

# Program Questions: Economic Transformation Grant Program

**Q\_3784** Is this project located in an Economic Transformation Area designated in 2014?

- Question Header: Economic Transformation Program
- Question Type: Threshold
- Required: Yes
- Answer Type: Yes/No

• Scoring Tips:

An Economic Transformation Area (ETA) is an area within a specific radius of a facility closed by the Department of Corrections and Community Supervision (DOCCS) in July 2014.

If you are not in an ETA, you are not eligible to participate in the Economic Transformation Program.

The designation of an Economic Transformation Area is either at the closed facility or limited to within a certain radius of the closed facility. The designations are as follows:

Facility Name	Location (County)	Area
Chateaugay Correctional Facility, Chateaugay, (Franklin)		15 mi. radius
Butler Correctional Facility, Butler and Wolcott, (Wayne)		15 mi. radius
Monterey Shock Incarceration Facility, Orange, (Schuyler)		10 mi. radius
Mount McGregor Correctional Facility, Wilton and Moreau, (Saratoga)		10 mi. radius

**Q\_3785** If the project is in an Economic Transformation Area designated in 2014, but not at the site of a closed correctional or juvenile detention facility, is the business operating as a retail business, a real estate development company, or a professional services firm licensed by the State?

- Question Type: Threshold
- Required: Yes
- Answer Type: Yes/No

• Scoring Tips:

If your business is operating as a retail business, a real estate development company, or a professional services firm licensed by the State you are not eligible to participate in the Economic Transformation Program unless the project is located at a one of the correctional facilities closed in July 2014 (listed below).

The Department of Corrections and Community Services (DOCCS) announced four closures:

Butler Correctional Facility, Towns of Butler and Wolcott, County of Wayne  
Chateaugay Correctional Facility, Town of Chateaugay, County of Franklin  
Monterey Shock Incarceration Facility, Town of Orange, County of Schuyler  
Mount McGregor Correctional Facility, Towns of Wilton and Moreau, County of Saratoga

**Q\_928** Project Street Address: if the project does not have a definite street address, please skip to "Project without a Street Address" below.

- Question Type: Location
- Required: No
- Answer Type: Short Answer

**Q\_565** Project City

- Question Type: Location
- Required: No
- Answer Type: Short Answer

**Q\_972** Project county or counties.

- Question Type: Location
- Required: Yes
- Answer Type: Short Answer

**Q\_568** Project State

- Question Type: Location
- Required: No
- Answer Type: Single Choice Dropdown
- Choice Options:  
AL,AK,AZ,AR,CA,CO,CT,DE,FL,GA,HI,IL,IN,IA,KS,KY,LA,ME,MD,MA,MI,MN,MS,MO,MT,NE,NV,NH,NJ,NM,NY,NC,ND,OH,OK,OR,PA,RI,SC,SD,TN,TX,UT,VT,VA,WA,WV,WI,WY,AS,DC,FM,GU,MH,MP,PW,PR,VI
- Default Answer: NY

**Q\_971** Project Without a Street Address: please enter a description of the project location. Include project starting/ending street addresses, cities & zip codes if applicable.

- Question Type: Location
- Required: No
- Answer Type: Short Answer

**Q\_572** Project Latitude

- Question Type: Location
- Required: Yes
- Answer Type: Decimal

• Scoring Tips:

For projects that span multiple locations, please enter the (latitude/longitude) of your organization's home or central office in New York State.

[Click HERE to determine Latitude](#)

[Click HERE for a secondary site to determine Latitude](#)

Your latitude must be between +40 and +49.99.

**Q\_573** Project Longitude

- Question Type: Location
- Required: Yes
- Answer Type: Decimal

• Scoring Tips:

For projects that span multiple locations, please enter the (latitude/longitude) of your organization's home or central office in New York State.

[Click HERE to determine Longitude](#)

[Click HERE for a secondary site to determine Longitude](#)

Your longitude must be between -70 and -79.9.

**Q\_184** NYS Assembly District where the project is located. (please enter a number between 1 and 150 that represents your Assembly District)

- Question Type: Location
- Required: Yes
- Answer Type: Integer

• Scoring Tips:

Click [HERE](#) to determine your Assembly district.

**Q\_190** NY Senate District where the project is located. (please enter a number between 1 and 63 that represents your Senate District)

- Question Type: Location

- Required: Yes
- Answer Type: Integer
- Scoring Tips:  
Click [HERE](#) to determine your Senate district.

**Q\_1034** Project ZIP Code. (please use ZIP+4 if known)

- Question Type: Location
- Required: No
- Answer Type: Short Answer
- Scoring Tips:  
To locate a Zip Code, click [HERE](#)

**Q\_616** For more than one project location, please provide full address(es) for each location. If Not Applicable, indicate "NA".

- Question Type: Location
- Required: Yes
- Answer Type: Long Rich Text (HTML)
- Characters: 1 - 200

**Q\_550** If you are a DBA, what is your DBA name?

- Question Type: Basic
- Required: No
- Answer Type: Short Answer

**Q\_549** Type of Applicant (select all that apply)

- Question Type: Basic
- Required: Yes
- Answer Type: Multi Choice
- Choice Options: Federal, State, County, City, Town, Village, Tribal, School District, County or Town Improvement District, District Corporation, For-Profit, Not-For-Profit, Individual, S Corporation, C Corporation, IDA, LDC,LLC,LLP, Public Authority, Public Benefit Corp, Sole-Proprietorship, HDFC,BID, LP,Boards Of Cooperative Educational Services (BOCES), Fire District, Regional Planning and Development Board, Public Library, Association Library,College/University/Community College

**Q\_556** Select an applicant ID type from the list below that you normally use to identify your organization on application forms.

- Question Type: Basic
- Required: Yes
- Answer Type: Multi Choice
- Choice Options: Charity Reg #, Duns Number, Federal Tax ID Number, NYS Unemployment Insurance Tax Number, Social Security Number, NYS Vendor Identification Number (SFS)
- Scoring Tips:  
Applicants will be required to provide the specified ID number upon request by the funding agencies.

**Q\_969** If you are a business, have you been certified as a New York State Minority or Women-owned Business Enterprise (MWBE)?

- Question Type: Basic
- Required: No
- Answer Type: Single Choice Radio Button
- Choice Options: Yes, No, N/A

**Q\_546** Legal Name of Applicant

- Question Header: Applicant Information
- Question Type: Basic
- Required: Yes
- Answer Type: Short Answer
- Scoring Tips: If applying in the name of a business please type in the name as it appears on your business papers. If applying as an individual insert your name here.

**Q\_551** Applicant Street Address

- Question Type: Basic
- Required: Yes
- Answer Type: Short Answer

**Q\_552** Applicant City

- Question Type: Basic
- Required: Yes
- Answer Type: Short Answer

**Q\_553** Applicant State

- Question Type: Basic
- Required: Yes
- Answer Type: State Dropdown

**Q\_554** Applicant ZIP Code. (please use ZIP+4 if known)

- Question Type: Basic
- Required: Yes
- Answer Type: Short Answer
- Scoring Tips:  
To look up a zip code, click [HERE](#).

**Q\_651** Applicant Telephone Number, (please include area code)

- Question Type: Basic
- Required: Yes
- Answer Type: Phone

**Q\_555** Applicant Email Address

- Question Type: Basic
- Required: Yes
- Answer Type: Email

**Q\_547** Contact First Name

- Question Type: Basic
- Required: Yes
- Answer Type: Short Answer

**Q\_1049** Contact Last Name

- Question Type: Basic
- Required: Yes
- Answer Type: Short Answer

**Q\_1050** Contact Title

- Question Type: Basic
- Required: No
- Answer Type: Short Answer

**Q\_562** Primary Contact Phone Number. (please include area code)

- Question Type: Basic
- Required: Yes
- Answer Type: Phone

**Q\_1052** Additional Project Contact First Name

- Question Type: Basic
- Required: No
- Answer Type: Short Answer

**Q\_1053** If project review pursuant to the National Environmental Policy Act (NEPA) has been completed has a Finding of No Significant Impact or Record of Decision been issued?

- Question Type: Basic
- Required: Yes
- Answer Type: Single Choice Radio Button
- Choice Options: Yes, No, N/A

**Q\_970** Additional Project Contact Last Name

- Question Type: Basic
- Required: No
- Answer Type: Short Answer

**Q\_1051** Additional Contact Title

- Question Type: Basic
- Required: No
- Answer Type: Short Answer

**Q\_561** Additional Contact Email Address

- Question Type: Basic
- Required: No
- Answer Type: Email

**Q\_4199** Please select the primary sector or characterization that best defines this project.

- Question Type: Basic
- Required: Yes
- Answer Type: Single Choice Dropdown
- Choice Options: Agriculture, Arts/Culture/Cultural Institutions, Biomedical/Medical, Community Development, Education/College/University, Energy, Environment, Financial Services, Food/Beverage, Healthcare, Hospitality, Housing, Industrial/Manufacturing, Information Technology Services/Communications, Infrastructure, Municipal/Government, Office, Research & Development, Tourism/Travel, Transportation, Water/Wastewater/Sewer, Waterfront Revitalization, Workforce Development, Business Development, Technology Commercialization

**Q\_4198** Please select the secondary sector or characterization that best defines this project.

- Question Type: Basic
- Required: Yes
- Answer Type: Single Choice Dropdown
- Choice Options: Agriculture, Arts/Culture/Cultural Institutions, Biomedical/Medical, Community Development, Education/College/University, Energy, Environment, Financial Services, Food/Beverage, Healthcare, Hospitality, Housing, Industrial/Manufacturing, Information Technology Services/Communications, Infrastructure, Municipal/Government, Office, Research & Development, Tourism/Travel, Transportation, Water/Wastewater/Sewer, Waterfront Revitalization, Workforce Development, Business Development, Technology Commercialization

**Q\_4200** Does your project provide opportunities for Veterans' to participate in the workforce, or improve services to the Veterans' and military families in New York?

- Question Type: Basic
- Required: Yes
- Answer Type: Yes/No

**Q\_4201** If Yes, please explain how your project impacts the Veterans' and military families in New York.

- Question Type: Basic
- Required: No
- Answer Type: Long Rich Text (HTML)
- Characters: 1 - 750

**Q\_6048** Does your project advance downtown revitalization?

- Question Type: Basic
- Required: Yes
- Answer Type: Yes/No
- Scoring Tips: If your project will advance downtown revitalization, identify the concepts that will be utilized as outlined in the [Downtown Revitalization Initiative](#).

**Q\_6047** If Yes, please detail how it will attract and retain residents, visitors and businesses and transform neighborhoods.

- Question Type: Basic
- Required: No
- Answer Type: Long Answer
- Characters: 1 - 600
- Scoring Tips: If your project will advance downtown revitalization, identify the concepts that will be utilized as outlined in the [Downtown Revitalization Initiative](#).

**Q\_575** Project Description. Concisely describe the project, indicating the location, what will be planned, designed, acquired, and/or constructed, the issues/opportunities to be addressed, and expected outcomes and deliverables. Additional details will be collected later in the application process.

- Question Header: Project Description
- Question Type: Basic
- Required: Yes

- Answer Type: Long Rich Text (HTML)
- Characters: 1 - 2000
- Scoring Tips: Please includes details relevant to all programs on this application. Programs on this application are: {{program\_list}}

- Q\_976** Statement of Need
- Question Type: Basic
  - Required: Yes
  - Answer Type: Long Rich Text (HTML)
  - Characters: 1 - 850
  - Scoring Tips: Provide a brief summary of the need for the project in the geographic area proposed, the project's financing needs, including funding gaps and, where applicable, describe the additional short and long term jobs that will be created through the development of the proposed project.
- Q\_930** Explain what makes your project a regional economic priority - for example creates jobs, economic investment, sustainability and community revitalization, government efficiency or consolidation etc.
- Question Type: Basic
  - Required: Yes
  - Answer Type: Long Rich Text (HTML)
  - Characters: 1 - 850
  - Scoring Tips: The REDC and Upstate Revitalization Initiative's (URI) are designed to support projects that will help transform the region's economy. Applicants seeking these resources should explain why the project is a priority for the region. Providing details on the impact of the project such as the ability to create net new high paying jobs, the extent of private sector support, the impact it has on the community, and how the project will advance, in a meaningful way, the implementation of the REDC/URI plans, will help the REDCs and state during review.
- Q\_929** Current State of Project Development (i.e. planning, preliminary engineering, final design, etc. You may enter N/A for non-project related applications)
- Question Type: Basic
  - Required: Yes
  - Answer Type: Short Answer
- Q\_975** Estimated Project Timeline: including project start/completion dates, estimates for design, permitting and construction or other major steps. (You may enter N/A for non-Project related applications)
- Question Type: Basic
  - Required: Yes
  - Answer Type: Long Rich Text (HTML)
  - Characters: 1 - 850
- Q\_580** Provide a list of all federal, state, and local reviews, approvals, or permits needed or completed, including the dates when they are expected to be completed or were completed. If Not Applicable, indicate "NA".
- Question Type: Basic
  - Required: Yes
  - Answer Type: Long Answer
  - Characters: 1 - 400
- Q\_4162** Attach 2012 financial documents.
- For privately owned companies, IDAs, not-for-profits, educational institutions, start-ups, municipalities, or any business or organization other than publicly traded companies, please provide audited or reviewed financials, or compiled financials with signed tax returns, for 2012. For publicly traded companies please provide Form 10-K for 2012.
- Question Header: Required Supporting Documentation
  - Question Type: Attachment
  - Required: Yes
  - Answer Type: Attachment
  - Scoring Tips: Financial statements should be audited or reviewed. If the statements are only compiled, they must be accompanied by copies of signed Federal tax returns (3 yrs). Financial statements should be provided by the parent company or any individual owning 50% or greater of the Applicant) unless the Applicant prepares separate audited or reviewed financial statements or files separate tax returns from the parent.
- For information about this requirement, contact your local ESD Regional Office <http://esd.ny.gov/RegionalOverviews.html>
- Q\_3121** Attach 2013 financial documents
- For privately owned companies, IDAs, not-for-profits, educational institutions, start-ups, municipalities, or any business or organization other than publicly traded companies, please provide audited or reviewed financials, or compiled financials with signed tax returns, for 2013. For-publicly traded companies, please provide Form 10-K for 2013.
- Question Type: Attachment
  - Required: Yes
  - Answer Type: Attachment
- Q\_4163** Attach 2014 financial documents
- For privately owned companies, IDAs, not-for-profits, educational institutions, start-ups, municipalities, or any business or organization other than publicly traded companies, please provide audited or reviewed financials, or compiled financials with signed tax returns, for 2014. For-publicly traded companies, please provide Form 10-K for 2014.
- Question Type: Attachment
  - Required: Yes
  - Answer Type: Attachment
- Q\_4165** Attach interim financials for 2015
- For privately owned companies, IDAs, not-for-profits, educational institutions, start-ups, municipalities, or any business or organization other than publicly traded companies, please provide interim financials for 2015, certified by a company officer. For publicly traded companies, please provide the most recent Form 10-Q.
- Question Type: Attachment
  - Required: Yes
  - Answer Type: Attachment
- Q\_2331** Attach an organizational chart and/or description of ownership structure including the percentage of ownership for each individual entity.
- Question Type: Attachment
  - Required: Yes
  - Answer Type: Attachment
  - Scoring Tips: For privately owned companies, IDAs, not-for-profits, educational institutions, start-ups, municipalities, or any business or organization other than publicly traded companies, please provide an organizational chart and/or description of ownership structure, including the percentage of ownership for each individual/entity. If the company is a parent, subsidiary and/or affiliate of another company, please provide a description of the relationship. Additional financial information may be requested
- Q\_2333** If review of the project has been completed pursuant to State Environmental Quality Review Act (SEQRA) or National Environmental Policy Act (NEPA), please submit the Negative Declaration or Findings Statement, or Finding of No Significant Impact or Record of Decision.
- Question Type: Attachment
  - Required: No
  - Answer Type: Attachment
- Q\_2332** Attach a short or long Environmental Assessment Form
- Project review pursuant to the State Environmental Quality Review Act (SEQRA) must be completed prior to the award of any state funds. For projects classified as Type I or Unlisted actions, submit a short or long Environmental Assessment Form. See "View Help" for links to forms.
- Question Type: Attachment
  - Required: No
  - Answer Type: Attachment

• Scoring Tips:

Information and forms can be found at <http://www.dec.ny.gov/permits/357.html> and <http://www.dec.ny.gov/permits/6191.html>. If you are a not-for-profit, please complete and attach the following form: <http://nysparks.com/grants/documents/cfa/EMBEFormNEBGrants.pdf>.

- Q\_3064** Net New Job Information
- Question Type: Standard Question
  - Required: No
  - Answer Type: Short Answer
  - Scoring Tips: 1. Enter the type of job by general category.  
2. Enter the gross annual wages for each job type.  
3. List the number of net new jobs. Please enter CUMULATIVE job totals, i.e. if there are 10 jobs being created each year for 5 years, enter as 10, 20, 30, 40, 50.
- Please note that if you are completing the URI Phase 1 - Intent to Propose, you are not required to complete the table below at this time as this information will be available to enter in during phase 2 of the application.
- Q\_1339** Applicant website
- Question Type: Standard Question
  - Required: No
  - Answer Type: URL
- Q\_1142** Indicate the Primary North American Industrial Classification System (NAICS) Code at the PROJECT LOCATION.
- Question Type: Standard Question
  - Required: Yes
  - Answer Type: Short Answer
  - Scoring Tips:  
For help determining the NAICS Code, click [HERE](#).
- Q\_1410** Briefly describe what the project involves in terms of product(s) or services(s) that will be provided at the project location.
- Question Type: Standard Question
  - Required: Yes
  - Answer Type: Long Answer
  - Characters: any
- Q\_1409** What is the first project year? (e.g. the year equipment will be ordered or when first expenditures are expected to be made)
- Question Type: Standard Question
  - Required: Yes
  - Answer Type: Short Answer
- Q\_1371** Does the project involve demolition or rehabilitation of a building(s) more than 50 years old and/or demolition or rehabilitation of a building(s) or new construction on or contiguous to a site listed on or eligible for listing on the State or National Registers of Historic Places? Indicate Y/N/NA. If Y, click "Help" for more information.
- Question Type: Standard Question
  - Required: Yes
  - Answer Type: Short Answer
  - Scoring Tips:  
If "yes", the project requires consultation with the State Historic Preservation office (SHPO) [www.nysparks.state.ny.us/shpo](http://www.nysparks.state.ny.us/shpo). If the project requires SHPO consultation, please explain the status. If consultation is complete, please provide a link to SHPO's Letter of Determination of No Adverse Effect or Letter of Resolution to Mitigate Adverse Effect.
- Q\_1233** What tasks and steps need to be completed before the project can begin (e.g. obtaining permits, licenses, hiring staff, etc.)
- Question Type: Standard Question
  - Required: Yes
  - Answer Type: Long Answer
  - Characters: any
- Q\_1043** Is the project owner/occupant/operator or any facilities which are under the supervision of the project owner/occupant/operator in violation of any federal, state or local environmental or other laws, or listed on the registry of Inactive Hazardous Waste Disposal Sites? Indicate Y/N/NA. If "Y", explain.
- Question Type: Standard Question
  - Required: Yes
  - Answer Type: Long Answer
  - Characters: any
- Q\_1246** Describe the business challenges or opportunities in the company that are driving the project.
- Question Type: Standard Question
  - Required: Yes
  - Answer Type: Long Answer
  - Characters: any
- Q\_1041** Provide a list of all federal, state, and local environmental and other reviews, approvals, or permits needed, including the dates by when they are expected. If Not Applicable, indicate "NA".
- Question Type: Standard Question
  - Required: Yes
  - Answer Type: Long Answer
  - Characters: 1 - 300
- Q\_3006** Qualified Investments
- Question Type: Standard Question
  - Required: No
  - Answer Type: Money
  - Scoring Tips: Excelsior Jobs Program: Qualified investments are tangible personal property, including a building or structural component of a building, owned by the applicant that is depreciable per IRC Section 164, has a useful life of 4 years or more, is acquired by purchase as defined in IRC Section 179(d), is located in NYS, and is placed in service on or after the date certified eligible for the Excelsior Jobs Program.
- Q\_3017** Qualified Investments Building Acquisition
- Question Type: Standard Question
  - Required: No
  - Answer Type: Money
- Q\_3030** Qualified Investments Building Acquisition
- Question Type: Standard Question
  - Required: No
  - Answer Type: Money
- Q\_3007** Qualified Investments Year 1

- Question Type: Standard Question
- Required: No
- Answer Type: Money

**Q\_3008** Qualified Investments Year 1

- Question Type: Standard Question
- Required: No
- Answer Type: Money

**Q\_3009** Qualified Investments Year 1

- Question Type: Standard Question
- Required: No
- Answer Type: Money

**Q\_3010** Qualified Investments Year 1

- Question Type: Standard Question
- Required: No
- Answer Type: Money

**Q\_3012** Qualified Investments Year 1

- Question Type: Standard Question
- Required: No
- Answer Type: Money
- Scoring Tips:

\* Eligible investment for ESD Grant Funds; generally not eligible investment for Excelsior Jobs Program.

**Q\_3014** Qualified Investments Year 1

- Question Type: Standard Question
- Required: No
- Answer Type: Money

**Q\_3015** Qualified Investments Year 1

- Question Type: Standard Question
- Required: No
- Answer Type: Money

**Q\_3018** Qualified Investments Building Renovation Year 2

- Question Type: Standard Question
- Required: No
- Answer Type: Money

**Q\_3019** Qualified Investments New Construction Year 2

- Question Type: Standard Question
- Required: No
- Answer Type: Money

**Q\_3020** Qualified Investments Production Machinery Year 2

- Question Type: Standard Question
- Required: No
- Answer Type: Money

**Q\_3022** Qualified Investments Furniture, fixtures Year 2

- Question Type: Standard Question
- Required: No
- Answer Type: Money

**Q\_3024** Qualified Investments Land Acquisition \* Year 2

- Question Type: Standard Question
- Required: No
- Answer Type: Money

**Q\_3027** Qualified Investments Demolition Year 2

- Question Type: Standard Question
- Required: No
- Answer Type: Money

**Q\_3028** Qualified Investments Year 2

- Question Type: Standard Question
- Required: No
- Answer Type: Money

**Q\_3031** Qualified Investments Building Renovation Year 3

- Question Type: Standard Question
- Required: No
- Answer Type: Money

**Q\_3032** Qualified Investments New Construction Year 3

- Question Type: Standard Question
- Required: No
- Answer Type: Money

**Q\_3033** Qualified Investments Production Machinery Year 3

- Question Type: Standard Question
- Required: No
- Answer Type: Money

- Q\_3034** Qualified Investments Furniture, fixtures Year 3
- Question Type: Standard Question
  - Required: No
  - Answer Type: Money
- Q\_3036** Qualified Investments Land Acquisition \* Year 3
- Question Type: Standard Question
  - Required: No
  - Answer Type: Money
- Q\_3039** Qualified Investments Demolition Year 3
- Question Type: Standard Question
  - Required: No
  - Answer Type: Money
- Q\_3040** Qualified Investments Year 3
- Question Type: Standard Question
  - Required: No
  - Answer Type: Money
- Q\_3043** Qualified Investments Building Renovation Year 4
- Question Type: Standard Question
  - Required: No
  - Answer Type: Money
- Q\_3042** Qualified Investments Building Acquisition
- Question Type: Standard Question
  - Required: No
  - Answer Type: Money
- Q\_3044** Qualified Investments New Construction Year 4
- Question Type: Standard Question
  - Required: No
  - Answer Type: Money
- Q\_3045** Qualified Investments Production Machinery Year 4
- Question Type: Standard Question
  - Required: No
  - Answer Type: Money
- Q\_3046** Qualified Investments Furniture, fixtures Year 4
- Question Type: Standard Question
  - Required: No
  - Answer Type: Money
- Q\_3048** Qualified Investments Land Acquisition \* Year 4
- Question Type: Standard Question
  - Required: No
  - Answer Type: Money
- Q\_1415** Indicate what the average percentage is of the applicants' total employees' gross wages paid in benefits (exclude mandated benefits such as Federal Insurance Contributions Act (FICA), Medicare tax, unemployment insurance or workers' compensation insurance).
- Question Type: Standard Question
  - Required: Yes
  - Answer Type: Short Answer
- Q\_3050** Qualified Investments Demolition Year 4
- Question Type: Standard Question
  - Required: No
  - Answer Type: Money
- Q\_3051** Qualified Investments Year 4
- Question Type: Standard Question
  - Required: No
  - Answer Type: Money
- Q\_3053** Qualified Investments Building Acquisition
- Question Type: Standard Question
  - Required: No
  - Answer Type: Money
- Q\_3054** Qualified Investments Building Renovation Year 5
- Question Type: Standard Question
  - Required: No
  - Answer Type: Money
- Q\_3055** Qualified Investments New Construction Year 5
- Question Type: Standard Question
  - Required: No
  - Answer Type: Money
- Q\_3056** Qualified Investments Production Machinery Year 5

- Question Type: Standard Question
- Required: No
- Answer Type: Money

**Q\_3057** Qualified Investments Furniture, fixtures Year 5

- Question Type: Standard Question
- Required: No
- Answer Type: Money

**Q\_3059** Qualified Investments Land Acquisition \* Year 5

- Question Type: Standard Question
- Required: No
- Answer Type: Money

**Q\_3061** Qualified Investments Demolition Year 5

- Question Type: Standard Question
- Required: No
- Answer Type: Money

**Q\_3062** Qualified Investments Year 5

- Question Header: Project Costs by Year: Please enter the annual anticipated Project Costs based on the year that the investment will be made. These Project Costs should match the information in the Program Budget section.
- Question Type: Standard Question
- Required: No
- Answer Type: Money

**Q\_1242** Describe how the capital investment for which you are seeking funding will make it possible to reach your business goals. For example recycling, pollution prevention or waste reduction goals, changes to your businesses profitability, sales, market share, productivity and sales per employee, cycle time reduction, quality, cost saving, etc.

- Question Type: Standard Question
- Required: Yes
- Answer Type: Long Answer
- Characters: any

**Q\_2195** Indicate how many existing full-time equivalent jobs the applicant employs in all NYS LOCATIONS.

- Question Header: Job Creation and Retention Questions: The following questions are for committed, direct jobs only. Note that if awarded funding, Applicant must document existing and new jobs via submission of NY-45 forms. Questions about other jobs, such as Indirect Jobs and Construction Jobs, follow this section.
- Question Type: Standard Question
- Required: Yes
- Answer Type: Integer
- Scoring Tips:
 

A Full-time equivalent job equals any combination of two or more part-time jobs that, when combined together, constitute the equivalent of a job of at least 35 hours per week. NOTE: Your base employment for Excelsior is calculated using the prior 4 quarters from when you are accepted into the program.

**Q\_2199** Indicate the average annual wage for existing full-time equivalent employees the applicant employs in all NYS Locations as of the date this application is finalized.

- Question Type: Standard Question
- Required: No
- Answer Type: Money

**Q\_1365** Indicate how many of the total existing full-time equivalent employees in New York State are contract employees.

- Question Type: Standard Question
- Required: No
- Answer Type: Integer
- Scoring Tips:

A Full-time Contract Employee is a full-time private sector employee (or self-employed person) who is not on the applicant's payroll but who works for the applicant for a minimum of 35 hours per week providing services that would otherwise be provided by a Full-time Permanent Employee. The position held by a Full-time Contract Employee is a year round position.

**Q\_2196** Indicate how many existing full-time equivalent jobs the applicant employs at all PROJECT LOCATION(S).

- Question Header: All PROJECT Locations
- Question Type: Standard Question
- Required: No
- Answer Type: Integer
- Scoring Tips:

NOTE: A full-time equivalent job equals any combination of two or more part-time jobs that, when combined together, constitute the equivalent of a job of at least 35 hours per week. Please note if any of these positions are contract employees and if so, how many of the total are contract employees.

**Q\_1186** Indicate the average annual wage for the employees at the Project Location as of the date this application is finalized.

- Question Type: Standard Question
- Required: No
- Answer Type: Integer

**Q\_1366** Indicate how many of the total existing full-time equivalent employees at the Project Location are contract employees.

- Question Type: Standard Question
- Required: No
- Answer Type: Integer
- Scoring Tips:

A Full-time Contract Employee is a full-time private sector employee (or self-employed person) who is not on the applicant's payroll but who works for the applicant for a minimum of 35 hours per week providing services that would otherwise be provided by a Full-time Permanent Employee. The position held by a Full-time Contract Employee is a year round position.

**Q\_1196** How many of the existing jobs at the project location(s) are at risk if the project does not go forward.

- Question Type: Standard Question
- Required: No
- Answer Type: Integer
- Scoring Tips:

"At Risk" shall mean a permanent Full-time employee position currently located in New York State that is found by ESD to be at risk of being lost or moved out of state based on compelling information provided by the applicant.

**Q\_1375** What percentage of the project's employees are residents of NYS?

- Question Type: Standard Question
- Required: Yes
- Answer Type: Short Answer

**Q\_4167** In the Table below, please indicate how many new full-time equivalent employees the company will create by general category/job type.

Definition of full-time equivalent employee: (1) a full-time, permanent, private-sector employee on the Recipient's payroll, who has worked at the Project Location for a minimum of 35 hours per week for not less than four consecutive weeks and who is entitled to receive the usual and customary fringe benefits extended by Recipient to other employees with comparable rank and duties; or (ii) two part-time, permanent, private-sector employees on Recipient's payroll, who have worked at the Project Location for a combined minimum of 35 hours per week for not less than four consecutive weeks and who are entitled to receive the usual and customary fringe benefits extended by Recipient to other employees with comparable rank and duties.

For the Excelsior Jobs Program: a full-time permanent employee must be on the payroll for at least six months of a year in order to qualify for benefits. Jobs transferred from employment with another business located in the State including from a related person in this State are not net new jobs for purposes of the employment commitment.

Indicate the year employment begins under Year 1 here:

- Question Header: NET NEW JOBS (DIRECT JOBS ONLY)
- Question Type: Standard Question
- Required: No
- Answer Type: Short Answer
- Scoring Tips: NOTE: The base employment for Excelsior is calculated using the prior 4 quarters from when you are accepted into the program.

**Q\_3065** Net New Job Information

- Question Type: Standard Question
- Required: No
- Answer Type: Money

**Q\_3066** Net New Job Information

- Question Type: Standard Question
- Required: No
- Answer Type: Integer

**Q\_3067** Net New Job Information

- Question Type: Standard Question
- Required: No
- Answer Type: Integer

**Q\_3068** Net New Job Information

- Question Type: Standard Question
- Required: No
- Answer Type: Integer

**Q\_3069** Net New Job Information

- Question Type: Standard Question
- Required: No
- Answer Type: Integer

**Q\_3070** Net New Job Information

- Question Type: Standard Question
- Required: No
- Answer Type: Integer

**Q\_3071** Net New Job Information Job Type/Category

- Question Type: Standard Question
- Required: No
- Answer Type: Short Answer

**Q\_3072** Net New Job Information 2 Avg Gross Wages/Job Type

- Question Type: Standard Question
- Required: No
- Answer Type: Money

**Q\_3073** Net New Job Information 2 Year 1 -

- Question Type: Standard Question
- Required: No
- Answer Type: Integer

**Q\_3074** Net New Job Information 2 Year 2 -

- Question Type: Standard Question
- Required: No
- Answer Type: Integer

**Q\_3075** Net New Job Information 2 Year 3 -

- Question Type: Standard Question
- Required: No
- Answer Type: Integer

**Q\_3076** Net New Job Information 2 Year 4 -

- Question Type: Standard Question
- Required: No
- Answer Type: Integer

**Q\_3077** Net New Job Information 2 Year 5 -

- Question Type: Standard Question
- Required: No
- Answer Type: Integer

- Q\_3078** Net New Job Information (Enter Cumulatively) Job Type/Category
- Question Type: Standard Question
  - Required: No
  - Answer Type: Short Answer
- Q\_3079** Net New Job Information (Enter Cumulatively) 3 Avg Gross Wages/Job Type
- Question Type: Standard Question
  - Required: No
  - Answer Type: Money
- Q\_3080** Net New Job Information (Enter Cumulatively) 3 Year 1 -
- Question Type: Standard Question
  - Required: No
  - Answer Type: Integer
- Q\_3081** Net New Job Information (Enter Cumulatively) 3 Year 2 -
- Question Type: Standard Question
  - Required: No
  - Answer Type: Integer
- Q\_3082** Net New Job Information (Enter Cumulatively) 3 Year 3 -
- Question Type: Standard Question
  - Required: No
  - Answer Type: Integer
- Q\_3083** Net New Job Information (Enter Cumulatively) 3 Year 4 -
- Question Type: Standard Question
  - Required: No
  - Answer Type: Integer
- Q\_3084** Net New Job Information (Enter Cumulatively) 3 Year 5 -
- Question Type: Standard Question
  - Required: No
  - Answer Type: Integer
- Q\_3086** Net New Job Information (Enter Cumulatively) Job Type/Category
- Question Type: Standard Question
  - Required: No
  - Answer Type: Short Answer
- Q\_3089** Net New Job Information (Enter Cumulatively) 4 Avg Gross Wages/Job Type
- Question Type: Standard Question
  - Required: No
  - Answer Type: Money
- Q\_3090** Net New Job Information (Enter Cumulatively) 4 Year 1 -
- Question Type: Standard Question
  - Required: No
  - Answer Type: Integer
- Q\_3091** Net New Job Information (Enter Cumulatively) 4 Year 2 -
- Question Type: Standard Question
  - Required: No
  - Answer Type: Integer
- Q\_3092** Net New Job Information (Enter Cumulatively) 4 Year 3 -
- Question Type: Standard Question
  - Required: No
  - Answer Type: Integer
- Q\_3093** Net New Job Information (Enter Cumulatively) 4 Year 4 -
- Question Type: Standard Question
  - Required: No
  - Answer Type: Integer
- Q\_3094** Net New Job Information (Enter Cumulatively) 4 Year 5 -
- Question Type: Standard Question
  - Required: No
  - Answer Type: Integer
- Q\_3095** Net New Job Information (Enter Cumulatively) Job Type/Category
- Question Type: Standard Question
  - Required: No
  - Answer Type: Short Answer
- Q\_3096** Net New Job Information (Enter Cumulatively) 5 Avg Gross Wages/Job Type
- Question Type: Standard Question
  - Required: No
  - Answer Type: Money
- Q\_3097** Net New Job Information (Enter Cumulatively) 5 Year 1 -
- Question Type: Standard Question
  - Required: No
  - Answer Type: Integer

- Q\_3098** Net New Job Information (Enter Cumulatively) 5 Year 2 -
- Question Type: Standard Question
  - Required: No
  - Answer Type: Integer
- Q\_3099** Net New Job Information (Enter Cumulatively) 5 Year 3 -
- Question Type: Standard Question
  - Required: No
  - Answer Type: Integer
- Q\_3100** Net New Job Information (Enter Cumulatively) 5 Year 4 -
- Question Type: Standard Question
  - Required: No
  - Answer Type: Integer
- Q\_3101** Net New Job Information (Enter Cumulatively) 5 Year 5 -
- Question Type: Standard Question
  - Required: No
  - Answer Type: Integer
- Q\_2372** Will the proposed project result in the creation of construction jobs? If so, estimate the number of construction jobs to be created.  
Enter zero if not applicable.
- Question Type: Standard Question
  - Required: Yes
  - Answer Type: Integer
- Q\_1059** Does the proposed project use, maintain, or improve existing infrastructure? Y/N/Not Relevant. Please explain all responses.
- Question Header: Smart Growth Questions: The NYS Smart Growth Public Infrastructure Policy Act requires that a project meet the relevant smart growth criterion to the extent practicable. Please respond to the questions below regarding smart growth criteria.
  - Question Type: Smart Growth
  - Required: Yes
  - Answer Type: Long Rich Text (HTML)
  - Characters: any
  - Scoring Tips:
- If you are maintaining or improving existing infrastructure, please answer "YES". If you are building new infrastructure, or expanding infrastructure answer "NO" and provide justification that explains the need to build new infrastructure instead of using or improving existing infrastructure.
- For specific guidance on rail/port, aviation, and other transportation projects please refer to [Smart Growth Public Infrastructure Policy Act](#)
- Q\_1060** Is the proposed project located in a municipal center? Y/N/Not Relevant. Please explain all responses.
- Question Type: Smart Growth
  - Required: Yes
  - Answer Type: Long Rich Text (HTML)
  - Characters: any
  - Scoring Tips:
- Municipal Centers are areas of concentrated and mixed land use that serve as centers of various activities (civic, commercial, recreational, and residential, among others). Specific examples include Central Business Districts; Brownfield Opportunity Areas (BOAs); Downtowns in Local Waterfront Revitalization Program (LWRP) Areas; Transit-Oriented Development, Environmental Justice Areas and Hardship Areas; in many instances, an entire city, village or hamlet can be considered a municipal center. This definition can include development "adjacent to municipal centers" and a "future municipal center" – an area planned and zoned to be a municipal center.
- For specific guidance on rail/port, aviation, and other transportation projects please refer to [Smart Growth Public Infrastructure Policy Act](#)
- Q\_1061** Is the proposed project located in a developed area or an area designated for concentrated infill development in a municipally approved comprehensive land use plan, local waterfront revitalization plan and/or brownfield opportunity area plan? Y/N/Not Relevant. Please explain all responses.
- Question Type: Smart Growth
  - Required: Yes
  - Answer Type: Long Rich Text (HTML)
  - Characters: any
  - Scoring Tips:
- Please explain how your project advances infill development or redevelopment in existing developed areas consistent with an approved plan. Infill development includes redevelopment, rehabilitation and new development between existing buildings on vacant or under-utilized sites.
- For specific guidance on rail/port, aviation, and other transportation projects please refer to [Smart Growth Public Infrastructure Policy Act](#)
- Q\_1062** Will the proposed project protect, preserve and enhance the State's resources, including agricultural land, forests, surface and groundwater, air quality, recreation and open space, scenic areas, and significant historic and archeological resources? Y/N/Not Relevant. Please explain all responses.
- Question Type: Smart Growth
  - Required: Yes
  - Answer Type: Long Rich Text (HTML)
  - Characters: any
  - Scoring Tips:
- Beyond simply avoiding or minimizing negative environmental impacts, please indicate the resources that may be impacted by your project and how your project will preserve and enhance these resources.
- For specific guidance on rail/port, aviation, and other transportation projects please refer to [Smart Growth Public Infrastructure Policy Act](#)
- Q\_1063** Will the proposed project foster mixed land uses and compact development, downtown revitalization, Brownfield redevelopment, the enhancement of beauty in public spaces, the diversity and affordability of housing in proximity to places of employment, recreation and commercial development and the integration of all income and age groups? Y/N/Not Relevant. Please explain all responses.
- Question Type: Smart Growth
  - Required: Yes
  - Answer Type: Long Rich Text (HTML)
  - Characters: any
  - Scoring Tips:
- Please explain how your project advances these objectives and improves the quality of life in your community.
- For specific guidance on rail/port, aviation, and other transportation projects please refer to [Smart Growth Public Infrastructure Policy Act](#)
- Q\_1064** Will the proposed project provide mobility through transportation choices including improved public transportation and reduced automobile dependency? Y/N/Not Relevant. Please explain all responses.
- Question Type: Smart Growth
  - Required: Yes
  - Answer Type: Long Rich Text (HTML)
  - Characters: any
  - Scoring Tips:
- There are many alternatives to automobile transportation. Please explain how your project provides or complements alternatives to automobile travel such as bikes, pedestrians, public transit, air travel or rail travel.
- For specific guidance on rail/port, aviation, and other transportation projects please refer to [Smart Growth Public Infrastructure Policy Act](#)

**Q\_1070** Does the company have any contingent liabilities that could have a material effect on its solvency? Indicate "Yes" or "No". If your answer is "Yes", please explain in space provided.

- Question Type: Certification
- Required: Yes
- Answer Type: Long Answer
- Characters: any

**Q\_1071** Has the company, its affiliates or any member of its management or any other concern with which such members of management have been officers or directors, ever been involved in bankruptcy, creditor's rights, or receivership proceedings or sought protection from creditors or has any senior manager or principal of the company ever been charged with or convicted of any felony, or misdemeanor other than minor traffic offenses, or been a member of the management, an owner or majority stockholder of any firm or corporation convicted of any felony? Indicate "Yes" or "No". If your answer is "Yes", please provide an explanation.

- Question Type: Certification
- Required: Yes
- Answer Type: Long Answer
- Characters: any

**Q\_1072** Are there any outstanding judgments or liens pending against the company other than liens in the normal course of business? Indicate "Yes" or "No". If your answer is "Yes", please provided explanation in space provided.

- Question Type: Certification
- Required: Yes
- Answer Type: Long Answer
- Characters: any

**Q\_1037** By entering your name in the box below, you certify and agree that you are authorized on behalf of the applicant and its governing body to commit the applicant to comply with the requirements of Article 15-A of the New York State Executive Law: Participation By Minority Group Members and Women With Respect To State Contracts by providing opportunities for Minority-owned Business Enterprise (MBE)/Woman-owned Business Enterprise (WBE) participation. You further certify that the applicant will maintain such records and take such actions necessary to demonstrate such compliance throughout the completion of the project.

- Question Header: General Certifications
- Question Type: Certification
- Required: Yes
- Answer Type: Short Answer

**Q\_1038** By entering your name in the box below, you certify that you are authorized on behalf of the applicant and its governing body to submit this application. You further certify that all of the information contained in this Application and in all statements, data and supporting documents which have been made or furnished for the purpose of receiving assistance for the project described in this application, are true, correct and complete to the best of your knowledge and belief. You acknowledge that offering a written instrument knowing that the written instrument contains a false statement or false information, with the intent to defraud the State or any political subdivision, public authority or public benefit corporation of the State, with the knowledge or belief that it will be filed with or recorded by the State or any political subdivision, public authority or public benefit corporation of the State, constitutes a crime under New York State Law.

- Question Type: Certification
- Required: Yes
- Answer Type: Short Answer