Program Questions:
Upstate Revitalization Initiative

Q_4269
Are you submitting an “Intent to Propose” application seeking funding through the Upstate Revitalization Initiative?

- Question Type: Threshold
- Required: Yes
- Answer Type: Yes/No

Eligible applicants must have a project located in one of the following regions in order to be considered by the REDCs for funding: Capital Region, Central New York, Finger Lakes, Mid-Hudson, Mohawk Valley, North Country and the Southern Tier.

URI applicants seeking additional funding through the CFA must submit a separate CFA. A URI Intent to Propose is not a substitute for a CFA for ESD Capital Grants or other State agency resources. URI applications will not be considered for other State funding.

Q_565
Project City
- Question Type: Location
- Required: Yes
- Answer Type: Short Answer

Q_972
Project county or counties.
- Question Type: Location
- Required: Yes
- Answer Type: NYS County Multiple Choice

Q_1034
Project ZIP Code. (please use ZIP+4 if known)
- Question Type: Location
- Required: Yes
- Answer Type: Short Answer

To locate a Zip Code, click HERE.

Q_928
Project Street Address: Please input the project street address (Street Number and Street Name only).
If the project has multiple locations, please input the primary street address of the project. If the project does not have a definite street address, please input the approximate street address of the project (Street Number and Street Name only).

- Question Type: Location
- Required: Yes
- Answer Type: Short Answer

Q_568
Project State
- Question Type: Location
- Required: Yes
- Answer Type: Single Choice Dropdown
- Choice Options: AA,AL,AK,AZ,AR,CA,CO,CT,DE,FL,GA,HI,IL,IN,IA,KS,KY,LA,ME,MD,MA,MI,MN,MS,MO,MT,NE,NV,NH,NJ,NM,NY,NC,ND,OH,OK,OR,PA,RI,SC,SD,TN,TX,UT,VT,VA,WA,WV,WI,WY,AS,DC,FM,MP,PW,PR,VI
- Default Answer: AA

Q_572
Project Latitude (This question's value will be filled automatically, based on the project address, when the application is finalized.)

- Question Type: Location
- Required: No
- Answer Type: Decimal

Q_573
Project Longitude (This question's value will be filled automatically, based on the project address, when the application is finalized.)

- Question Type: Location
- Required: No
- Answer Type: Decimal

Q_3527
US Congressional District where the project is located. (This question's value will be filled automatically, based on the project address, when the application is finalized.)

- Question Type: Location
- Required: No
- Answer Type: Single Choice Dropdown
- Choice Options: 1,2,3,4,5,6,7,8,9,10,11,12,13,14,15,16,17,18,19,20,21,22,23,24,25,26,27
- Question Requirements:
  To determine the US Congressional District, click HERE.

Q_184
NYS Assembly District where the project is located. (This question's value will be filled automatically, based on the project address, when the application is finalized.)

- Question Type: Location
- Required: No
- Answer Type: Integer
Q_190
NY Senate District where the project is located. (This question's value will be filled automatically, based on the project address, when the application is finalized.)

- Question Type: Location
- Required: No
- Answer Type: Integer

Q_549
Type of Applicant (select all that apply)

- Question Type: Basic
- Required: Yes
- Answer Type: Multi Choice
- Choice Options: Federal, State, County, City, Town, Village, Tribal, School District, County or Town Improvement District, District Corporation, For-Profit, Not-For-Profit, Individual, S Corporation, C Corporation, IDA, LDC, LLC, LLP, Public Authority, Public Benefit Corp, Sole Proprietorship, BID, LP, Boards Of Cooperative Educational Services (BOCES), Fire District, Regional Planning and Development Board, Public Library, Association Library, College/University/Community College

Q_12603
Is the applicant a DBA?

- Question Type: Basic
- Required: Yes
- Answer Type: Yes/No
- This is a conditional question. If Yes is selected then Q_550 will be displayed

Q_550
What is the applicant's DBA name?

- Question Type: Basic
- Required: No
- Answer Type: Short Answer
- This is a conditional question based on the answer to Q_12603. This question displays when selecting the answer: "Yes"

Q_556
Select an applicant ID type from the list below that you normally use to identify your organization on application forms.

- Question Type: Basic
- Required: Yes
- Answer Type: Multi Choice
- Choice Options: Charity Reg #, Duns Number, Federal Tax ID Number, NYS Unemployment Insurance Tax Number, NYS Vendor Identification Number (SFS), Employee Identification Number (EIN), Unique Entity Identifier (UEI)
- Question Requirements: Applicants will be required to provide the specified ID number upon request by the funding agencies.

Q_2655
Based on your selection from the previous question, enter the associated ID number.

- Question Type: Basic
- Required: Yes
- Answer Type: Short Answer

Q_969
If you are a business, have you been certified as a New York State Minority or Women-owned Business Enterprise (MWBE)?

- Question Type: Basic
- Required: Yes
- Answer Type: Single Choice Radio Button
- Choice Options: Yes, No, N/A

Q_546
Organization Legal Name

- Question Header: Applicant Information
- Question Type: Basic
- Required: Yes
- Answer Type: Short Answer
- Question Requirements: If applying as the name of a business please type in the name as it appears on your business papers. If applying as an individual insert your name here.

Q_551
Applicant Street Address

- Question Type: Basic
- Required: Yes
- Answer Type: Short Answer

Q_552
Applicant City

- Question Type: Basic
- Required: Yes
- Answer Type: Short Answer

Q_553
Applicant State

- Question Type: Basic
- Required: Yes
- Answer Type: State Dropdown
Q_554
Applicant ZIP Code: (please use ZIP+4 if known)
- Question Type: Basic
- Required: Yes
- Answer Type: Long Answer
- Characters: 5 - 10
- Question Requirements:
  To look up a zip code, click [HERE].

Q_651
Applicant Telephone Number (please include area code)
- Question Type: Basic
- Required: Yes
- Answer Type: Phone

Q_555
Applicant Email Address
- Question Type: Basic
- Required: Yes
- Answer Type: Email

Q_547
Contact First Name
- Question Type: Basic
- Required: Yes
- Answer Type: Short Answer

Q_1049
Contact Last Name
- Question Type: Basic
- Required: Yes
- Answer Type: Short Answer

Q_1050
Contact Title
- Question Type: Basic
- Required: No
- Answer Type: Short Answer

Q_3688
Contact Street Address
- Question Type: Basic
- Required: Yes
- Answer Type: Short Answer

Q_3689
Contact City
- Question Type: Basic
- Required: Yes
- Answer Type: Short Answer

Q_3690
Contact State
- Question Type: Basic
- Required: Yes
- Answer Type: State Dropdown

Q_3691
Contact ZIP Code (please use ZIP+4 if known)
- Question Type: Basic
- Required: Yes
- Answer Type: Short Answer

Q_562
Primary Contact Phone Number. (please include area code)
- Question Type: Basic
- Required: Yes
- Answer Type: Phone

Q_3692
Contact Email
- Question Type: Basic
- Required: Yes
- Answer Type: Email

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Q_5475
Contract Salutation
• Question Type: Basic
• Required: No
• Answer Type: Single Choice Dropdown
  • Choice Options: Mr., Mrs., Ms., Dr.

Q_5476
Contract First
• Question Type: Basic
• Required: Yes
• Answer Type: Short Answer

Q_5477
Contract Last
• Question Type: Basic
• Required: Yes
• Answer Type: Short Answer

Q_5478
Contract Title
• Question Type: Basic
• Required: No
• Answer Type: Short Answer

Q_5491
Authorized Organization
• Question Type: Basic
• Required: No
• Answer Type: Short Answer

Q_5479
Contract Street
• Question Type: Basic
• Required: Yes
• Answer Type: Short Answer

Q_5480
Contract City
• Question Type: Basic
• Required: Yes
• Answer Type: Short Answer

Q_5481
Contract State
• Question Type: Basic
• Required: Yes
• Answer Type: State Dropdown

Q_5482
Contract Zip (please use ZIP+4 if known)
• Question Type: Basic
• Required: Yes
• Answer Type: Short Answer

Q_5483
Contract Phone (please include area code)
• Question Type: Basic
• Required: Yes
• Answer Type: Phone

Q_5484
Contract Email
• Question Type: Basic
• Required: Yes
• Answer Type: Email

Q_1052
Additional Project Contact First Name
• Question Type: Basic
• Required: No
• Answer Type: Short Answer
Q_970
Additional Project Contact Last Name
• Question Type: Basic
• Required: No
• Answer Type: Short Answer

Q_1051
Additional Contact Title
• Question Type: Basic
• Required: No
• Answer Type: Short Answer

Q_3693
Additional Contact Street Address
• Question Type: Basic
• Required: No
• Answer Type: Short Answer

Q_3694
Additional Contact City
• Question Type: Basic
• Required: No
• Answer Type: Short Answer

Q_3695
Additional Contact State
• Question Type: Basic
• Required: No
• Answer Type: State Dropdown

Q_3696
Additional Contact ZIP (please use ZIP+4 if known)
• Question Type: Basic
• Required: No
• Answer Type: Short Answer

Q_3697
Additional Contact Telephone Number (please include area code)
• Question Type: Basic
• Required: No
• Answer Type: Phone

Q_561
Additional Contact Email Address
• Question Type: Basic
• Required: No
• Answer Type: Email

Q_4199
Please select the primary sector or characterization that best defines this project.
• Question Type: Basic
• Required: Yes
• Answer Type: Single Choice Dropdown

Q_4198
Please select the secondary sector or characterization that best defines this project.
• Question Type: Basic
• Required: Yes
• Answer Type: Single Choice Dropdown

Q_575
Project Description. Concisely describe the project, indicating the location, what will be planned, designed, acquired, and/or constructed, the issues/opportunities to be addressed, and expected outcomes and deliverables. Additional details will be collected later in the application process.
• Question Header: Project Description
• Question Type: Basic
• Required: Yes
• Answer Type: Long Rich Text (HTML)
• Characters: 1 - 1200
• Question Requirements: Please include details relevant to all programs on this application. Programs on this application are: []

Q_976
Statement of need: Provide a brief summary of the need for the project in the geographic area proposed and the project's financing needs, including funding gaps of the proposal project.
• Question Type: Basic
• Required: Yes
Provide a brief summary of the need for the project in the geographic area proposed, the project's financing needs, including funding gaps and, where applicable, describe the additional short and long term jobs that will be created through the development of the proposed project.

Q_929
Current State of Project Development (i.e. planning, preliminary engineering, final design, etc. You may enter N/A for non-project related applications)

Q_975
Estimated Project Timeline: include project start/completion dates, estimates for design, permitting and construction or other major steps.

Q_580
Provide a list of all federal, state, and local reviews, approvals, or permits needed or completed, including the dates when they are expected to be completed or were completed. If Not Applicable, indicate "NA".

Q_12606
Does this project require State and/or Federal Environmental Review?

Q_12604
Has a National Environmental Policy Act (NEPA) Record of Decision been issued?

Q_1054
Please explain decision and include date of Record of Decision.

Q_12625
Has the applicant or project been awarded funding in prior CFA rounds?

Q_2364
What is the status of State and/or Federal Environmental Review?

Q_2362
What were the CFA numbers for which funding was awarded? (separate multiple CFA numbers with commas)

Q_4160
For each program to which you are applying under the CFA, explain your strategy for proceeding if the full amount of requested funding, required matching funds, and temporary financing are not secured as expected, or committed sources become unavailable. This explanation must address any proposed project phases, and both CFA and non-CFA sources of funds.
Q_2366
How does your project align with the Regional Economic Development Council’s Strategic Plan/Upstate Revitalization Initiative Plan? (strategic plans are located at https://regionalcouncils.ny.gov/)

• Question Type: REDC
• Required: Yes
• Answer Type: Long Answer
• Characters: 1 - 1000

• Question Requirements:
  Describe how the proposed project advances the REDC/URI plan for the region, specifically linking the project to the region’s goals, strategies, and targeted industry clusters. A response to this question should directly and specifically link the project to a section or sections of REDC/URI plan for the region.

Q_930
Explain what makes your project a regional economic priority - for example creates jobs, economic investment, sustainability and community revitalization, government efficiency or consolidation etc.

• Question Type: REDC
• Required: Yes
• Answer Type: Long Answer
• Characters: 1 - 1000

• Question Requirements:
The REDC and Upstate Revitalization Initiative’s (URI) are designed to support projects that will help transform the region’s economy. Applicants seeking these resources should explain why the project is a priority for the region. Providing details on the impact of the project such as the ability to create net new high paying jobs, the extent of private sector support, the impact it has on the community, and how the project will advance, in a meaningful way, the implementation of the REDC/URI plans, will help the REDCs and state during review.

Q_2199
Indicate the average annual wage for existing full-time equivalent employees the applicant employs in all NYS Locations as of the date this application is finalized.

• Question Type: Jobs
• Required: Yes
• Answer Type: Money

Q_2195
Indicate how many existing full-time equivalent jobs the applicant employs in all NYS LOCATIONS.

• Question Type: Jobs
• Required: Yes
• Answer Type: Integer

• Question Requirements:
  A Full-time equivalent job equals any combination of two or more part-time jobs that, when combined together, constitute the equivalent of a job of at least 35 hours per week. NOTE: Your base employment for Excelsior is calculated using the prior 4 quarters from when you are accepted into the program.

Q_1365
Indicate how many of the total existing full-time equivalent employees in New York State are contract employees.

• Question Type: Jobs
• Required: Yes
• Answer Type: Integer

• Question Requirements:
  A Full-time Contract Employee is a full-time private sector employee (or self-employed person) who is not on the applicant’s payroll but who works for the applicant for a minimum of 35 hours per week providing services that would otherwise be provided by a Full-time Permanent Employee. The position held by a Full-time Contract Employee is a year round position.

Q_2196
Indicate how many existing full-time equivalent jobs the applicant employs at all PROJECT LOCATION(S).

• Question Type: Jobs
• Required: Yes
• Answer Type: Integer

• Question Requirements:
  NOTE: A full-time equivalent job equals any combination of two or more part-time jobs that, when combined together, constitute the equivalent of a job of at least 35 hours per week. Please note if any of these positions are contract employees and if so, how many of the total are contract employees.

Q_1186
Indicate the average annual wage for the employees at the Project Location as of the date this application is finalized.

• Question Type: Jobs
• Required: Yes
• Answer Type: Money

Q_1196
How many of the existing jobs at the project location(s) are at risk if the project does not go forward.

• Question Type: Jobs
• Required: Yes
• Answer Type: Integer

• Question Requirements:
  “At Risk” shall mean a permanent Full-time employee position currently located in New York State that is found by ESD to be at risk of being lost or moved out of state based on compelling information provided by the applicant.

Q_2175
What percentage of the project's employees are/will be residents of New York State?

• Question Type: Jobs
• Required: Yes
• Answer Type: Integer

Q_1366
Indicate how many of the total existing full-time equivalent employees at the Project Location are contract employees.
A Full-time Contract Employee is a full-time private sector employee (or self-employed person) who is not on the applicant’s payroll but who works for the applicant for a minimum of 35 hours per week providing services that would otherwise be provided by a Full-time Permanent Employee. The position held by a Full-time Contract Employee is a part-time position.

Q_2372
Will the proposed project result in the creation of construction jobs? If so, estimate the number of construction jobs to be created. Enter zero if not applicable.

Q_2178
Will any other non-construction jobs result from the project? If so, please estimate how many jobs, explain how these jobs will be created, and explain the method used to determine the job number estimate. For example: This could include jobs created by tenants at an incubator or mixed-use development or as a result of the creation of a job training center.

Q_3064
Net New Job Information (Enter Cumulatively) 1. Enter the type of job by general category. 2. Enter the gross annual wages for each job type. 3. List the number of net new jobs. Please enter CUMULATIVE job totals, i.e., if there are 10 jobs being created each year for 3 years, enter as 10, 20, 30, 40, 50.

Please note that if you are completing the URI Phase 1 - Intent to Propose, you are not required to complete the table below at this time as this information will be available to enter in during phase 2 of the application.

Q_3065
Net New Job Information

Q_3066
Net New Job Information

Q_3067
Net New Job Information

Q_3068
Net New Job Information

Q_3069
Net New Job Information

Q_3070
Net New Job Information

Q_3071
Net New Job Information Job Type/Category

Q_3072
Net New Job Information 2 Avg Gross Wages/Job Type

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Q_3073
Net New Job Information 2 Year 1 -
• Question Type: Jobs
• Required: No
• Answer Type: Integer

Q_3074
Net New Job Information 2 Year 2 -
• Question Type: Jobs
• Required: No
• Answer Type: Integer

Q_3075
Net New Job Information 2 Year 3 -
• Question Type: Jobs
• Required: No
• Answer Type: Integer

Q_3076
Net New Job Information 2 Year 4 -
• Question Type: Jobs
• Required: No
• Answer Type: Integer

Q_3077
Net New Job Information 2 Year 5 -
• Question Type: Jobs
• Required: No
• Answer Type: Integer

Q_3078
Net New Job Information (Enter Cumulatively) Job Type/Category
• Question Type: Jobs
• Required: No
• Answer Type: Short Answer

Q_3079
Net New Job Information (Enter Cumulatively) 3 Avg Gross Wages/Job Type
• Question Type: Jobs
• Required: No
• Answer Type: Money

Q_3080
Net New Job Information (Enter Cumulatively) 3 Year 1 -
• Question Type: Jobs
• Required: No
• Answer Type: Integer

Q_3081
Net New Job Information (Enter Cumulatively) 3 Year 2 -
• Question Type: Jobs
• Required: No
• Answer Type: Integer

Q_3082
Net New Job Information (Enter Cumulatively) 3 Year 3 -
• Question Type: Jobs
• Required: No
• Answer Type: Integer

Q_3083
Net New Job Information (Enter Cumulatively) 3 Year 4 -
• Question Type: Jobs
• Required: No
• Answer Type: Integer

Q_3084
Net New Job Information (Enter Cumulatively) 3 Year 5 -
• Question Type: Jobs
• Required: No
• Answer Type: Integer
For privately owned companies, IDAs, not-for-profits, educational institutions, start-ups, municipalities, or any organization other than publicly traded companies, please provide audited or reviewed financials, or compiled financials with signed tax returns, for 2020. For publicly traded companies, please provide Form 10-K for 2020.

Financial statements should be audited or reviewed. If the statements are only compiled, they must be accompanied by copies of signed Federal tax returns (3 yrs). Financial statements should be provided by the parent company or any individual owning 50% or greater of the Applicant unless the Applicant proposes separate audited or reviewed financial statements or files separate tax returns from the parent.

For information about this requirement, contact your local ESD Regional Office. [https://esd.ny.gov/regions](https://esd.ny.gov/regions)

For privately owned companies, IDAs, not-for-profits, educational institutions, start-ups, municipalities, or any organization other than publicly traded companies, please provide audited or reviewed financials, or compiled financials with signed tax returns, for 2021. For publicly traded companies, please provide Form 10-K for 2021.

Financial statements should be audited or reviewed. If the statements are only compiled, they must be accompanied by copies of signed Federal tax returns (3 yrs). Financial statements should be provided by the parent company or any individual owning 50% or greater of the Applicant unless the Applicant proposes separate audited or reviewed financial statements or files separate tax returns from the parent.

For information about this requirement, contact your local ESD Regional Office. [https://esd.ny.gov/regions](https://esd.ny.gov/regions)

For privately owned companies, IDAs, not-for-profits, educational institutions, start-ups, municipalities, or any organization other than publicly traded companies, please provide audited or reviewed financials, or compiled financials with signed tax returns, for 2022. For publicly traded companies, please provide Form 10-K for 2022.

Financial statements should be audited or reviewed. If the statements are only compiled, they must be accompanied by copies of signed Federal tax returns (3 yrs). Financial statements should be provided by the parent company or any individual owning 50% or greater of the Applicant unless the Applicant proposes separate audited or reviewed financial statements or files separate tax returns from the parent.

For information about this requirement, contact your local ESD Regional Office. [https://esd.ny.gov/regions](https://esd.ny.gov/regions)

For privately owned companies, IDAs, not-for-profits, educational institutions, start-ups, municipalities, or any organization other than publicly traded companies, please provide the most recent Form 10-Q.

Financial statements should be audited or reviewed. If the statements are only compiled, they must be accompanied by copies of signed Federal tax returns (3 yrs). Financial statements should be provided by the parent company or any individual owning 50% or greater of the Applicant unless the Applicant proposes separate audited or reviewed financial statements or files separate tax returns from the parent.

For information about this requirement, contact your local ESD Regional Office. [https://esd.ny.gov/regions](https://esd.ny.gov/regions)
Q_4317
Please provide any documentation or letters of support for the project.

• Question Type: Attachment
• Required: Yes
• Answer Type: Attachment
• Question Requirements:

In order to effectively transform the region’s economy, the project must demonstrate that it has the connections and relationships in sufficient scale and strength to support and sustain growth, particularly in the region’s key clusters. Critical mass should be attained through the engagement of individuals and organizations whose knowledge and skills contribute to distinct projects, augment the scale of the plan, and generate transformational change in the region. Projects will be evaluated for the ability to establish these connections, develop complementary proposals that form a unified plan, and create critical mass.

Q_6946
Please provide Letters of Support for your project (if applicable). All letters should be scanned into a single PDF file and their total size cannot exceed 30 Megabytes (MB).

• Question Type: Attachment
• Required: No
• Answer Type: Attachment

Q_2165
For Start-up companies, in addition to the documents listed above provide: 1) Financial projections (5 years) 2) Personal financial statements from a personal guarantor(s) of the start-up company 3) Principal resumes

• Question Type: Attachment
• Required: No
• Answer Type: Attachment
• Question Requirements:

For information about this requirement, contact your local ESD Regional Office. [Link]

Q_2331
Attach an organizational chart and/or description of ownership structure including the percentage of ownership for each individual entity.

• Question Type: Attachment
• Required: Yes
• Answer Type: Attachment
• Question Requirements:

For privately owned companies, IDAs, not-for-profits, educational institutions, start-ups, municipalities, or any business or organization other than publicly traded companies, please provide an organizational chart and/or description of ownership structure, including the percentage of ownership for each individual entity. If the company is a parent, subsidiary and/or affiliate of another company, please provide a description of the relationship. Additional financial information may be requested.

Q_5689
Attach a short or long Environmental Assessment Form

Project review pursuant to the State Environmental Quality Review Act (SEQRA) must be completed prior to the award of any state funds. For projects classified as Type I or Unlisted actions, submit a short or long Environmental Assessment Form. See "Click Here for Question Requirements" for links to forms.

• Question Type: Attachment
• Required: No
• Answer Type: Attachment
• Question Requirements:

Information and forms can be found at [Links]. If you are a not-for-profit, please complete and attach the following form: [Link].

Q_2333
If review of the project has been completed pursuant to State Environmental Quality Review Act (SEQRA) or National Environmental Policy Act (NEPA), please submit the Negative Declaration or Findings Statement, or Finding of No Significant Impact or Record of Decision.

• Question Type: Attachment
• Required: No
• Answer Type: Attachment
• Question Requirements:

For information about this requirement, contact your local ESD Regional Office.

Q_2334
Provide a list of all other federal, state, and local reviews, approvals, or permits needed or completed, including the dates when they are expected to be completed or were completed. If Not Applicable, please upload an attachment that indicates "NA".

• Question Type: Attachment
• Required: Yes
• Answer Type: Attachment

Q_6061
In the first phase of the Application, you were asked to describe your overall project including the location, what will be planned, designed acquired, and/or constructed, the issues/opportunities to be addressed, and expected outcomes and deliverables. Please indicate if any of these items have changed since your original submission.

• Question Header: General Project Questions
• Question Type: Standard Question
• Required: Yes
• Answer Type: Long Answer
• Characters: 0 - 2000

Q_4283
Please identify which key URI concepts/indicators the project will advance and describe the efforts in which those concepts will be addressed. See help for additional information.

• Question Type: Standard Question
• Required: Yes
• Answer Type: Long Answer
• Characters: 0 - 750
• Question Requirements:

Below is a list of the economic indicators specified in the URI Guidebook that applicants should address. More information and definitions can be found in the guidebook posted on the URI website: [Link].

- Innovation
- Leveraging Private Sector Investment
- Anti-Poverty
- Connectivity
- Sustainability
- Workforce Development
- Hard-to-Place Workers
- Community Reinvestment
- Economic Development
- Collaboration
- Readiness

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Q_4276
Please describe the readiness of the project and identify when state funding would need to be made available.

- Question Type: Standard Question
- Required: Yes
- Answer Type: Short Answer
- Question Requirements: As a part of developing comprehensive growth strategies, regions must demonstrate their readiness to implement the plan and take advantage of major state investment. Overall readiness will be considered, in addition to the readiness for large-scale capital projects. It is possible that a workforce development initiative will be ready earlier than a construction project involving more significant private sector investment. All projects submitted for consideration must work together to advance the region’s long-term plan.

Q_3175
Should the full amount of the Applicant’s ESD funding request not be awarded, at what minimum level of ESD funding does the project become unfeasible?

- Question Type: Standard Question
- Required: Yes
- Answer Type: Long Answer
- Characters: 0 - 1500

Q_5590
Please provide a concise narrative describing the applicant’s history and current operations. Include information about company/organization size, products, services, market share, position within the industry, competitors and the year in which the company was formed, etc.

- Question Type: General Business Questions
- Required: Yes
- Answer Type: Long Answer
- Characters: 1 - 1000

Q_4277
Please identify the project partners including the project leader and others involved in the implementation of the project. See the help section for format.

- Question Type: General Partnership Questions
- Required: Yes
- Answer Type: Long Answer
- Characters: 0 - 1000

Q_4271
Please enter the amount of funding you are requesting through the URI.

- Question Type: Standard Question
- Required: Yes
- Answer Type: Money

Q_2336
Briefly describe the activity that the project involves in terms of product(s) or service(s) that will be provided at the project location.

- Question Type: General Project Questions
- Required: Yes
- Answer Type: Long Answer
- Characters: 1 - 800

Q_4272
Please enter the amount of private funding that will be provided for this project.

- Question Type: Standard Question
- Required: Yes
- Answer Type: Money

Q_1142
Indicate the Primary North American Industrial Classification System (NAICS) Code associated with the activity of the business at the project location.

- Question Type: General Business Questions
- Required: Yes
- Answer Type: Integer
- Question Requirements: For help determining the NAICS Code, click HERE.
Q_4273
Please enter the amount of additional funding that will be provided to this project.

• Question Type: Standard Question
• Required: Yes
• Answer Type: Money
• Question Requirements:

Each REDC plan must demonstrate a minimum investment ratio of 5 to 1 of private sector to public sector investment across the plan. The private sector investments, if clearly linked to the regional priorities, can take many forms, including, but not limited to, investments in the purchase or lease of land, buildings or equipment; the construction of new or upgraded buildings or capital equipment; funds expended on training, apprenticeship or mentorship programs; in some instances, the salaries for new employees; investment in venture capital funds; upgrading of key infrastructure; or similar expenditures. Other types of private sector investment will be considered on a case-by-case basis.

It is recognized that not every project will demonstrate a 5 to 1 investment ratio. For example, workforce development and certain infrastructure projects may provide a greater public investment. However, projects with outside investment must have a close relationship to the region’s priorities.

Regions should identify projects expected to substantially exceed the 5 to 1 leverage ratio and describe how their strategies will lead to a plan that in aggregate and over time, meets or exceeds the 5 to 1 ratio.

Q_2219
Describe how the capital investment for which you are seeking funding will make it possible to reach your business or organizational goals. Such goals may include, but not be limited to, changes to profitability, productivity, market share, product offerings, or other results relevant to your goals.

• Question Header: General Project Questions
• Question Type: Standard Question
• Required: Yes
• Answer Type: Long Answer

Q_3134
A third party completing this application is required to disclose their name, company and contact info. Is a third party completing this application?

• Question Header: Company/Applicant Questions
• Question Type: Standard Question
• Required: Yes
• Answer Type: Yes/No
• This is a conditional question.
  1. If Yes is selected then Q_3136 will be displayed

Q_3136
Provide the third party name and contact info.

• Question Header: Company/Applicant Questions
• Question Type: Standard Question
• Required: No
• Answer Type: Long Answer
• Characters: 0 - 100

This is a conditional question based on the answer to Q_3134. This question displays when selecting the answer: “Yes”

Q_4274
Please enter the total project cost from all sources.

• Question Type: Standard Question
• Required: Yes
• Answer Type: Money

Q_4278
Please enter the number of net new permanent private sector jobs that will be created.

• Question Type: Standard Question
• Required: Yes
• Answer Type: Integer
• Question Requirements:

URI plans must comprehensively address the region’s workforce development needs by describing how they will cultivate a workforce that is responsive to employment prospects and industry demand. Specific steps must be outlined that will lead to progress in filling vacant or newly created positions and improving weaknesses in the workforce of key sectors.

Q_4279
Please enter the number of retained jobs associated with the project.

• Question Type: Standard Question
• Required: Yes
• Answer Type: Integer

Q_1233
What tasks and steps need to be completed before the project can begin (e.g. obtaining permits, licenses, hiring staff, etc.)

• Question Header: General Project Questions
• Question Type: Standard Question
• Required: Yes
• Answer Type: Long Answer
• Characters: any

Q_1409
What is the first project year? (e.g. the year equipment will be ordered or when first expenditures are expected to be made)

• Question Header: Project Funding and Finance Questions
• Question Type: Standard Question
• Required: Yes
• Answer Type: Short Answer

Q_4280
Please enter the number of construction jobs associated with the project.

• Question Type: Standard Question
• Required: Yes
• Answer Type: Integer

Q_4281
Please detail the funding awarded to this project and the entity administering the funding.

Q_13265

Has the applicant applied for and/or been awarded funding from other New York State or local entities for this project?

Q_13264

Do not include funding that has been awarded.

Q_4313

Is the proposed project located in a highly distressed area? If so, please provide information that will help ESD confirm that the area is highly distressed.

Q_13263

Please enter the number of ancillary or other jobs associated with the project.

Q_13262

$$Answer Type:$$ Required: Yes

Q_13261

Question Type: Standard Question

Q_13260

Question Requirements:

- a poverty rate of at least twenty percent, or if the area does not contain a census tract or tracts, a block numbering area, or a city, town, or village, a poverty rate of at least thirteen percent;
- an unemployment rate of at least 1.25 times the statewide unemployment rate;
- significant job loss from one employer or in a particular industry;
- significant job loss from an industry or from a manufacturing operation;
- a change in economic activity due to an event, such as a closure or realignment of a defense or military base or facility;
- a contraction or discontinuance of a State hospital or mental hygiene facility;
- population and employment decline, increase in unemployment and public assistance recipients, decline in real property values, decline in per capita income, abandoned property and deteriorated industrial, commercial, and residential properties, a decline in business establishments, obsolescence in plant capacity, loss of markets to foreign competition, the unavailability of expansion financing, poor access to markets, and other indicators of chronic and severe economic distress;
- potential to attract private investment that will employ unemployed or economically disadvantaged persons;
- substantial public and private commitments to a long-term economic revitalization program and the capacity to manage the program;
- a plan that states the area’s needs, proposals for meeting such needs, the process for routine periodic evaluation of progress in implementing the plan and compilation of essential information for such evaluation;
- applicable land use laws or regulations allow the use of at least twenty-five percent of the area for commercial or industrial activity; and
- twenty-five percent or more of the area is vacant, abandoned, or otherwise available for industrial or commercial development.

Please detail the funding applied to for this project and the entity administering the funding. Do not include funding that has been awarded.

Q_13266

Is the applicant planning to use the property for a specific purpose prior to submitting an application for review? Opportunities are available throughout the year in each region for potential projects to address the council through REDC meetings, public forums, CFA workshops, and other activities. Empire State Development acting as the administrative agency overseeing the REDCs has regional offices throughout the state and can provide assistance to potential applicants.

Q_13267

This question displays when selecting the answer: “Yes”.

Q_13268

This question displays when selecting the answer: “Yes”.

Q_13269

This question displays when selecting the answer: “Yes”.

Q_13270

This question displays when selecting the answer: “Yes”.

Q_13271

This question displays when selecting the answer: “Yes”.

Q_13272

This question displays when selecting the answer: “Yes”.

Q_13273

This question displays when selecting the answer: “Yes”.

Q_13274

This question displays when selecting the answer: “Yes”.

Q_13275

This question displays when selecting the answer: “Yes”.

Q_13276

This question displays when selecting the answer: “Yes”.

Q_13277

This question displays when selecting the answer: “Yes”.

Q_13278

This question displays when selecting the answer: “Yes”.

Q_13279

This question displays when selecting the answer: “Yes”.

Q_13280

This question displays when selecting the answer: “Yes”.

Q_13281

This question displays when selecting the answer: “Yes”.

Q_13282

This question displays when selecting the answer: “Yes”.

Q_13283

This question displays when selecting the answer: “Yes”.

Q_13284

This question displays when selecting the answer: “Yes”.

Q_13285

This question displays when selecting the answer: “Yes”.

Q_13286

This question displays when selecting the answer: “Yes”.

Q_13287

This question displays when selecting the answer: “Yes”.

Q_13288

This question displays when selecting the answer: “Yes”.

Q_13289

This question displays when selecting the answer: “Yes”.

Q_13290

This question displays when selecting the answer: “Yes”.

Q_13291

This question displays when selecting the answer: “Yes”.

Q_13292

This question displays when selecting the answer: “Yes”.

Q_13293

This question displays when selecting the answer: “Yes”.

Q_13294

This question displays when selecting the answer: “Yes”.

Q_13295

This question displays when selecting the answer: “Yes”.

Q_13296

This question displays when selecting the answer: “Yes”.

Q_13297

This question displays when selecting the answer: “Yes”.

Q_13298

This question displays when selecting the answer: “Yes”.

Q_13299

This question displays when selecting the answer: “Yes”.

Q_13300

This question displays when selecting the answer: “Yes”.

Q_13301

This question displays when selecting the answer: “Yes”.

Q_13302

This question displays when selecting the answer: “Yes”.

Q_13303

This question displays when selecting the answer: “Yes”.

Q_13304

This question displays when selecting the answer: “Yes”.

Q_13305

This question displays when selecting the answer: “Yes”.

Q_13306

This question displays when selecting the answer: “Yes”.

Q_13307

This question displays when selecting the answer: “Yes”.

Q_13308

This question displays when selecting the answer: “Yes”.

Q_13309

This question displays when selecting the answer: “Yes”.

Q_13310

This question displays when selecting the answer: “Yes”.

Q_13311

This question displays when selecting the answer: “Yes”.

Q_13312

This question displays when selecting the answer: “Yes”.

Q_13313

This question displays when selecting the answer: “Yes”.

Q_13314

This question displays when selecting the answer: “Yes”.

Q_13315

This question displays when selecting the answer: “Yes”.

Q_13316

This question displays when selecting the answer: “Yes”.

Q_13317

This question displays when selecting the answer: “Yes”.

Q_13318

This question displays when selecting the answer: “Yes”.

Q_13319

This question displays when selecting the answer: “Yes”.

Q_13320

This question displays when selecting the answer: “Yes”.

Q_13321

This question displays when selecting the answer: “Yes”.

Q_13322

This question displays when selecting the answer: “Yes”.

Q_13323

This question displays when selecting the answer: “Yes”.

Q_13324

This question displays when selecting the answer: “Yes”.

Q_13325

This question displays when selecting the answer: “Yes”.

Q_13326

Has the applicant applied to and/or been awarded funding from a local IDA?
Q_13266

Please detail the funding applied for this project and the entity administering the funding. Do not include funding that has been awarded.

*Question Type: Standard Question*
*Required: Yes*
*Answer Type: Long Answer*
*Characters: 0 - 850*

This is a conditional question based on the answer to Q_13265. This question displays when selecting the answer: "Yes".

Q_13267

Please detail the funding awarded to this project and the entity administering the funding.

*Question Type: Standard Question*
*Required: Yes*
*Answer Type: Long Answer*
*Characters: 0 - 850*

This is a conditional question based on the answer to Q_13265. This question displays when selecting the answer: "Yes".

Q_4318

Provide any other information in support of the project or pertinent to the request.

*Question Type: Standard Question*
*Required: No*
*Answer Type: Long Answer*
*Characters: 0 - 300*

Q_6064

Qualified Investments Building Acquisition Year 1

*Question Type: Standard Question*
*Required: No*
*Answer Type: Money*

*Question Requirements:
Qualified investments are tangible personal property, including a building or structural component of a building.

Please note that if you are completing the URI Phase 1 - Intent to Propose, you are not required to complete the table below at this time as this information will be available to enter in during phase 2 of the application.

Q_6065

Qualified Investments Building Acquisition Year 2

*Question Type: Standard Question*
*Required: No*
*Answer Type: Money*

Q_6066

Qualified Investments Building Acquisition Year 3

*Question Type: Standard Question*
*Required: No*
*Answer Type: Money*

Q_6067

Qualified Investments Building Acquisition Year 4

*Question Type: Standard Question*
*Required: No*
*Answer Type: Money*

Q_6068

Qualified Investments Building Acquisition Year 5

*Question Type: Standard Question*
*Required: No*
*Answer Type: Money*

Q_3007

Qualified Investments Year 1

*Question Type: Standard Question*
*Required: Yes*
*Answer Type: Money*
*Default Answer: 0*

Q_3008

Qualified Investments Year 1

*Question Type: Standard Question*
*Required: Yes*
*Answer Type: Money*
*Default Answer: 0*
Q_6059
Qualified Investments Land Acquisition* Year 4
- Question Type: Standard Question
- Required: No
- Answer Type: Money

Q_3050
Qualified Investments Demolition Year 4
- Question Type: Standard Question
- Required: Yes
- Answer Type: Money
- Default Answer: 0

Q_3051
Qualified Investments Year 4
- Question Type: Standard Question
- Required: Yes
- Answer Type: Money
- Default Answer: 0

Q_3054
Qualified Investments Building Renovation Year 5
- Question Type: Standard Question
- Required: Yes
- Answer Type: Money
- Default Answer: 0

Q_3055
Qualified Investments New Construction Year 5
- Question Type: Standard Question
- Required: Yes
- Answer Type: Money
- Default Answer: 0

Q_3056
Qualified Investments Production Machinery Year 5
- Question Type: Standard Question
- Required: Yes
- Answer Type: Money
- Default Answer: 0

Q_3057
Qualified Investments Furniture, fixtures Year 5
- Question Type: Standard Question
- Required: Yes
- Answer Type: Money
- Default Answer: 0

Q_6060
Qualified Investments Land Acquisition* Year 5
- Question Type: Standard Question
- Required: No
- Answer Type: Money

Q_3061
Qualified Investments Demolition Year 5
- Question Type: Standard Question
- Required: Yes
- Answer Type: Money
- Default Answer: 0

Q_3062
Qualified Investments Year 5
- Question Type: Standard Question
- Required: Yes
- Answer Type: Money
- Default Answer: 0

Q_5719
Does the project site involve or is it substantially contiguous to a property listed or recommended for listing in the NY State or National Registers of Historic Places? Consult the National Register Information System to find out if a property is listed. Or use the Cultural Resource Information System (CRIS) tool. See question requirements for more information on SHPO consultation.
- Question Type: Standard Question
- Required: Yes
- Answer Type: Single Choice Radio Button
- Choice Options: Yes, No, N/A
- Required Answer: N/A
- If Yes is selected then Q_5721 will be displayed
- Question Requirements:

If "yes", the project requires consultation with the State Historic Preservation Office (SHPO)
Q_5721
Is the proposed project located in a developed area or an area designated for concentrated infill development in a municipally approved comprehensive land use plan, local waterfront revitalization plan and/or brownfield opportunity area plan?

Q_5720
Is the project site wholly or partially included within an identified archeologically sensitive area?
Consult the National Register Information System to find out if a property is listed. Or use the Cultural Resource Information System (CRIS) tool to identify archeologically sensitive areas.

Q_5722
Please list the geographic information for the archeologically sensitive areas, explain the status of SHPO consultation, and if consultation is complete, please provide a link to SHPO's Letter of Determination of No Adverse Effect or Letter of Resolution to Mitigate Adverse Effect.

Q_12605
Does the proposed project use, maintain, or improve existing infrastructure?

Q_1059
Explain how the proposed project will use, maintain, or improve existing infrastructure.

Q_12615
Is the proposed project located in a municipal center?

Q_1060
Please explain how the proposed project is located in a municipal center.

Q_12616
Is the proposed project located in a developed area or an area designated for concentrated infill development in a municipally approved comprehensive land use plan, local waterfront revitalization plan and/or brownfield opportunity area plan?
Q_1061
Please explain how the proposed project will involve coordination between state and local government and inter-municipal and regional planning.

• Question Type: Smart Growth
• Required: Yes
• Answer Type: Long Rich Text (HTML)
• Characters: any
• This is a conditional question based on the answer to Q_12617. This question displays when selecting the answer: “Yes”
• Question Requirements:
Please explain how your project advances infill development or redevelopment in existing developed areas consistent with an approved plan. Infill development includes redevelopment, rehabilitation and new development between existing buildings on vacant or under-utilized sites.
For specific guidance on rail/port, aviation, and other transportation projects please refer to Smart Growth Public Infrastructure Policy Act.

Q_12617
Will the proposed project involve coordination between state and local government and inter-municipal and regional planning?

• Question Type: Smart Growth
• Required: Yes
• Answer Type: Yes/No
• This is a conditional question.
1. If Yes is selected then Q_1062 will be displayed

Q_1062
Please explain how the proposed project will involve coordination between state and local government and inter-municipal and regional planning.

• Question Type: Smart Growth
• Required: Yes
• Answer Type: Long Rich Text (HTML)
• Characters: any
• This is a conditional question based on the answer to Q_12617. This question displays when selecting the answer: “Yes”
• Question Requirements:
Beyond simply avoiding or minimizing negative environmental impacts, please indicate the resources that may be impacted by your project and how your project will preserve and enhance these resources.
For specific guidance on rail/port, aviation, and other transportation projects please refer to Smart Growth Public Infrastructure Policy Act.

Q_12618
Will the proposed project include coordination between state and local government and inter-municipal and regional planning?

• Question Type: Smart Growth
• Required: Yes
• Answer Type: Yes/No
• This is a conditional question.
1. If Yes is selected then Q_1063 will be displayed

Q_1063
Please explain how the proposed project will include coordination between state and local government and inter-municipal and regional planning.

• Question Type: Smart Growth
• Required: Yes
• Answer Type: Long Rich Text (HTML)
• Characters: any
• This is a conditional question based on the answer to Q_12618. This question displays when selecting the answer: “Yes”
• Question Requirements:
Please explain how your project advances these objectives and improves the quality of life in your community.
For specific guidance on rail/port, aviation, and other transportation projects please refer to Smart Growth Public Infrastructure Policy Act.

Q_12619
Will the proposed project provide mobility through transportation choices including improved public transportation and reduced automobile dependency?

• Question Type: Smart Growth
• Required: Yes
• Answer Type: Yes/No
• This is a conditional question.
1. If Yes is selected then Q_1064 will be displayed

Q_1064
Please explain how the proposed project will provide mobility through transportation choices including improved public transportation and reduced automobile dependency.

• Question Type: Smart Growth
• Required: Yes
• Answer Type: Long Rich Text (HTML)
• Characters: any
• This is a conditional question based on the answer to Q_12618. This question displays when selecting the answer: “Yes”
• Question Requirements:
There are many alternatives to automobile transportation. Please explain how your project provides or complements alternatives to automobile travel such as bikes, pedestrians, public transit, air travel or rail travel.
For specific guidance on rail/port, aviation, and other transportation projects please refer to Smart Growth Public Infrastructure Policy Act.

Q_12620
Will the proposed project involve coordination between state and local government and inter-municipal and regional planning?

• Question Type: Smart Growth
• Required: Yes
• Answer Type: Yes/No
• This is a conditional question.
1. If Yes is selected then Q_1065 will be displayed

Q_1065
Please explain how the proposed project will involve coordination between state and local government and inter-municipal and regional planning.

• Question Type: Smart Growth
• Required: Yes
• Answer Type: Long Rich Text (HTML)
• Characters: any
• This is a conditional question based on the answer to Q_12618. This question displays when selecting the answer: “Yes”
Q_12621
Will the proposed project involve participation in community based planning and collaboration?

Q_1066
Please explain how the proposed project will involve participation in community based planning and collaboration.

Q_12622
Will the proposed project ensure predictability in building and land use codes?

Q_1067
Please explain how the proposed project will ensure predictability in building and land use codes.

Q_12623
Will the proposed project promote sustainability by strengthening existing and creating new communities which reduce greenhouse gas emissions and do not compromise the needs of future generations, by among other means encouraging broad based public involvement in developing and implementing a community plan and ensuring the governance structure is adequate to sustain its implementation?

Q_1068
Please explain how the project results from an inclusive, multi-stakeholder (including traditionally underserved populations) process of community-based planning and collaboration. To assist with your explanation, identify any affected community groups or organizations with an interest in the proposed project and if the planning process involved outreach to citizens and stakeholders at all stages of development of the project.

Q_7341
By entering your name in the box below, you certify, under penalty of perjury, that the information given herein is true and correct in all respects for the company or organization applying for funding (the "Company"), presently and for the past five years - the Company does not have any contingent liabilities that could have a material effect on its solvency; the Company is not a party to any litigation or any litigation is not pending or anticipated that could have an adverse material effect on the company's financial condition; the Company does not have any tax liens or similar judgment against it. The Company has not been subject to any criminal conviction, either impeached or indicted, by any governmental entity for a civil or criminal violation for (i) any business-related activity including, but not limited to, fraud, assault, assault, bribery, violation of environmental protection, immigration or tax fraud, racketeering, mail fraud, wire fraud, price fixing or collusion building; or (ii) any crime, whether or not business related, where the underlying conduct relates to truthfulness, including but not limited to, the filing of false documents or false sworn statements, perjury or bribery.

NYS Consolidated Funding Application 2023
By entering your name in the box below, you certify that you are authorized on behalf of the applicant and its governing body to submit this application. You further certify that all of the information contained in this Application and in all statements, data and supporting documents which have been made or furnished for the purpose of receiving assistance for the project described in this application, are true, correct and complete to the best of your knowledge and belief. You acknowledge that submitting a written instrument knowing that the written instrument contains a false statement or false information, with the intent to defraud the State or any political subdivision, public authority or public benefit corporation of the State, with the knowledge or belief that it will be filed with or recorded by the State or any political subdivision, public authority or public benefit corporation of the State, constitutes a crime under New York State Law.

**Q_1038**

**Q_2365**

**Q_4182**

**Q_3713**

**Management**
- Question Header: I. Assessment of Skilled Worker Shortfall
- Question Type: Workforce
- Required: No
- Answer Type: Short Answer

**Q_3714**

**Computer and Mathematical**
- Question Header: I. Assessment of Skilled Worker Shortfall
- Question Type: Workforce
- Required: No
- Answer Type: Short Answer

**Q_3715**

**Production**
- Question Header: I. Assessment of Skilled Worker Shortfall
- Question Type: Workforce
- Required: No
- Answer Type: Short Answer

**Q_3716**

**Community and Social Services**
- Question Header: I. Assessment of Skilled Worker Shortfall
- Question Type: Workforce
- Required: No
- Answer Type: Short Answer

**Q_3717**

**Construction and Extraction**
- Question Header: I. Assessment of Skilled Worker Shortfall
- Question Type: Workforce
- Required: No
- Answer Type: Short Answer

**Q_3718**

**Life, Physical, and Social Science**
- Question Header: I. Assessment of Skilled Worker Shortfall
- Question Type: Workforce
- Required: No
- Answer Type: Short Answer

**Q_3719**

**Healthcare Practitioners and Technical**
- Question Header: I. Assessment of Skilled Worker Shortfall
- Question Type: Workforce
- Required: No
- Answer Type: Short Answer

**Q_3720**
Installation, Maintenance, and Repair

Q_3721
Building and Grounds Cleaning and Maintenance

Q_3722
Food Preparation and Serving Related

Q_3723
Arts, Design, Entertainment, Sports, and Media

Q_3724
Protective Service

Q_3725
Legal

Q_3726
Sales and Related

Q_3727
Architecture and Engineering

Q_3728
Personal Care and Service

Q_3729
Healthcare Support

Q_3730
Transportation and Material Moving

Q_3731
Farming, Fishing, and Forestry
Q_3743

3rd Most Common Recruitment Method - Percentage of Use

Question Type: Workforce
Answer Type: Integer

Required: Yes