Program Questions:
Upstate Revitalization Initiative

Q_4269

Are you submitting an "Intent to Propose" application seeking funding through the Upstate Revitalization Initiative?
Eligible applicants must have a project located in one of the following regions in order to be considered by the REDCs for funding: Capital Region, Central New York, Finger Lakes, Mid-Hudson, North Country and the Southern Tier.

Q_565

Project City

Q_972

Project county or counties.

Q_1034

Project ZIP Code. (please use ZIP+4 if known)

Q_928

Project Street Address: Please input the project street address (**Street Number and Street Name only**).

If the project has multiple locations, please input the primary street address of the project. If the project does not have a definite street address, please input the approximate street address of the project (Street Number and Street Name only).

Q_568

Project State

- Choice Options: AA,AL,AK,AZ,AR,CA,CO,CT,DE,FL,GA,HI,ID,IL,IN,IA,KS,KY,LA,ME,MD,MA,MI,MN,MS,MO,MT,NE,NV,NH,NJ,NM,NY,NC,ND,OH,OK,OR,PA,RI,SC,SD,TN,TX,UT,VT,VA,WA,WV,WI,WY,AS,DC,FM,GU,MH,MP,PW,PR,VI

Q_572

Project Latitude (This question's value will be filled automatically, based on the project address, when the application is finalized.)

Q_573

Project Longitude (This question's value will be filled automatically, based on the project address, when the application is finalized.)

Q_3527

US Congressional District where the project is located. (This question's value will be filled automatically, based on the project address, when the application is finalized.)

- Choice Options: 1,2,3,4,5,6,7,8,9,10,11,12,13,14,15,16,17,18,19,20,21,22,23,24,25,26,27

Q_184

NYS Assembly District where the project is located. (This question's value will be filled automatically, based on the project address, when the application is finalized.)

Q_190

NY Senate District where the project is located. (This question's value will be filled automatically, based on the project address, when the application is finalized.)

Q_549

Type of Applicant (select one)
Applicants will first select a single applicant type from the categories below and then a subtype based on their initial selection. Applicants should review the selections below which provides a list of subtypes by main applicant type.

1. For Profit entity options:
Limited Liability Corporation (LLC)
Limited Liability Partnership (LLP)
Sole Proprietorship
S Corporation
C Corporation
Limited Partnership (LP)
Other- applicant will be required to list their other for-profit designation.

2. Not-for profit entity options:
501(c)(1) Any corporation that is organized under an act of Congress that is exempt from federal income tax;
501(c)(2) Corporations that hold a title of property for exempt organizations;
501(c)(3) Corporations/funds/foundations that operate for religious/ charitable/ scientific/ literary/ educational purposes;
501(c)(4) Nonprofit organizations that promote social welfare;
501(c)(5) Labor, agricultural, or horticultural associations;
501(c)(6) Business leagues/chambers of commerce/etc. that are not organized for profit;
501(c)(7) Recreational organizations; and
Other- applicant will be required to list their other not-for-profit designation.

3. Government entity options:
Federal
State
County
City
Town
Village
Tribal
School District

County or Town Improvement District
District Corporation
Public Authority
Business Improvement District
Fire District
Board of Cooperative Education Services (BOCES)
Public Library
Association Library
Other- applicant will be required to list their other government designation.

- Choice Options: For-Profit, Not-for-Profit, Government
- This is a conditional question.
    1. If **For-Profit** is selected then **Q_15475** will be displayed
    2. If **Government** is selected then **Q_15478** will be displayed
    3. If **Not-for-Profit** is selected then **Q_15477** will be displayed

Q_15475

Select the for-profit entity of the applicant applying for funding:

- Choice Options: Limited Liability Corporation (LLC), Limited Liability Partnership (LLP), Sole Proprietorship, S Corporation, C Corporation, Limited Partnership (LP), Other
- This is a conditional question.
    1. If **Other** is selected then **Q_15483** will be displayed
- This is a conditional question based on the answer to **Q_549**. This question displays when selecting the answer: "**For-Profit**"

Q_15483

Enter the applicant's 'Other' for-profit entity designation.

- This is a conditional question based on the answer to **Q_15475**. This question displays when selecting the answer: "**Other**"

Q_15477

Select the not-for-profit entity of the applicant applying for funding:

- Choice Options: 501(c)(1) Any corporation that is organized under an act of Congress that is exempt from federal income tax, 501(c)(2) Corporations that hold a title of property for exempt organizations, 501(c)(3) Corporations/funds/foundations that operate for religious/charitable/scientific/literary/educational purposes, 501(c)(4) Nonprofit organizations that promote social welfare, 501(c)(5) Labor/agricultural/horticultural associations, 501(c)(6) Business leagues/chambers of commerce/etc. that are not organized for profit, 501(c)(7) Recreational organizations, Other
- This is a conditional question.
    1. If **Other** is selected then **Q_15484** will be displayed
- This is a conditional question based on the answer to **Q_549**. This question displays when selecting the answer: "**Not-for-Profit**"

Q_15484

Enter the applicant's 'Other' not-for-profit entity designation.

- This is a conditional question based on the answer to **Q_15477**. This question displays when selecting the answer: "**Other**"

Q_15478

Select the government entity of the applicant applying for funding:

- Choice Options: Federal, State, County, City, Town, Village, Tribal, School District, County or Town Improvement District, District Corporation, Public Authority, Business Improvement District, Fire District, Board of Cooperative Education Services (BOCES), Public Library, Association Library, Other
- This is a conditional question.
    1. If **Other** is selected then **Q_15485** will be displayed
- This is a conditional question based on the answer to **Q_549**. This question displays when selecting the answer: "**Government**"

Q_15485

Enter the applicant's 'Other' government entity designation.

- This is a conditional question based on the answer to **Q_15478**. This question displays when selecting the answer: "**Other**"

Q_12603

Is the applicant a DBA?

- This is a conditional question.
    1. If **Yes** is selected then **Q_550** will be displayed

Q_550

What is the applicant's DBA name?

- This is a conditional question based on the answer to **Q_12603**. This question displays when selecting the answer: "**Yes**"

Q_556

Select an applicant ID type from the list below that you normally use to identify your organization on application forms.

- Choice Options: Charity Reg #, Duns Number, Federal Tax ID Number, NYS Unemployment Insurance Tax Number,NYS Vendor Identification Number (SFS),Employee Identification Number (EIN),Unique Entity Identifier (UEI)

Q_2655

Based on your selection from the previous question, enter the associated ID number.

Q_969

If you are a business, have you been certified as a New York State Minority or Women-owned Business Enterprise (MWBE)?

- Choice Options: Yes, No, N/A

Q_546

Organization Legal Name

Q_551

Applicant Street Address

Q_552

Applicant City

Q_553

Applicant State

Q_554

Applicant ZIP Code. (please use ZIP+4 if known)

Q_651

Applicant Telephone Number (please include area code)

Q_555

Applicant Email Address

Q_547

Contact First Name

Q_1049

Contact Last Name

Q_1050

Contact Title

Q_3688

Contact Street Address

Q_3689

Contact City

Q_3690

Contact State

Q_3691

Contact ZIP Code (please use ZIP+4 if known)

Q_562

Primary Contact Phone Number. (please include area code)

Q_3692

Contact Email

Q_5475

Contract Salutation

- Choice Options: Mr., Mrs., Ms., Dr.

Q_5476

Contract First

Q_5477

Contract Last

Q_5478

Contract Title

Q_5491

Authorized Organization

Q_5479

Contract Street

Q_5480

Contract City

Q_5481

Contract State

Q_5482

Contract Zip (please use ZIP+4 if known)

Q_5483

Contract Phone (please include area code)

Q_5484

Contract Email

Q_1052

Additional Project Contact First Name

Q_970

Additional Project Contact Last Name

Q_1051

Additional Contact Title

Q_3693

Additional Contact Street Address

Q_3694

Additional Contact City

Q_3695

Additional Contact State

Q_3696

Additional Contact ZIP (please use ZIP+4 if known)

Q_3697

Additional Contact Telephone Number (please include area code)

Q_561

Additional Contact Email Address

Q_4199

Please select the primary sector or characterization that best defines this project.

- Choice Options: Agriculture, Arts/Culture/Cultural Institutions, Biomedical/Medical, Community Development, Education/College/University, Energy, Environment, Financial Services, Food/Beverage, Healthcare, Historic Preservation, Hospitality, Housing, Industrial/Manufacturing, Information Technology Services/Communications, Infrastructure, Municipal/Government, Office, Recreation,Research & Development, Tourism/Travel, Transportation, Water/Wastewater/Sewer, Waterfront Revitalization, Workforce Development,Business Development,Technology Commercialization, Semiconductors/Semiconductor Supply Chain

Q_4198

Please select the secondary sector or characterization that best defines this project.

- Choice Options: Agriculture, Arts/Culture/Cultural Institutions, Biomedical/Medical, Community Development, Education/College/University, Energy, Environment, Financial Services, Food/Beverage, Healthcare, Historic Preservation, Hospitality, Housing, Industrial/Manufacturing, Information Technology Services/Communications, Infrastructure, Municipal/Government, Office, Research & Development, Tourism/Travel, Transportation, Water/Wastewater/Sewer, Waterfront Revitalization, Workforce Development,Business Development,Technology Commercialization, Semiconductors/Semiconductor Supply Chain

Q_575

Project Description. Concisely describe the project, indicating the location, what will be planned, designed, acquired, and/or constructed, the issues/opportunities to be addressed, and expected outcomes and deliverables. Additional details will be collected later in the application process.

Q_976

Statement of need: Provide a brief summary of the need for the project in the geographic area proposed and the project's financing needs, including funding gaps of the proposed project.

Q_929

Current State of Project Development (i.e. planning, preliminary engineering, final design, etc. You may enter N/A for non-project related applications)

Q_975

Estimated Project Timeline: include project start/completion dates, estimates for design, permitting and construction or other major steps.

Q_580

Provide a list of all federal, state, and local reviews, approvals, or permits needed or completed, including the dates when they are expected to be completed or were completed. If Not Applicable, indicate "NA".

Q_12606

Does this project require State and/or Federal Environmental Review?

- This is a conditional question.
  1. If **Yes** is selected then these questions will be displayed:
     - **Q_2364**
     - **Q_12607**

Q_2364

What is the status of State and/or Federal Environmental Review?

- This is a conditional question based on the answer to **Q_12606**. This question displays when selecting the answer: "**Yes**"

Q_12604

Has a National Environmental Policy Act (NEPA) Record of Decision been issued?

- This is a conditional question.
  1. If **Yes** is selected then **Q_1054** will be displayed

Q_1054

Please explain decision and include date of Record of Decision.

- This is a conditional question based on the answer to **Q_12604**. This question displays when selecting the answer: "**Yes**"

Q_12625

Has the applicant or project been awarded funding in prior CFA rounds?

- This is a conditional question.
  1. If **Yes** is selected then **Q_2362** will be displayed

Q_2362

What were the CFA numbers for which funding was awarded? (separate multiple CFA numbers with commas)

- This is a conditional question based on the answer to **Q_12625**. This question displays when selecting the answer: "**Yes**"

Q_4160

For each program to which you are applying under the CFA, explain your strategy for proceeding if the full amount of requested funding, required matching funds, and temporary financing are not secured as expected, or committed sources become unavailable.This explanation must address any proposed project phases, and both CFA and non-CFA sources of funds.

Q_2366

How does your project align with the Regional Economic Development Council's Strategic Plan/Upstate Revitalization Initiative Plan? (strategic plans are located at https://regionalcouncils.ny.gov/)

Q_930

Explain what makes your project a regional economic priority - for example creates jobs, economic investment, sustainability and community revitalization, government efficiency or consolidation etc.

Q_2199

G. Average Annual Wage (Full Time Employees and FTEs) All NYS Locations as of the date this application is finalized

Q_2195

F. Total Full Time Employees + Total FTEs (B+E) All NYS LOCATIONS.

Q_1365

D. Total Contract Employees/Jobs Only ALL NYS Locations

Q_2196

F. Total Full Time Employees + Total FTEs (B+E) PROJECT LOCATION Only

Q_1186

G. Average Annual Wage (Full Time Employees and FTEs) Project Location Only as of the date this application is finalized.

Q_1196

H. At Risk Positions (From Existing Full Time Employees and FTEs)
How many of the existing jobs at the project location(s) are at risk if the project does not go forward.

Q_2175

J. Percentage of Employees that are residents of New York State Project Location Only

Q_1366

D. Total Contract Employees/Jobs Only Project Location Only

Q_2372

K. Construction Jobs: Estimate the number of construction jobs to be created by the proposed project.

Q_2178

Will any other non-construction jobs result from the project? If so, please estimate how many jobs, explain how these jobs will be created, and explain the method used to determine the job number estimate.

For example: This could include jobs created by tenants at an incubator or mixed-use development or as a result of the creation of a job training center.

Q_3064

Net New Job Information (Enter Cumulatively) 1. Enter the type of job by general category.
2. Enter the gross annual wages for each job type.
3. List the number of net new jobs. Please enter CUMULATIVE job totals, i.e. if there are 10 jobs being created each year for 5 years, enter as 10, 20, 30, 40, 50.

Please note that if you are completing the URI Phase 1 - Intent to Propose, you are not required to complete the table below at this time as this information will be available to enter in during phase 2 of the application.

Q_3065

Net New Job Information

Q_3066

Net New Job Information

Q_3067

Net New Job Information

Q_3068

Net New Job Information

Q_3069

Net New Job Information

Q_3070

Net New Job Information

Q_3071

Net New Job Information Job Type/Category

Q_3072

Net New Job Information 2 Avg Gross Wages/Job Type

Q_3073

Net New Job Information 2 Year 1 -

Q_3074

Net New Job Information 2 Year 2 -

Q_3075

Net New Job Information 2 Year 3 -

Q_3076

Net New Job Information 2 Year 4 -

Q_3077

Net New Job Information 2 Year 5 -

Q_3078

Net New Job Information (Enter Cumulatively) Job Type/Category

Q_3079

Net New Job Information (Enter Cumulatively) 3 Avg Gross Wages/Job Type

Q_3080

Net New Job Information (Enter Cumulatively) 3 Year 1 -

Q_3081

Net New Job Information (Enter Cumulatively) 3 Year 2 -

Q_3082

Net New Job Information (Enter Cumulatively) 3 Year 3 -

Q_3083

Net New Job Information (Enter Cumulatively) 3 Year 4 -

Q_3084

Net New Job Information (Enter Cumulatively) 3 Year 5 -

Q_3086

Net New Job Information (Enter Cumulatively) Job Type/Category

Q_3089

Net New Job Information (Enter Cumulatively) 4 Avg Gross Wages/Job Type

Q_3090

Net New Job Information (Enter Cumulatively) 4 Year 1 -

Q_3091

Net New Job Information (Enter Cumulatively) 4 Year 2 -

Q_3092

Net New Job Information (Enter Cumulatively) 4 Year 3 -

Q_3093

Net New Job Information (Enter Cumulatively) 4 Year 4 -

Q_3094

Net New Job Information (Enter Cumulatively) 4 Year 5 -

Q_3095

Net New Job Information (Enter Cumulatively) Job Type/Category

Q_3096

Net New Job Information (Enter Cumulatively) 5 Avg Gross Wages/Job Type

Q_3097

Net New Job Information (Enter Cumulatively) 5 Year 1 -

Q_3098

Net New Job Information (Enter Cumulatively) 5 Year 2 -

Q_3099

Net New Job Information (Enter Cumulatively) 5 Year 3 -

Q_3100

Net New Job Information (Enter Cumulatively) 5 Year 4 -

Q_3101

Net New Job Information (Enter Cumulatively) 5 Year 5 -

Q_7506

Indicate how many net new full-time jobs the company will create over 5 years. Please ensure this number is the same as the cumulative total number of jobs you entered for year 5 from the Net New Job Infromation table above.

The number of net new jobs should be consistent with the definitions from the top of the page, where total new full-time employees/jobs are added to total new full-time equivalent employees/jobs to get the total number of net new jobs that can counted.

If awarded, your company or entity will be held to the job creation commitments as outlined in this question and table below. For grants, should you fail to meet the job creation commitments, recapture provisions may apply. For Excelsior, each years job

commitment is evaluated separately to determine if tax credits can be issued.

Q_4167

Indicate the year employment begins under Year 1 for your project for the Net New Job table above.

Q_18543

Attach interim financial documents for 2026

For privately owned companies, IDAs, not-for-profits, educational institutions, start-ups, municipalities, or any business or organization other than publicly traded companies, please provide audited or reviewed financials, or compiled financials with signed tax returns, for 2025. For-publicly traded companies, please provide Form 10-K for 2026.

Q_18542

Attach 2025 financial documents

For privately owned companies, IDAs, not-for-profits, educational institutions, start-ups, municipalities, or any business or organization other than publicly traded companies, please provide audited or reviewed financials, or compiled financials with signed tax returns, for 2025. For-publicly traded companies, please provide Form 10-K for 2025.

Q_17160

Attach 2024 financial documents

For privately owned companies, IDAs, not-for-profits, educational institutions, start-ups, municipalities, or any business or organization other than publicly traded companies, please provide audited or reviewed financials, or compiled financials with signed tax returns, for 2024. For-publicly traded companies, please provide Form 10-K for 2024.

Q_15218

Attach 2023 financial documents

For privately owned companies, IDAs, not-for-profits, educational institutions, start-ups, municipalities, or any business or organization other than publicly traded companies, please provide audited or reviewed financials, or compiled financials with signed tax returns, for 2023. For-publicly traded companies, please provide Form 10-K for 2023.

Q_4317

Please provide any documentation or letters of support for the project.

Q_6946

Please provide Letters of Support for your project (if applicable). All letters should be scanned into a single PDF file and their total size cannot exceed 30 Megabytes (MB).

Q_2165

For Start-up companies, in addition to the documents listed above provide: 1) Financial projections (5 years) 2) Personal financial statements from a personal guarantor(s) of the start-up company 3) Principal resumes

Q_2331

Attach an organizational chart along with a brief description of the ownership structure. Please specify the ownership of the applicant’s business including the names of principal owners, include the percentage of ownership for each individual entity (if applicable), and if the company is a parent, subsidiary and/or affiliate of another company, please provide a description of the relationship. Additional financial information may be requested.

Q_5689

Attach a short or long Environmental Assessment Form

Project review pursuant to the State Environmental Quality Review Act (SEQRA) must be completed prior to the award of any state funds. For projects classified as Type I or Unlisted actions, submit a short or long Environmental Assessment Form. See "Click Here fo Question Requirements" for links to forms.

Q_2333

If review of the project has been completed pursuant to State Environmental Quality Review Act (SEQRA) or National Environmental Policy Act (NEPA), please submit the Negative Declaration or Findings Statement, or Finding of No Significant Impact or Record of Decision.

Q_2334

Provide a list of all other federal, state, and local reviews, approvals, or permits needed or completed, including the dates when they are expected to be completed or were completed. If Not Applicable, please upload an attachment that indicates "NA".

Q_16310

Have any other entities completed a Climate Impact Statement or other similar form for this project?

- This is a conditional question.
  1. If **No** is selected then **Q_16312** will be displayed
  2. If **Yes** is selected then **Q_16311** will be displayed

Q_16311

Attach a copy of the completed Climate Impact Statement or similar form.

- This is a conditional question based on the answer to **Q_16310**. This question displays when selecting the answer: "**Yes**"

Q_16312

Has an Environmental Impact Statement been prepared for this project?

- This is a conditional question.
  1. If **No** is selected then **Q_16314** will be displayed
  2. If **Yes** is selected then **Q_16313** will be displayed
- This is a conditional question based on the answer to **Q_16310**. This question displays when selecting the answer: "**No**"

Q_16313

Attach copy of the Greenhouse Gas Emission section.

- This is a conditional question based on the answer to **Q_16312**. This question displays when selecting the answer: "**Yes**"

Q_16314

Have the greenhouse gas emissions expected from the project been quantified in any other way?

- This is a conditional question.
  1. If **Yes** is selected then **Q_16315** will be displayed
- This is a conditional question based on the answer to **Q_16312**. This question displays when selecting the answer: "**No**"

Q_16315

Attach copy of the supporting calculations and other material.

- This is a conditional question based on the answer to **Q_16314**. This question displays when selecting the answer: "**Yes**"

Q_6061

In the first phase of the Application, you were asked to describe your overall project including the location, what will be planned, designed acquired, and/or constructed, the issues/opportunities to be addressed, and expected outcomes and deliverables. Please indicate if any of these items have changed since your original submission.

Q_4283

Please identify which key URI concepts/indicators the project will advance and describe the efforts in which those concepts will be addressed. See help for additional information.

Q_4276

Please describe the readiness of the project and identify when state funding would need to be made available.

Q_3175

Should the full amount of the Applicant's ESD funding request not be awarded, at what minimum level of ESD funding does the project become unfeasible?

Q_5590

Please provide a concise narrative describing the applicant’s history and current operations. Include information about company/organization size, products, services, market share, position within the industry, competitors and the year in which the company was formed, etc.

Q_4277

Please identify the project partners including the project leader and others involved in the implementation of the project. See the help section for format.

Q_4271

Please enter the amount of funding you are requesting through the URI.

Q_2336

Briefly describe the activity that the project involves in terms of product(s) or services(s) that will be provided at the project location.

Q_4272

Please enter the amount of private funding that will be provided for this project.

Q_1142

Indicate the Primary North American Industrial Classification System (NAICS) Code associated with the activity of the business at the project location.

Q_4273

Please enter the amount of additional funding that will be provided to this project.

Q_2219

Describe how the capital investment for which you are seeking funding will make it possible to reach your business or organizational goals, such as changes to profitability, productivity, market share, product offerings, or other results relevant to your goals.

Q_3134

A third party completing this application is required to disclose their name, company and contact name. Is a third party completing this application?

- This is a conditional question.
  1. If **Yes** is selected then **Q_3136** will be displayed

Q_3136

Provide the third party name and contact info.

- This is a conditional question based on the answer to Q_3134. This question displays when selecting the answer: "**Yes**"

Q_4274

Please enter the total project cost from all sources.

Q_4278

Please enter the number of net new permanent private sector jobs that will be created.

Q_4279

Please enter the number of retained jobs associated with the project.

Q_1233

What tasks and steps need to be completed before the project can begin (e.g. obtaining permits, licenses, hiring staff, etc.)

Q_1409

What is the first project year? (e.g. the year equipment will be ordered or when first expenditures are expected to be made)

Q_4280

Please enter the number of construction jobs associated with the project.

Q_4281

Please enter the number of ancillary or other jobs associated with the project.

Q_3133

Is the proposed project located in a highly distressed area? If so, please provide information that will help ESD confirm that the area is highly distressed.

Q_4313

Have you ever formally presented this project or components of this project to the Regional Economic Development Council where the project is located?

Q_12654

Has the applicant applied for and/or been awarded funding from other New York State or local entities for this project?

- This is a conditional question.
  1. If **Yes** is selected then these questions will be displayed:
     - Q_12655
     - Q_13264

Q_12655

Please detail the funding applied to for this project and the entity administering the funding. Do not include funding that has been awarded.

- This is a conditional question based on the answer to Q_12654. This question displays when selecting the answer: "**Yes**"

Q_13264

Please detail the funding awarded to this project and the entity administering the funding.

- This is a conditional question based on the answer to Q_12654. This question displays when selecting the answer: "**Yes**"

Q_13265

Has the applicant applied to and/or been awarded funding from a local IDA?

- This is a conditional question.
  1. If **Yes** is selected then these questions will be displayed:

- Q_13266
- Q_13267

Q_13266

Please detail the funding applied for this project and the entity administering the funding. Do not include funding that has been awarded.

- This is a conditional question based on the answer to Q_13265. This question displays when selecting the answer: "**Yes**"

Q_13267

Please detail the funding awarded to this project and the entity administering the funding.

- This is a conditional question based on the answer to Q_13265. This question displays when selecting the answer: "**Yes**"

Q_4318

Provide any other information in support of the project or pertinent to the request.

Q_6064

Qualified Investments Building Acquisition Year 1

Q_6065

Qualified Investments Building Acquisition Year 2

Q_6066

Qualified Investments Building Acquisition Year 3

Q_6067

Qualified Investments Building Acquisition Year 4

Q_6068

Qualified Investments Building Acquisition Year 5

Q_3007

Qualified Investments Building Renovation Year 1

Q_3008

Qualified Investments New Construction Year 1

Q_3009

Qualified Investments Production Machinery & Equipment Year 1

Q_3010

Qualified Investments Furniture, fixtures & equipment Year 1

Q_6056

Qualified Investments Year 1

Q_3014

Qualified Investments Demolition & environmental remediation Year 1

Q_3015

Qualified Investments Soft Costs Year 1

Q_3018

Qualified Investments Building Renovation Year 2

Q_3019

Qualified Investments New Construction Year 2

Q_3020

Qualified Investments Production Machinery Year 2

Q_3022

Qualified Investments Furniture, fixtures Year 2

Q_6057

Qualified Investments Land Acquisition* Year 2

Q_3027

Qualified Investments Demolition Year 2

Q_3028

Qualified Investments Soft Costs Year 2

Q_3031

Qualified Investments Building Renovation Year 3

Q_3032

Qualified Investments New Construction Year 3

Q_3033

Qualified Investments Production Machinery Year 3

Q_3034

Qualified Investments Furniture, fixtures Year 3

Q_6058

Qualified Investments Land Acquisition* Year 3

Q_3039

Qualified Investments Demolition Year 3

Q_3040

Qualified Investments Soft Costs Year 3

Q_3043

Qualified Investments Building Renovation Year 4

Q_3044

Qualified Investments New Construction Year 4

Q_3045

Qualified Investments Production Machinery Year 4

Q_3046

Qualified Investments Furniture, fixtures Year 4

Q_6059

Qualified Investments Land Acquisition* Year 4

Q_3050

Qualified Investments Demolition Year 4

Q_3051

Qualified Investments Soft Costs Year 4

Q_3054

Qualified Investments Building Renovation Year 5

Q_3055

Qualified Investments New Construction Year 5

Q_3056

Qualified Investments Production Machinery Year 5

Q_3057

Qualified Investments Furniture, fixtures Year 5

Q_6060

Qualified Investments Land Acquisition* Year 5

Q_3061

Qualified Investments Demolition Year 5

Q_3062

Qualified Investments Soft Costs Year 5

Q_5719

Does the project site involve or is it substantially contiguous to a property listed or recommended for listing in the NY State or National Registers of Historic Places? Consult the National Register Information System to find out if a property is listed. Or use the Cultural Resource Information System (CRIS) tool .
See question requirements for more information on SHPO consultation.

- Choice Options: Yes, No, N/A
- This is a conditional question.
    1. If **Yes** is selected then **Q_5721** will be displayed

Q_5721

Please identify the name of the resource, explain the status of the SHPO consultation, and if consultation is complete, please provide a link to SHPO's Letter of Determination of No Adverse Effect or Letter of Resolution to Mitigate Adverse Effect.

- This is a conditional question based on the answer to **Q_5719**. This question displays when selecting the answer: "**Yes**"

Q_5720

Is the project site wholly or partially included within an identified archeologically sensitive area?
Consult the National Register Information System to find out if a property is listed. Or use the Cultural Resource Information System (CRIS) tool to identify archeologically sensitive areas.
See question requirements for more information on SHPO consultation.

- Choice Options: Yes, No, N/A
- This is a conditional question.
    1. If **Yes** is selected then **Q_5722** will be displayed

Q_5722

Please list the geographic information for the archeologically sensitive areas, explain the status of SHPO consultation, and if consultation is complete, please provide a link to SHPO's Letter of Determination of No Adverse Effect or Letter of Resolution to Mitigate Adverse Effect.

- This is a conditional question based on the answer to **Q_5720**. This question displays when selecting the answer: "**Yes**"

Q_16316

Is the project located in or within a half mile of a Disadvantaged Community as identified by the Disadvantaged Communities map maintained by NYSERDA?

Q_16391

Did you answer yes to the any one of the following three questions?

Q_16310: Have any other entities completed a Climate Impact Statement or other similar form for this project?

Q_16312: Has an Environmental Impact Statement been prepared for this project?

Q_16314 Have the greenhouse gas emissions expected from the project been quantified in any other way?

Q_16510

Have you uploaded supporting documentation in the attachments section of this application?

Q_16372

Does the project involve new building construction or renovation of an existing building?

You should select 'Yes' only if the project involves new building construction or the renovation of an existing building. If you answer 'Yes' to less than 4 questions in this section (Part B - The Building), not including this question, and are awarded, you may be contacted to incorporate greenhouse gas mitigation measures into your project.

- This is a conditional question.
  1. If **YES** is selected then these questions will be displayed:
     - Q_16317
     - Q_16319
     - Q_16321
     - Q_16323
     - Q_16325
     - Q_16327
     - Q_16329
     - Q_16331
     - Q_16339
     - Q_16341
     - Q_16343
     - Q_16345
     - Q_16347
     - Q_16350

Q_16317

Is the project an adaptive reuse of an existing building?

- Choice Options: YES, NO, N/A
- This is a conditional question.
  1. If **NO** is selected then **Q_16373** will be displayed
  2. If **YES** is selected then **Q_16318** will be displayed
- This is a conditional question based on the answer to Q_16372. This question displays when selecting the answer: "**YES**"

Q_16318

Explain briefly:

- This is a conditional question based on the answer to Q_16317. This question displays when selecting the answer: "**YES**"

Q_16373

Explain briefly:

- This is a conditional question based on the answer to Q_16317. This question displays when selecting the answer: "**NO**"

Q_16319

Will the project reuse building materials?

- Choice Options: YES, NO, N/A
- This is a conditional question.
  1. If **NO** is selected then **Q_16374** will be displayed
  2. If **YES** is selected then **Q_16320** will be displayed
- This is a conditional question based on the answer to Q_16372. This question displays when selecting the answer: "**YES**"

Q_16320

Explain briefly:

- This is a conditional question based on the answer to Q_16319. This question displays when selecting the answer: "**YES**"

Q_16374

Explain briefly:

- This is a conditional question based on the answer to Q_16319. This question displays when selecting the answer: "**NO**"

Q_16321

Will the project recycle rehabbed building materials?

- Choice Options: YES, NO, N/A
- This is a conditional question.
  1. If **NO** is selected then **Q_16375** will be displayed
  2. If **YES** is selected then **Q_16322** will be displayed
- This is a conditional question based on the answer to Q_16372. This question displays when selecting the answer: "**YES**"

Q_16322

Explain briefly:

- This is a conditional question based on the answer to Q_16321. This question displays when selecting the answer: "**YES**"

Q_16375

Explain briefly:

- This is a conditional question based on the answer to Q_16321. This question displays when selecting the answer: "**NO**"

Q_16323

Will the project incorporate low-carbon building materials into construction?
Some examples of low-carbon materials and products in the market include low-carbon bricks, concrete, tiles and recycled metals.

- Choice Options: YES, NO, N/A
- This is a conditional question.
  1. If **NO** is selected then **Q_16376** will be displayed
  2. If **YES** is selected then **Q_16324** will be displayed
- This is a conditional question based on the answer to Q_16372. This question displays when selecting the answer: "**YES**"

Q_16324

Explain briefly:

- This is a conditional question based on the answer to Q_16323. This question displays when selecting the answer: "**YES**"

Q_16376

Explain briefly:

- This is a conditional question based on the answer to Q_16323. This question displays when selecting the answer: "**NO**"

Q_16325

Will the project utilize an energy efficient building envelope or improve building weatherization through, for example, insulation, air sealing, and/or replacing poorly performing windows and doors?

- Choice Options: YES, NO, N/A
- This is a conditional question.
  1. If **NO** is selected then **Q_16377** will be displayed
  2. If **YES** is selected then **Q_16326** will be displayed
- This is a conditional question based on the answer to Q_16372. This question displays when selecting the answer: "**YES**"

Q_16326

Explain briefly:

- This is a conditional question based on the answer to Q_16325. This question displays when selecting the answer: "**YES**"

Q_16377

Explain briefly:

- This is a conditional question based on the answer to Q_16325. This question displays when selecting the answer: "**NO**"

Q_16327

Will the project adopt measures to avoid, reduce or re-use waste?

- Choice Options: YES, NO, N/A
- This is a conditional question.
  1. If **NO** is selected then **Q_16378** will be displayed
  2. If **YES** is selected then **Q_16328** will be displayed
- This is a conditional question based on the answer to Q_16372. This question displays when selecting the answer: "**YES**"

Q_16328

Explain briefly:

- This is a conditional question based on the answer to Q_16327. This question displays when selecting the answer: "**YES**"

Q_16378

Explain briefly:

- This is a conditional question based on the answer to Q_16327. This question displays when selecting the answer: "**NO**"

Q_16329

Will the project utilize climate friendly refrigerants (those with a Global Warming Potential of less than 10) instead of hydrofluorocarbons?

- Choice Options: YES, NO, N/A
- This is a conditional question.
  1. If **NO** is selected then **Q_16379** will be displayed
  2. If **YES** is selected then **Q_16330** will be displayed
- This is a conditional question based on the answer to Q_16372. This question displays when selecting the answer: "**YES**"

Q_16330

Explain briefly:

- This is a conditional question based on the answer to Q_16329. This question displays when selecting the answer: "**YES**"

Q_16379

Explain briefly:

- This is a conditional question based on the answer to Q_16329. This question displays when selecting the answer: "**NO**"

Q_16331

Will the project incorporate energy efficiency measures such as smart thermostats, energy efficient lighting, or heat pumps for space heating and cooling?

- Choice Options: YES, NO, N/A
- This is a conditional question.
  1. If **NO** is selected then **Q_16380** will be displayed
  2. If **YES** is selected then **Q_16338** will be displayed
- This is a conditional question based on the answer to **Q_16372**. This question displays when selecting the answer: "**YES**"

Q_16338

Explain briefly:

- This is a conditional question based on the answer to **Q_16331**. This question displays when selecting the answer: "**YES**"

Q_16380

Explain briefly:

- This is a conditional question based on the answer to **Q_16331**. This question displays when selecting the answer: "**NO**"

Q_16339

Will the project make use of thermal energy networks, such as those that utilize, for example, ground-source heat pumps, waste heat, or industrial process heat to provide space heating, cooling and/or domestic hot water?

- Choice Options: YES, NO, N/A
- This is a conditional question.
  1. If **NO** is selected then **Q_16381** will be displayed
  2. If **YES** is selected then **Q_16340** will be displayed
- This is a conditional question based on the answer to **Q_16372**. This question displays when selecting the answer: "**YES**"

Q_16340

Explain briefly:

- This is a conditional question based on the answer to **Q_16339**. This question displays when selecting the answer: "**YES**"

Q_16381

Explain briefly:

- This is a conditional question based on the answer to **Q_16339**. This question displays when selecting the answer: "**NO**"

Q_16341

Will the project incorporate infrastructure for charging/fueling zero-emission vehicles?

- Choice Options: YES, NO, N/A
- This is a conditional question.
  1. If **NO** is selected then **Q_16382** will be displayed
  2. If **YES** is selected then **Q_16342** will be displayed
- This is a conditional question based on the answer to **Q_16372**. This question displays when selecting the answer: "**YES**"

Q_16342

Explain briefly:

- This is a conditional question based on the answer to **Q_16341**. This question displays when selecting the answer: "**YES**"

Q_16382

Explain briefly:

- This is a conditional question based on the answer to **Q_16341**. This question displays when selecting the answer: "**NO**"

Q_16343

Will the project install on-site renewable energy systems and/or on-site battery storage systems to contribute to the project's energy needs?

- Choice Options: YES, NO, N/A
- This is a conditional question.
  1. If **NO** is selected then **Q_16383** will be displayed
  2. If **YES** is selected then **Q_16344** will be displayed
- This is a conditional question based on the answer to **Q_16372**. This question displays when selecting the answer: "**YES**"

Q_16344

Explain briefly:

- This is a conditional question based on the answer to **Q_16343**. This question displays when selecting the answer: "**YES**"

Q_16383

Explain briefly:

- This is a conditional question based on the answer to **Q_16343**. This question displays when selecting the answer: "**NO**"

Q_16345

Will the project utilize renewable energy for at least 50% of its electricity?

- Choice Options: YES, NO, N/A
- This is a conditional question.
  1. If **NO** is selected then **Q_16384** will be displayed
  2. If **YES** is selected then **Q_16346** will be displayed
- This is a conditional question based on the answer to **Q_16372**. This question displays when selecting the answer: "**YES**"

Q_16346

Explain briefly:

- This is a conditional question based on the answer to **Q_16345**. This question displays when selecting the answer: "**YES**"

Q_16384

Explain briefly:

- This is a conditional question based on the answer to **Q_16345**. This question displays when selecting the answer: "**NO**"

Q_16347

Will the building systems be 100% electrified?

- Choice Options: YES, NO, N/A
- This is a conditional question.
  1. If **NO** is selected then **Q_16390** will be displayed
  2. If **YES** is selected then **Q_16348** will be displayed
- This is a conditional question based on the answer to **Q_16372**. This question displays when selecting the answer: "**YES**"

Q_16348

Explain briefly:

- This is a conditional question based on the answer to **Q_16347**. This question displays when selecting the answer: "**YES**"

Q_16390

Explain briefly:

- This is a conditional question based on the answer to **Q_16347**. This question displays when selecting the answer: "**NO**"

Q_16350

Are there any renewable energy or energy efficiency improvements being made to the building as part of the project that are not reflected in the items above?

- Choice Options: YES, NO, N/A
- This is a conditional question.
  1. If **NO** is selected then **Q_16385** will be displayed
  2. If **YES** is selected then **Q_16351** will be displayed
- This is a conditional question based on the answer to **Q_16372**. This question displays when selecting the answer: "**YES**"

Q_16351

Explain briefly:

- This is a conditional question based on the answer to **Q_16350**. This question displays when selecting the answer: "**YES**"

Q_16385

Explain briefly:

- This is a conditional question based on the answer to **Q_16350**. This question displays when selecting the answer: "**NO**"

Q_16363

Does the project involve the installation, expansion or renovation of a manufacturing process?

- This is a conditional question.
  1. If **Yes** is selected then these questions will be displayed:
     - **Q_16352**
     - **Q_16354**
     - **Q_16357**
     - **Q_16359**
     - **Q_16361**

Q_16352

Will the project use innovative measures to reduce emissions such as low-carbon thermal solutions or carbon capture, storage, and utilization?

- Choice Options: YES, NO, N/A
- This is a conditional question.
  1. If **NO** is selected then **Q_16386** will be displayed
  2. If **YES** is selected then **Q_16353** will be displayed
- This is a conditional question based on the answer to **Q_16363**. This question displays when selecting the answer: "**Yes**"

Q_16353

Explain briefly:

- This is a conditional question based on the answer to **Q_16352**. This question displays when selecting the answer: "**YES**"

Q_16386

Explain briefly:

- This is a conditional question based on the answer to **Q_16352**. This question displays when selecting the answer: "**NO**"

Q_16354

Will the project use cleaner fuels, such as hydrogen formed without greenhouse gas emissions through the use of electrolysis powered by renewable electricity, to replace or displace the use of fossil fuels?

- Choice Options: YES, NO, N/A
- This is a conditional question.
  1. If **NO** is selected then **Q_16356** will be displayed
  2. If **YES** is selected then **Q_16355** will be displayed
- This is a conditional question based on the answer to **Q_16363**. This question displays when selecting the answer: "**Yes**"

Q_16355

Explain briefly:

- This is a conditional question based on the answer to Q_16354. This question displays when selecting the answer: "**YES**"

Q_16356

Please identify the fuels and systems that will be employed in the manufacturing process and explain why cleaner fuels or electrification will not be used.

- This is a conditional question based on the answer to Q_16354. This question displays when selecting the answer: "**NO**"

Q_16357

Will the project incorporate mitigation measures for process emissions?

- Choice Options: YES, NO, N/A
- This is a conditional question.
  1. If **NO** is selected then **Q_16387** will be displayed
  2. If **YES** is selected then **Q_16358** will be displayed
- This is a conditional question based on the answer to Q_16363. This question displays when selecting the answer: "**Yes**"

Q_16358

Explain briefly:

- This is a conditional question based on the answer to Q_16357. This question displays when selecting the answer: "**YES**"

Q_16387

Explain briefly:

- This is a conditional question based on the answer to Q_16357. This question displays when selecting the answer: "**NO**"

Q_16359

Will the project use best available control technology for mitigating and controlling greenhouse gas emissions?

- Choice Options: YES, NO, N/A
- This is a conditional question.
  1. If **NO** is selected then **Q_16388** will be displayed
  2. If **YES** is selected then **Q_16360** will be displayed
- This is a conditional question based on the answer to Q_16363. This question displays when selecting the answer: "**Yes**"

Q_16360

Explain briefly:

- This is a conditional question based on the answer to Q_16359. This question displays when selecting the answer: "**YES**"

Q_16388

Explain briefly:

- This is a conditional question based on the answer to Q_16359. This question displays when selecting the answer: "**NO**"

Q_16361

Are there any renewable energy or energy efficiency improvements being made to the manufacturing process as part of the project that are not reflected in the items above?

- Choice Options: YES, NO, N/A
- This is a conditional question.
  1. If **NO** is selected then **Q_16389** will be displayed
  2. If **YES** is selected then **Q_16362** will be displayed
- This is a conditional question based on the answer to Q_16363. This question displays when selecting the answer: "**Yes**"

Q_16362

Explain briefly:

- This is a conditional question based on the answer to Q_16361. This question displays when selecting the answer: "**YES**"

Q_16389

Explain briefly:

- This is a conditional question based on the answer to Q_16361. This question displays when selecting the answer: "**NO**"

Q_13611

The NYS Smart Growth Public Infrastructure Policy Act requires that a public infrastructure project meet the relevant smart growth criterion to the extent practicable. Public Infrastructure projects consist of construction or reconstruction of transportation, sewer, wastewater treatment, water, education, housing and other publicly supported infrastructure.Does the proposed project build new public infrastructure, expand public infrastructure or use, maintain, or improve existing public infrastructure?

If you are maintaining or improving existing public infrastructure, please answer “YES”. If you are building new public infrastructure or expanding public infrastructure, please answer "YES".

If this is not applicable to your project, answer “NO”.

- This is a conditional question.
  1. If **Yes** is selected then these questions will be displayed:
     - Q_13612
     - Q_13613
     - Q_13614
     - Q_13615
     - Q_13616
     - Q_13617
     - Q_13618
     - Q_13619
     - Q_13620
     - Q_13621
     - Q_13622
     - Q_13623

Q_13613

Please explain the need to build new infrastructure instead of using or improving existing infrastructure. If n/a, please type N/A.

- This is a conditional question based on the answer to Q_13611. This question displays when selecting the answer: "**Yes**"

Q_13612

Explain how the proposed project will use, maintain, or improve existing infrastructure.

- This is a conditional question based on the answer to Q_13611. This question displays when selecting the answer: "**Yes**"

Q_13614

Please explain how the proposed project is located in a municipal center.

- This is a conditional question based on the answer to Q_13611. This question displays when selecting the answer: "**Yes**"

Q_13615

Please explain how the proposed project is located in a developed area or an area designated for concentrated infill development in a municipally approved comprehensive land use plan, local waterfront revitalization plan and/or brownfield opportunity area plan. Specifically, explain how your project advances infill development or redevelopment in existing developed areas consistent with an approved plan. Infill development includes redevelopment, rehabilitation and new development between existing buildings on vacant or under-utilized sites.

- This is a conditional question based on the answer to Q_13611. This question displays when selecting the answer: "**Yes**"

Q_13616

Please explain how the proposed project will protect, preserve and enhance the State's resources, including agricultural land, forests, surface and groundwater, air quality, recreation and open space, scenic areas, and significant historic and archeological resources. Beyond simply avoiding or minimizing negative environmental impacts, please indicate the resources that may be impacted by your project and how your project will preserve and enhance these resources.

- This is a conditional question based on the answer to Q_13611. This question displays when selecting the answer: "**Yes**"

Q_13617

Please explain how the proposed project will foster mixed land uses and compact development, downtown revitalization, Brownfield redevelopment, the enhancement of beauty in public spaces, the diversity and affordability of housing in proximity to places of employment, recreation and commercial development and the integration of all income and age groups. Specifically, explain how your project advances these objectives and improves the quality of life in your community.

- This is a conditional question based on the answer to Q_13611. This question displays when selecting the answer: "**Yes**"

Q_13618

Please explain how the proposed project will provide mobility through transportation choices including improved public transportation and reduced automobile dependency. There are many alternatives to automobile transportation. Please explain how your project provides or complements alternatives to automobile travel such as bikes, pedestrians, public transit, air travel or rail travel.

- This is a conditional question based on the answer to Q_13611. This question displays when selecting the answer: "**Yes**"

Q_13619

Please explain how the proposed project will involve coordination between state and local government and inter-municipal and regional planning. Identify any interaction between the applicant and any municipal and county governments, planning boards, regional planning associations or similar organizations. Document any outreach by the applicant to these organizations regarding the project and any relevant correspondence.

- This is a conditional question based on the answer to Q_13611. This question displays when selecting the answer: "**Yes**"

Q_13620

Please explain how the proposed project will involve participation in community-based planning and collaboration. Specifically, explain how the project results from an inclusive, multi-stakeholder (including traditionally underserved populations) process of community-based planning and collaboration. To assist with your explanation, identify any affected community groups or organizations with an interest in the proposed project and if the planning process involved outreach to citizens and stakeholders at all stages of development of the project.

- This is a conditional question based on the answer to Q_13611. This question displays when selecting the answer: "**Yes**"

Q_13621

Please explain how the proposed project will ensure predictability in building and land use codes. Provide any additional relevant information.

- This is a conditional question based on the answer to Q_13611. This question displays when selecting the answer: "**Yes**"

Q_13622

Please explain how the proposed project will promote sustainability by strengthening existing and creating new communities which reduce greenhouse gas emissions and do not compromise the needs of future generations, by among other means encouraging broad based public involvement in developing and implementing a community plan and ensuring the governance structure is adequate to sustain its implementation. Specifically, explain how your project promotes sustainability. For example, does your project include buildings and plans that seek to minimize consumption of fossil fuels (coal, petroleum), reduce water usage / consumption, and encourage the use of renewable energy (wind, solar, and geo-thermal).

- This is a conditional question based on the answer to Q_13611. This question displays when selecting the answer: "**Yes**"

Q_13623

Please explain how the proposed project will mitigate future physical climate risk due to sea-level rise, and/or storm surges and/or flooding, based on available data predicting the likelihood of future extreme weather events, including hazard risk analysis data.

Specifically, explain how your project demonstrates that future physical climate risk due to sea-level rise, storm surge and flooding have been considered. For example, have you demonstrated consideration of the flood risk applicable to your specific structure type? Explain how the siting and design have evaluated flood-risk considerations, including, but not limited to, human health and safety, environmental effects, cost, funding-source requirements, feasibility and community impact.

For information on the State Climate Impacts Assessment visit:
https://nysclimateimpacts.org/about-the-assessment/what-is-the-assessment/

For information on implementation of the Community Risk and Resiliency Act visit:
https://dec.ny.gov/environmental-protection/climate-change/new-york-response/crra

- This is a conditional question based on the answer to Q_13611. This question displays when selecting the answer: "**Yes**"

Q_7341

By entering your name in the box below, you certify, under penalty of perjury, that the information given herein is true and correct in all respects for the company or organization applying for funding (the "Company"), presently and for the past five years: -the Company is not a party to any litigation or any litigation is not pending or anticipated that could have an adverse material effect on the company's financial condition;

-the Company does not have any contingent liabilities that could have a material effect on its solvency;

-the Company, its affiliates or any member of its management or any other concern with which such members of management have been officers or directors, have never been involved in bankruptcy, creditor's rights, or receivership proceedings or sought protection from creditors;

-the Company is not delinquent on any of its state, federal or local tax obligations;

-No principal, officer of the Company, owner or majority stockholder of any firm or corporation, or member of the management has been charged or convicted of a misdemeanor or felony, indicted, granted immunity, convicted of a crime or subject to a judgment, or the subject of an investigation, whether open or closed, by any government entity for a civil or criminal violation for: (i) any business-related activity including, but not limited to, fraud, coercion, extortion, bribe or bribe receiving, giving or accepting unlawful gratuities, immigration or tax fraud, racketeering, mail fraud, wire fraud, price fixing or collusive bidding; or (ii) any crime, whether or not business related, where the underlying conduct relates to truthfulness, including but not limited to, the filing of false documents or false sworn statements, perjury or larceny;

-the Company or any of the Company's affiliates, principal owners or Officers has not received a violation of State Labor Law deemed "willful";

-the Company or any of its affiliates has never been cited for a violation of State, Federal, or local laws or regulations with respect to labor practices, hazardous wastes, environmental pollution or other operating practices;

-there are not any outstanding judgments or liens pending against the Company other than liens in the normal course of business.

-the Company or any of its affiliates, principal owners or officers the company has not been the subject of any judgments, injunctions, or liens including, but not limited to, judgments based on taxes owed, fines and penalties assessed by any governmental agency, or elected official against the Company.

- the Company or any of its affiliates, principal owners or officers the company has not been investigated by any governmental agency, including, but not limited to, federal, state and local regulatory agencies

-the Company or any of its affiliates, principal owners or officers the company has not been debarred from entering into any government contract; been found non-responsible on any government contract; been declared in default ore terminated for cause on any government contract; been determined to be ineligible to bid or propose on any contract; been suspended from bidding on any government contract; received an overall unsatisfactory performance rating from any government agency on any contract; agree to a voluntary exclusion from bidding or contracting on a government contract.

- the Company or any of its affiliates, principal owners or officers the company has not failed to file any of the required forms with any government entity regulating the Company. By entering your name in the box below, you agree to allow the Department of Taxation to share the Company tax information with ESD. By entering your name in the box below, you agree to allow the Department of Labor to share tax and employer information with ESD. Note: If any of the statements above are not true, in addition to entering your name, also include an explanation in the box below, indicating which issue you are addressing.

Q_1038

By entering your name in the box below, you certify that you are authorized on behalf of the applicant and its governing body to submit this application. You further certify that all of the information contained in this Application and in all statements, data and supporting documents which have been made or furnished for the purpose of receiving assistance for the project described in this application, are true, correct and complete to the best of your knowledge and belief. You acknowledge that offering a written instrument knowing that the written instrument contains a false statement or false information, with the intent to defraud the State or any political subdivision, public authority or public benefit corporation of the State, with the knowledge or belief that it will be filed with or recorded by the State or any political subdivision, public authority or public benefit corporation of the State, constitutes a crime under New York State Law.

Q_2365

By entering your name in the box below, you are acknowledging that ESD’s Contractor & Supplier Diversity policy will apply to this project. You are further acknowledging that you are aware of ESD’s agency-wide Minority and Women Business Enterprise (‘MWBE’) utilization goal of 30% and ESD's agency wide Service-Disabled Veteran-Owned Business ('SDVOB') utilization goal of 6%. Please note that each project will be assigned an individual contract-specific goal, which may be higher or lower than the 30% and 6%, respectively. Furthermore, you understand that, should this project receive a funding award, the Applicant shall be required to use good faith efforts to achieve the prescribed MWBE and SDVOB goals assigned to this project and failure to attain MWBE and SDVOB goals could result in grant amount being reduced.

Q_4182

By entering your name in the box below, you certify and agree that you are aware that your award will be reduced in proportion to the reduction of jobs and/or total project costs. Furthermore, you understand that, should this project receive a funding award, the Applicant will maintain such records and take such actions necessary to demonstrate such compliance throughout the completion of the project.