds. For projects classified as Type I or Unlisted actions, submit a short or long Environmental Assessment Form. See "View Help" for links to forms.
- Q_3064** Net New Job Information
- Q_1339** Applicant website
- Q_1142** Indicate the Primary North American Industrial Classification System (NAICS) Code at the PROJECT LOCATION.
- Q_1410** Briefly describe what the project involves in terms of product(s) or services(s) that will be provided at the project location.
- Q_1409** What is the first project year? (e.g. the year equipment will be ordered or when first expenditures are expected to be made)
- Q_1371** Does the project involve demolition or rehabilitation of a building(s) more than 50 years old and/or demolition or rehabilitation of a building(s) or new construction on or contiguous to a site listed on or eligible for listing on the State or National Registers of Historic Places? Indicate Y/N/NA. If Y, click "Help" for more information.
- Q_1233** What tasks and steps need to be completed before the project can begin (e.g. obtaining permits, licenses, hiring staff, etc.)
- Q_1043** Is the project owner/occupant/operator or any facilities which are under the supervision of the project owner/occupant/operator in violation of any federal, state or local environmental or other laws, or listed on the registry of Inactive Hazardous Waste Disposal Sites? Indicate Y/N/NA. If "Y", explain.
- Q_1246** Describe the business challenges or opportunities in the company that are driving the project.
- Q_1041** Provide a list of all federal, state, and local environmental and other reviews, approvals, or permits needed, including the dates by when they are expected. If Not Applicable, indicate "NA".
- Q_3006** Qualified Investments
- Q_3017** Qualified Investments Building Acquisition
- Q_3030** Qualified Investments Building Acquisition
- Q_3007** Qualified Investments Year 1
- Q_3008** Qualified Investments Year 1
- Q_3009** Qualified Investments Year 1
- Q_3010** Qualified Investments Year 1
- Q_3012** Qualified Investments Year 1

Q_3014 Qualified Investments Year 1

Q_3015 Qualified Investments Year 1

Q_3018 Qualified Investments Building Renovation Year 2

Q_3019 Qualified Investments New Construction Year 2

Q_3020 Qualified Investments Production Machinery Year 2

Q_3022 Qualified Investments Furniture, fixtures Year 2

Q_3024 Qualified Investments Land Acquisition * Year 2

Q_3027 Qualified Investments Demolition Year 2

Q_3028 Qualified Investments Year 2

Q_3031 Qualified Investments Building Renovation Year 3

Q_3032 Qualified Investments New Construction Year 3

Q_3033 Qualified Investments Production Machinery Year 3

Q_3034 Qualified Investments Furniture, fixtures Year 3

Q_3036 Qualified Investments Land Acquisition * Year 3

Q_3039 Qualified Investments Demolition Year 3

Q_3040 Qualified Investments Year 3

Q_3043 Qualified Investments Building Renovation Year 4

Q_3042 Qualified Investments Building Acquisition

Q_3044 Qualified Investments New Construction Year 4

Q_3045 Qualified Investments Production Machinery Year 4

Q_3046 Qualified Investments Furniture, fixtures Year 4

Q_3048 Qualified Investments Land Acquisition * Year 4

Q_1415 Indicate what the average percentage is of the applicants' total employees' gross wages paid in benefits (exclude mandated benefits such as Federal Insurance Contributions Act (FICA), Medicare tax, unemployment insurance or workers' compensation insurance).

Q_3050 Qualified Investments Demolition Year 4

Q_3051 Qualified Investments Year 4

- Q_3053** Qualified Investments Building Acquisition
- Q_3054** Qualified Investments Building Renovation Year 5
- Q_3055** Qualified Investments New Construction Year 5
- Q_3056** Qualified Investments Production Machinery Year 5
- Q_3057** Qualified Investments Furniture, fixtures Year 5
- Q_3059** Qualified Investments Land Acquisition * Year 5
- Q_3061** Qualified Investments Demolition Year 5
- Q_3062** Qualified Investments Year 5
- Q_1242** Describe how the capital investment for which you are seeking funding will make it possible to reach your business goals. For example recycling, pollution prevention or waste reduction goals, changes to your businesses profitability, sales, market share, productivity and sales per employee, cycle time reduction, quality, cost saving, etc.
- Q_2195** Indicate how many existing full-time equivalent jobs the applicant employs in all NYS LOCATIONS.
- Q_2199** Indicate the average annual wage for existing full-time equivalent employees the applicant employs in all NYS Locations as of the date this application is finalized.
- Q_1365** Indicate how many of the total existing full-time equivalent employees in New York State are contract employees.
- Q_2196** Indicate how many existing full-time equivalent jobs the applicant employs at all PROJECT LOCATION(S).
- Q_1186** Indicate the average annual wage for the employees at the Project Location as of the date this application is finalized.
- Q_1366** Indicate how many of the total existing full-time equivalent employees at the Project Location are contract employees.
- Q_1196** How many of the existing jobs at the project location(s) are at risk if the project does not go forward.
- Q_1375** What percentage of the project's employees are residents of NYS?
- Q_4167** In the Table below, please indicate how many new full-time equivalent employees the company will create by general category/job type.
 Definition of full-time equivalent employee: (i) a full-time, permanent, private-sector employee on the Recipient's payroll, who has worked at the Project Location for a minimum of 35 hours per week for not less than four consecutive weeks and who is entitled to receive the usual and customary fringe benefits extended by Recipient to other employees with comparable rank and duties; or (ii) two part-time, permanent, private-sector employees on Recipient's payroll, who have worked at the Project Location for a combined minimum of 35 hours per week for not less than four consecutive weeks and who are entitled to receive the usual and customary fringe benefits extended by Recipient to other employees with comparable rank and duties.
 For the Excelsior Jobs Program: a full-time permanent employee must be on the payroll for at least six months of a year in order to qualify for benefits. Jobs transferred from employment with another business located in the State including from a related person in this State are not net new jobs for purposes of the employment commitment.
 Indicate the year employment begins under Year 1 here:
- Q_3065** Net New Job Information
- Q_3066** Net New Job Information
- Q_3067** Net New Job Information
- Q_3068** Net New Job Information
- Q_3069** Net New Job Information
- Q_3070** Net New Job Information

Q_3071 Net New Job Information Job Type/Category

Q_3072 Net New Job Information 2 Avg Gross Wages/Job Type

Q_3073 Net New Job Information 2 Year 1 -

Q_3074 Net New Job Information 2 Year 2 -

Q_3075 Net New Job Information 2 Year 3 -

Q_3076 Net New Job Information 2 Year 4 -

Q_3077 Net New Job Information 2 Year 5 -

Q_3078 Net New Job Information (Enter Cumulatively) Job Type/Category

Q_3079 Net New Job Information (Enter Cumulatively) 3 Avg Gross Wages/Job Type

Q_3080 Net New Job Information (Enter Cumulatively) 3 Year 1 -

Q_3081 Net New Job Information (Enter Cumulatively) 3 Year 2 -

Q_3082 Net New Job Information (Enter Cumulatively) 3 Year 3 -

Q_3083 Net New Job Information (Enter Cumulatively) 3 Year 4 -

Q_3084 Net New Job Information (Enter Cumulatively) 3 Year 5 -

Q_3086 Net New Job Information (Enter Cumulatively) Job Type/Category

Q_3089 Net New Job Information (Enter Cumulatively) 4 Avg Gross Wages/Job Type

Q_3090 Net New Job Information (Enter Cumulatively) 4 Year 1 -

Q_3091 Net New Job Information (Enter Cumulatively) 4 Year 2 -

Q_3092 Net New Job Information (Enter Cumulatively) 4 Year 3 -

Q_3093 Net New Job Information (Enter Cumulatively) 4 Year 4 -

Q_3094 Net New Job Information (Enter Cumulatively) 4 Year 5 -

Q_3095 Net New Job Information (Enter Cumulatively) Job Type/Category

Q_3096 Net New Job Information (Enter Cumulatively) 5 Avg Gross Wages/Job Type

Q_3097 Net New Job Information (Enter Cumulatively) 5 Year 1 -

Q_3098 Net New Job Information (Enter Cumulatively) 5 Year 2 -

Q_3099 Net New Job Information (Enter Cumulatively) 5 Year 3 -

- Q_3100** Net New Job Information (Enter Cumulatively) 5 Year 4 -
- Q_3101** Net New Job Information (Enter Cumulatively) 5 Year 5 -
- Q_2372** Will the proposed project result in the creation of construction jobs? If so, estimate the number of construction jobs to be created. Enter zero if not applicable.
- Q_1059** Does the proposed project use, maintain, or improve existing infrastructure? Y/N/Not Relevant. Please explain all responses.
- Q_1060** Is the proposed project located in a municipal center? Y/N/Not Relevant. Please explain all responses.
- Q_1061** Is the proposed project located in a developed area or an area designated for concentrated infill development in a municipally approved comprehensive land use plan, local waterfront revitalization plan and/or brownfield opportunity area plan? Y/N/Not Relevant. Please explain all responses.
- Q_1063** Will the proposed project foster mixed land uses and compact development, downtown revitalization, Brownfield redevelopment, the enhancement of beauty in public spaces, the diversity and affordability of housing in proximity to places of employment, recreation and commercial development and the integration of all income and age groups? Y/N/Not Relevant. Please explain all responses.
- Q_1064** Will the proposed project provide mobility through transportation choices including improved public transportation and reduced automobile dependency? Y/N/Not Relevant. Please explain all responses.
- Q_1062** Will the proposed project protect, preserve and enhance the State's resources, including agricultural land, forests, surface and groundwater, air quality, recreation and open space, scenic areas, and significant historic and archeological resources? Y/N/Not Relevant. Please explain all responses.
- Q_1070** Does the company have any contingent liabilities that could have a material effect on its solvency? Indicate "Yes" or "No". If your answer is "Yes", please explain in space provided.
- Q_1071** Has the company, its affiliates or any member of its management or any other concern with which such members of management have been officers or directors, ever been involved in bankruptcy, creditor's rights, or receivership proceedings or sought protection from creditors or has any senior manager or principal of the company ever been charged with or convicted of any felony, or misdemeanor other than minor traffic offenses, or been a member of the management, an owner or majority stockholder of any firm or corporation convicted of any felony? Indicate "Yes" or "No". If your answer is "Yes", please provide an explanation.
- Q_1072** Are there any outstanding judgments or liens pending against the company other than liens in the normal course of business? Indicate "Yes" or "No". If your answer is "Yes", please provided explanation in space provided.
- Q_1038** By entering your name in the box below, you certify that you are authorized on behalf of the applicant and its governing body to submit this application. You further certify that all of the information contained in this Application and in all statements, data and supporting documents which have been made or furnished for the purpose of receiving assistance for the project described in this application, are true, correct and complete to the best of your knowledge and belief. You acknowledge that offering a written instrument knowing that the written instrument contains a false statement or false information, with the intent to defraud the State or any political subdivision, public authority or public benefit corporation of the State, with the knowledge or belief that it will be filed with or recorded by the State or any political subdivision, public authority or public benefit corporation of the State, constitutes a crime under New York State Law.
- Q_1037** By entering your name in the box below, you certify and agree that you are authorized on behalf of the applicant and its governing body to commit the applicant to comply with the requirements of Article 15-A of the New York State Executive Law, Participation By Minority Group Members and Women With Respect To State Contracts by providing opportunities for Minority-owned Business Enterprise (MBE)/Woman-owned Business Enterprise (WBE) participation. You further certify that the applicant will maintain such records and take such actions necessary to demonstrate such compliance throughout the completion of the project.