

Program Questions:

New NY Broadband Program - Phase 2

Q_6116 Are you applying for funding from the New NY Broadband Program

- Question Type: Threshold
- Required: Yes
- Answer Type: Yes/No

Q_6117 Applications to Phase 2 are binding commitments by the applicants to carry out their proposals. If selected, will you enter into a Grant Disbursement Agreement and Security Agreement (sample attached as Appendix D to the New NY Broadband Program Phase 2 RFP Guidelines) reflecting the terms of your proposal?

- Question Type: Threshold
- Required: Yes
- Answer Type: Yes/No

Q_6118 Are you prepared to submit documents attesting to your financial stability and management capabilities?

- Question Type: Threshold
- Required: Yes
- Answer Type: Yes/No
- Scoring Tips:

Please Note: The documents that applicants are required to submit are asked for in another section of this application

Q_550 If you are a DBA, what is your DBA name?

- Question Type: Basic
- Required: No
- Answer Type: Short Answer

Q_556

Select an applicant ID type from the list below that you normally use to identify your organization on application forms.

- Question Type: Basic
- Required: Yes
- Answer Type: Multi Choice
- Choice Options: Charity Reg #, Duns Number, Federal Tax ID Number, NYS Unemployment Insurance Tax Number, Social Security Number, NYS Vendor Identification Number (SFS)
- Scoring Tips:

Applicants will be required to provide the specified ID number upon request by the funding agencies.

Q_2655

Based on your selection from the previous question, enter your applicant ID number. (Please do not provide your social security number).

- Question Type: Basic
- Required: Yes
- Answer Type: Short Answer

Q_969

If you are a business, have you been certified as a New York State Minority or Women-owned Business Enterprise (MWBE)?

- Question Type: Basic
- Required: No
- Answer Type: Single Choice Radio Button
- Choice Options: Yes, No, N/A

Q_546

Legal Name of Applicant

- Question Header: Applicant Information
- Question Type: Basic
- Required: Yes
- Answer Type: Short Answer
- Scoring Tips: If applying in the name of a business please type in the name as it appears on your business papers. If applying as an individual insert your name here.

- Q_551** Applicant Street Address
- Question Type: Basic
 - Required: Yes
 - Answer Type: Short Answer

- Q_552** Applicant City
- Question Type: Basic
 - Required: Yes
 - Answer Type: Short Answer

- Q_553** Applicant State
- Question Type: Basic
 - Required: Yes
 - Answer Type: State Dropdown

- Q_554** Applicant ZIP Code. (please use ZIP+4 if known)
- Question Type: Basic
 - Required: Yes
 - Answer Type: Short Answer
 - Scoring Tips:
- To look up a zip code, click [HERE](#).

- Q_651** Applicant Telephone Number, (please include area code)
- Question Type: Basic
 - Required: Yes
 - Answer Type: Phone

- Q_555** Applicant Email Address

- Question Type: Basic
- Required: Yes
- Answer Type: Email

Q_547

Contact First Name

- Question Type: Basic
- Required: Yes
- Answer Type: Short Answer

Q_1049

Contact Last Name

- Question Type: Basic
- Required: Yes
- Answer Type: Short Answer

Q_1050

Contact Title

- Question Type: Basic
- Required: No
- Answer Type: Short Answer

Q_3688

Contact Street Address

- Question Type: Basic
- Required: Yes
- Answer Type: Short Answer

Q_3689

Contact City

- Question Type: Basic
- Required: Yes
- Answer Type: Short Answer

Q 3690

Contact State

- Question Type: Basic
- Required: Yes
- Answer Type: State Dropdown

Q_3691

Contact ZIP Code

- Question Type: Basic
- Required: Yes
- Answer Type: Short Answer

Q_562

Primary Contact Phone Number. (please include area code)

- Question Type: Basic
- Required: Yes
- Answer Type: Phone

Q_3692

Contact Email

- Question Type: Basic
- Required: Yes
- Answer Type: Email

Q_1052

Additional Project Contact First Name

- Question Type: Basic
- Required: No
- Answer Type: Short Answer

Q_970

Additional Project Contact Last Name

- Question Type: Basic
- Required: No
- Answer Type: Short Answer

- Q_1051** Additional Contact Title
- Question Type: Basic
 - Required: No
 - Answer Type: Short Answer
- Q_3693** Additional Contact Street Address
- Question Type: Basic
 - Required: No
 - Answer Type: Short Answer
- Q_3694** Additional Contact City
- Question Type: Basic
 - Required: No
 - Answer Type: Short Answer
- Q_3695** Additional Contact State
- Question Type: Basic
 - Required: No
 - Answer Type: State Dropdown
- Q_3696** Additional Contact ZIP Code
- Question Type: Basic
 - Required: No
 - Answer Type: Short Answer
- Q_3697** Additional Contact Telephone Number
- Question Type: Basic
 - Required: No
 - Answer Type: Phone

Q_561

Additional Contact Email Address

- Question Type: Basic
- Required: No
- Answer Type: Email

Q_580

Provide a list of all federal, state, and local reviews, approvals, or permits needed or completed, including the dates when they are expected to be completed or were completed. If Not Applicable, indicate "NA".

- Question Type: Basic
- Required: Yes
- Answer Type: Long Answer
- Characters: 1 - 400

Q_2364

What is the status of State and/or Federal Environmental Review? If review of the project is underway or completed pursuant to the State Environmental Quality Review Act (SEQRA) or National Environmental Policy Act (NEPA), please indicate the lead agency (if applicable).

- Question Type: Basic
- Required: No
- Answer Type: Short Answer

Q_1054

If National Environmental Policy Act (NEPA) Record of Decision has been issued, please explain (include date of Record of Decision).

- Question Type: Basic
- Required: No
- Answer Type: Long Rich Text (HTML)
- Characters: 0 - 850

Q_6120

Has any environmental review been completed or required pursuant to the State Environmental Quality Review Act (SEQRA), as part of a local and/or other State agency review

and approval of the project?

- Question Type: Attachment
- Required: Yes
- Answer Type: Yes/No
- This is a conditional question.
 1. If **Yes** is selected then **Q_6121** will be displayed
- Scoring Tips:

Please note that your response to this question will not be used for evaluation of your application.

Q_6121

If the SEQRA review has been completed, please provide a copy of the completed Environmental Assessment Form (EAF) Parts 1, 2 and 3. If the SEQRA review has not been completed, please provide an update on the status of the review.

- Question Type: Attachment
- Required: Yes
- Answer Type: Attachment
- This is a conditional question based on the answer to **Q_6120**. This question displays when selecting the answer: "**Yes**"

Q_6130

Are you seeking a waiver for one or more of the Requirements listed in the New NY Broadband Program Phase 2 RFP Guidelines?

*Please note that failure to conform to the Requirements set forth in the RFP Guidelines will require the issuance of a waiver. However, waivers are not anticipated to be issued for core Program provisions.

- Question Type: Attachment
- Required: Yes
- Answer Type: Yes/No
- This is a conditional question.
 1. If **Yes** is selected then **Q_6132** will be displayed

Q 6132

If you are seeking a waiver for one or more of the Phase 2

Requirements listed in the New NY Broadband Program Phase 2 RFP Guidelines, please provide, as your waiver request, a written explanation and any supporting documents demonstrating why you are unable to meet the Requirement(s).

- Question Type: Attachment
- Required: Yes
- Answer Type: Attachment
- This is a conditional question based on the answer to **Q_6130**. This question displays when selecting the answer: "**Yes**"

Q_6133

Complete and upload the provided Microsoft Excel spreadsheet entitled "Project Master Financial Spreadsheet". Please follow the instructions outlined in the 'instructions' tab of the workbook.

Please note, for this question and all other attachment questions, applicants may not upload files that exceed 10 MB. If the file size for any response does exceed 10 MB, applicants should covert to zip file format for upload (.zip).

- Question Type: Attachment
- Required: Yes
- Answer Type: Attachment
- Scoring Tips:

Please Note: Dependent Applications and Bifurcated CBs are discussed in the New NY Broadband Program Phase 2 RFP Guidelines.

Q_6202

Does your application propose to address Eligible Unserved Census Blocks (as identified on List A of the Phase 2 List of Eligible Census Blocks) or Eligible Underserved Census Blocks (as identified on List B of the Phase 2 List of Eligible Census Blocks)? Please answer NO if your application proposes an Additional Service Area, as described in Section IX of the New NY Broadband Program Phase 2 RFP Guidelines.

- Question Type: Attachment
- Required: Yes

- Answer Type: Yes/No
- This is a conditional question.
 1. If **Yes** is selected then **Q_6134** will be displayed

Q_6134

Does your proposed Service Area encompass all Eligible Units within its boundaries?

*Please answer NO if you are an existing cable or telephone service provider proposing to serve fewer than all Eligible Units because a Census Block in your Service Area is divided by your cable franchise or telephone exchange boundary.

- Question Type: Attachment
- Required: Yes
- Answer Type: Yes/No
- This is a conditional question.
 1. If **No** is selected then **Q_6135** will be displayed
 2. If **Yes** is selected then **Q_6136** will be displayed
- This is a conditional question based on the answer to **Q_6202**. This question displays when selecting the answer: "**Yes**"
- Scoring Tips:

Please Note: Eligible Unserved CBs and Eligible Underserved CBs may be identified as eligible in whole or in part. By answering "Yes" to this question, you attest that your application covers all Eligible Units within each CB addressed by your application.

Q_6135

Are you an existing cable or telephone service provider with franchise or local exchange boundaries that divide the Census Block, AND are you proposing to serve only those parts of one or more Census Blocks falling within the franchise or exchange boundary?

- Question Type: Attachment
- Required: Yes
- Answer Type: Yes/No
- This is a conditional question.
 1. If **Yes** is selected then **Q_6137** will be displayed
- This is a conditional question based on the answer to **Q_6134**. This question displays when selecting the answer: "**No**"

Q_6137

If you are an existing cable or telephone service provider proposing to include fewer than all Eligible Units in any Eligible Unserved Census Block or Eligible Underserved Census Block in your application, due to a franchise/exchange boundary restriction, are you proposing to address all Eligible Units within the portion of such Census Block(s) that are within your geographic service boundaries?

- Question Type: Attachment
- Required: Yes
- Answer Type: Yes/No
- This is a conditional question based on the answer to **Q_6135**. This question displays when selecting the answer: "**Yes**"

Q_6136

Please upload a Shapefile (.shp) (using mapping projection NAD_1983_UTM_Zone_18N) comparing the Units proposed to be addressed in any partial Census Block to the boundary layer of your franchise or local exchange area.

- Question Type: Attachment
- Required: Yes
- Answer Type: Attachment
- This is a conditional question based on the answer to **Q_6134**. This question displays when selecting the answer: "**Yes**"

Q_6203

Does your application propose an Additional Service Area? Please note that Additional Service Area applications cannot include Eligible Unserved Census Blocks (as identified on List A of the Phase 2 List of Eligible Census Blocks) or Eligible Underserved Census Blocks (as identified on List B of the Phase 2 List of Eligible Census Blocks).

- Question Type: Attachment
- Required: Yes
- Answer Type: Yes/No
- This is a conditional question.
 1. If **Yes** is selected then these questions will be displayed:
 - **Q_6138**
 - **Q_6139**
 - **Q_6142**
 - **Q_6143**

Q_6138

Please upload: A Shapefile (.shp) (using mapping projection NAD_1983_UTM_Zone_18N) of all Unserved and/or Underserved Areas that your application proposes to address.

- Question Type: Attachment
- Required: No
- Answer Type: Attachment
- This is a conditional question based on the answer to **Q_6203**. This question displays when selecting the answer: "**Yes**"
- Scoring Tips:

Please place all associated/necessary shape files into a single zip file and upload zip file to answer this question.

Q_6139

Have you conducted a ride-out of the Census Block(s) to identify end-of-line points of cable and fiber-to-the premise facilities belonging to another service provider?

- Question Type: Attachment
- Required: No
- Answer Type: Yes/No
- This is a conditional question.
 1. If **Yes** is selected then these questions will be displayed:
 - **Q_6140**
 - **Q_6141**
- This is a conditional question based on the answer to **Q_6203**. This question displays when selecting the answer: "**Yes**"
- Scoring Tips:

Please Note: If the applicant itself is the only provider of cable or fiber-to-the-premise in the Census Block(s), it may rely on its own records regarding the areas in which this service is available.

Q_6140

If you answered YES to question 6139, has a DSL service provider been identified in the Census Block(s), who is offering download speeds of at least 25 Mbps?

- Question Type: Attachment
- Required: Yes
- Answer Type: Yes/No
- This is a conditional question based on the answer to **Q_6139**. This question displays when selecting the answer: "**Yes**"

Q_6141

If you answered YES to question 6139 AND your application lists any Unserved Units in those Census Blocks above, please upload:

A. Shapefile (.shp) (using mapping projection NAD_1983_UTM_Zone_18N) showing the observed locations of any central offices or remote terminals for the DSL service provider's network

B. Shapefile showing a 4,000-foot buffer around such points

Please note, applicants should zip together Shapefiles and upload as a single zip file.

- Question Type: Attachment
- Required: No
- Answer Type: Attachment
- This is a conditional question based on the answer to **Q_6139**. This question displays when selecting the answer: "**Yes**"
- Scoring Tips:

Please Note: If the applicant itself is the only provider of DSL service in the Census Block(s) with download speeds of at least 25 Mbps, it may rely on its own records regarding the areas in which this service is available.

Q_6142

Please upload a Shapefile (.shp) (using mapping projection NAD_1983_UTM_Zone_18N) showing the end-of-line points of cable and fiber-to-the premise facilities in the proposed Census Block(s) (OR) the results of a Qualifying Consumer Survey, as defined in Section IX.B.3 of the RFP Guidelines, including:

A description of how the survey was administered and the questions asked;

Address-level information about Unserved and/or Underserved areas from the respondents;

If the survey was commissioned or performed by the applicant, a letter of support from a unit of local government indicating that it has reviewed the addresses and believes them to be

Unserved and/or Underserved

- Question Type: Attachment
- Required: No
- Answer Type: Attachment
- This is a conditional question based on the answer to **Q_6203**. This question displays when selecting the answer: "**Yes**"

Q_6143

Please upload an affidavit that the submitted map is based on:

A ride-out of any Census Blocks within the proposed Service Area performed within the last 12 months by qualified, technical personnel to observe and record areas that are Served and Unserved by providers other than the Applicant, OR the results of qualifying consumer surveys performed within the last 12 months by the Applicant or a unit of local government [This will be required where any provider other than the applicant offers broadband service in the Census Blocks];

The Applicant's records of the extent of its own facilities [Where only the applicant offers broadband service within a Census Block, an applicant need only include this information for that Census Block]

- Question Type: Attachment
- Required: No
- Answer Type: Attachment
- This is a conditional question based on the answer to **Q_6203**. This question displays when selecting the answer: "**Yes**"

Q_6145

If your Project addresses Libraries and/or New York State Educational Opportunity Centers (EOC's):

Please submit for each library and/or EOC location information (i.e. address, GIS coordinates using mapping projection NAD_1983_UTM_Zone_18N, etc.) and evidence that each Library and/or EOC identified is currently either Unserved or Underserved.

- Question Type: Attachment

- Required: No
- Answer Type: Attachment

Q_6146

Please submit your Project timeline, detailing Project start/completion dates, major benchmarks, and illustrate how your Project will achieve completion, as defined in Section IV.E of the New NY Broadband Program Phase 2 RFP Guidelines, by end of 2018. Timelines should clearly delineate engineering, permitting, and construction phases of the Project.

- Question Type: Attachment
- Required: Yes
- Answer Type: Attachment

Q_6147

If you propose DSL or Fixed Wireless solutions, or a project utilizing DSL or Fixed Wireless technologies in whole or in part, please provide documentation demonstrating the proposed technology solution's ability to achieve the Program's speed goals in the proposed Service Area.

Additionally, if you propose using existing copper lines for your solution, please provide documentation of the availability and condition of those lines.

- Question Type: Attachment
- Required: No
- Answer Type: Attachment

Q_6148

Please upload a Shapefile (.shp) file (using mapping projection NAD_1983_UTM_Zone_18N) or a PDF that includes roads and a mark-up of where the fiber will be placed and a network diagram of your proposed technology solution (including, as applicable, the routes of aerial, buried and underground Fiber, ducts, and active and passive nodes inside and outside of plant -- e.g., DSLAM, Hub and Splitter locations, switches, routers, speeds and protocols over links, Internet backbone connections). Existing fiber routes that will be used as part of the project should be separately displayed/provided. For applicants proposing Fixed Wireless, please submit a

Shapefile and network diagram in the same formats that illustrate all major components of your project (including tower and access point locations and estimated propagation).

If uploading multiple files, please combine and upload as a single zip file.

- Question Type: Attachment
- Required: Yes
- Answer Type: Attachment

Q_6149

Please upload documentation to support claims of the speed capacity of your proposed technology including: the maximum speeds that your technology will deliver, technical specifications, points of aggregation, oversubscription, the scalability of your technology to deliver faster speeds in the future and/or accommodate increased demand, and any limitations on the speed capacity of your technology (e.g., geographical limitations).

- Question Type: Attachment
- Required: Yes
- Answer Type: Attachment

Q_6150

Please provide a signed certification by a licensed Professional Engineer detailing the reliability of the technology and its ability to deliver the indicated speed to the proposed Service Area. The certification must include the license number, issuing state, and license expiration date of the signing engineer. If you cannot provide this certification, please provide other documentation sufficient to support these claims.

- Question Type: Attachment
- Required: Yes
- Answer Type: Attachment

Q_6188

Does the Applicant (or, where Applicant is a group of two or more entities, including municipalities and/or tribal entities, any entity among those filing this application) currently operate a

wired or wireless network with at least 500 customers?

- Question Type: Attachment
- Required: Yes
- Answer Type: Yes/No
- This is a conditional question.
 1. If **Yes** is selected then **Q_6151** will be displayed

Q_6151

Please provide documentation to support the statement that the Applicant (or, where Applicant is a group of two or more entities, including municipalities and/or tribal entities, any entity among those filing this application) currently operates a wired or wireless network with at least 500 customers.

- Question Type: Attachment
- Required: Yes
- Answer Type: Attachment
- This is a conditional question based on the answer to **Q_6188**. This question displays when selecting the answer: "**Yes**"

Q_6152

Please submit documents that - to the satisfaction of the Broadband Program Office - describe the Applicant's capital expenditure plans prior to the filing of this Phase 2 application, and demonstrate how the Project would constitute an incremental increase in the Applicant's capital expenditures and would not be undertaken in the absence of Broadband Program Office funds.

- Question Type: Attachment
- Required: Yes
- Answer Type: Attachment

Q_6183

Do you have cash-on-hand, defined as the Applicant's total amount of available cash or commitment letter(s) in an amount no less than your financial commitment?

- Question Type: Attachment
- Required: Yes
- Answer Type: Yes/No
- This is a conditional question.
 1. If **No** is selected then **Q_6155** will be displayed

Q_6155

If you do not have cash-on-hand, defined as the Applicant's total amount of available cash, or commitment letter(s) in an amount no less than your financial commitment, please upload:

(1) Documentation demonstrating that you are in the process of raising this capital (describing the sources and status of such financing), and providing a timeline for obtaining the financing;

(2) A letter attesting to your confidence that you will obtain such financing to allow completion within the timeline provided.

- Question Type: Attachment
- Required: Yes
- Answer Type: Attachment
- This is a conditional question based on the answer to **Q_6183**. This question displays when selecting the answer: "**No**"
- Scoring Tips:

If you are uploading more than one document, please combine and upload as a single zip file.

Q_6200

Please submit all documentation requested in the Summary Tab of the Project Master Financial Template (provided in question 6133 in this application). Applicants should zip together and upload all files in support of rows 10-17 and use the naming convention provided in the spreadsheet.

- Question Type: Attachment
- Required: Yes
- Answer Type: Attachment

Q_6154

If you have commitment letter(s) from investors and/or commercial lenders for a portion of your financial commitment, please upload signed commitment letters from each, and a timeline for completing the financing.

- Question Type: Attachment
- Required: No

- Answer Type: Attachment
- Scoring Tips:

If you are uploading more than one document, please combine and upload as a single zip file.

Q_6156

If Applicant includes a municipal entity that has a municipal Bond rating on any of its debt instruments, please submit documentation demonstrating its current Bond rating.

- Question Type: Attachment
- Required: No
- Answer Type: Attachment
- Scoring Tips:

If you are uploading more than one document, please combine and upload as a zip file.

Q_6157

Please provide two years of most recent set of signed federal, state, and local tax returns for the past two years (FYs 2015 and 2014). If fiscal 2015 tax returns are not available, submit (1) FY 2014 and FY 2013; and (2) an explanation of why tax returns are not available.

- Question Type: Attachment
- Required: Yes
- Answer Type: Attachment
- Scoring Tips:

If you are uploading more than one document, please combine as pdfs and upload as a zip file.

Q_6158

Please provide three years of most recent set of audited financial statements for Applicant. If Applicant's most recent financials are over 180 days old, add the most recent interim statements.

a. Should the Applicant not have audited financial statements, Applicant should provide an explanation as to why it does not have such audits and submit three years of most recent set of audited financial statements of its parent company, together

with an explanation of corporate organization that clearly demonstrates the relationship between the Applicant and its parent.

b. Should neither the Applicant nor its parent have such statements, Applicant should: (1) submit a statement as to why such audited financial statements do not exist; (2) acknowledge that participation in the New NY program will require the submission of audited financial statements; and (3) provide unaudited financial statements of sufficient quality to allow BPO to review applicant's financial condition, including three years' of income statements, balance sheets and cash flow statements.

- Question Type: Attachment
- Required: Yes
- Answer Type: Attachment
- Scoring Tips:

If you are uploading more than one document, please combine and upload as a zip file.

Q_6159

Please provide five years of Projected Pro Forma income statements, balance sheets, and income statements for the Project, clearly notating annual revenue, operating expenses, annual closing balance, and break-even ratio. Please identify all key assumptions underlying such Pro Formas (including average revenue per user (ARPU) and churn rates).

- Question Type: Attachment
- Required: Yes
- Answer Type: Attachment
- Scoring Tips:

If you are uploading more than one document, please combine and upload as a zip file.

Q_6160

Please provide any additional documents and/or information that you believe support a determination that you possess sufficient financial and/or management capabilities to participate in Phase 2.

- Question Type: Attachment
- Required: No
- Answer Type: Attachment
- Scoring Tips:

If you are uploading more than one document, please combine and upload as a zip file.

Q_6161

Identify any partners/consultants/third parties, if any, who will be participating in this Project. Please attach commitment letters **or documentation** from any such entities that describe their roles and relevant past experience to the extent applicable.

- Question Type: Attachment
- Required: No
- Answer Type: Attachment
- Scoring Tips:

If you are uploading more than one document, please combine and upload as a zip file.

Q_6162

Please provide any other documentation or letters of support in connection with your Project. These may include letters from anchor institutions, REDC representatives, or other public officials.

Please note that while these documents will not be utilized as part of the Phase 2 Reverse-Auction process, such information may help inform ESD as to the compelling nature of any given broadband project and existing support therefor.

- Question Type: Attachment
- Required: No
- Answer Type: Attachment
- Scoring Tips:

If you are uploading more than one document, please combine and upload as a zip file.

Q 6168

Please provide a complete and detailed description of the

network design used to deliver broadband service from the network's primary Internet point(s) of presence to end users. Please highlight all of the network components already in existence, as well as ones to be added by the Project.

- Question Type: Attachment
- Required: Yes
- Answer Type: Attachment
- Scoring Tips:

If you are uploading more than one document, please combine and upload as a zip file.

Q_6119 Type of Applicant (select all that apply)

- Question Type: Standard Question
- Required: Yes
- Answer Type: Multi Choice
- Choice Options: Choice Options: Federal, State, County, City, Town, Village, Tribal, School District, County or Town Improvement District, District Corporation, For-Profit, Not-For-Profit, Individual, S Corporation, C Corporation, IDA, LDC, LLC, LLP, Public Authority, Public Benefit Corp, Sole-Proprietorship. HDFC, BID, LP, Boards Of Cooperative Educational Services (BOCES), Fire District, Regional Planning and Development Board, Public Library, Association Library, College/University/Community College

Q_6122 Does the project involve construction of new poles, towers or other structures?

- Question Type: Standard Question
- Required: Yes
- Answer Type: Yes/No
- This is a conditional question.
 1. If **Yes** is selected then **Q_6123** will be displayed
- Scoring Tips:

Please note that your response to this question will not be used for evaluation of your application.

Q_6123 If so, will these new structures be placed in existing public rights-of-way?

- Question Type: Standard Question
- Required: Yes
- Answer Type: Yes/No
- This is a conditional question based on the answer to **Q_6122**. This question displays when selecting the answer: "**Yes**"

Q_6124

Does the project involve extensive trenching, boring or other ground disturbing activities (i.e., other than minimal trenching for cable installation in existing right-of-ways)?

- Question Type: Standard Question
- Required: Yes
- Answer Type: Yes/No
- Scoring Tips:

Please note that your response to this question will not be used for evaluation of your application.

Q_6125

Does the project require any easements?

- Question Type: Standard Question
- Required: Yes
- Answer Type: Yes/No
- Scoring Tips:

Please note that your response to this question will not be used for evaluation of your application.

Q_6126

Does the project involve ground disturbing activities in an archaeologically sensitive area or the construction or placement of accessory structures on a historic building, structure, district or site listed on or eligible for listing on the State/National Register of Historic Places?

- Question Type: Standard Question
- Required: Yes
- Answer Type: Yes/No
- Scoring Tips:

Please note that your response to this question will not be used for evaluation of your application.

Q_6127

Does the project involve ground disturbing activities in protected State Park lands (Adirondack Park, Catskill Park)?

- Question Type: Standard Question
- Required: Yes
- Answer Type: Yes/No
- Scoring Tips:

Please note that your response to this question will not be used for evaluation of your application.

Q_6128

Do you have agreements in place to attach to all poles included in your network design?

- Question Type: Standard Question
- Required: Yes
- Answer Type: Yes/No
- This is a conditional question.

1. If **No** is selected then **Q_6204** will be displayed

Q_6204

Per your answer in question 6128, please explain.

- Question Type: Standard Question
- Required: Yes
- Answer Type: Long Answer
- Characters: any
- This is a conditional question based on the answer to **Q_6128**. This question displays when selecting the answer: "**No**"

Q_6129

Please describe your proposed Make Ready and Permitting work plan. This should identify all required permits for your project and time frames for obtaining such permits.

- Question Type: Standard Question
- Required: Yes
- Answer Type: Long Answer
- Characters: any

Q_6131

Phase 2 of the New NY Broadband Program will award funding to applicants to provide Last-Mile services, including infrastructure required to deliver Last-Mile services, to Unserved or Underserved areas of the State.

Does your proposed project provide Last-Mile broadband service, including Middle-Mile networks, equipment, or other investments required to deliver Last-Mile service?

- Question Type: Standard Question
- Required: Yes
- Answer Type: Yes/No

Q_4979

Please briefly describe your Project

- Question Type: Standard Question
- Required: Yes
- Answer Type: Long Answer
- Characters: 0 -

Q_4983

Please identify each of the following units of local government located, in whole or in part, within your proposed Service Area:

- Each County
- Each City
- Each Town

- Question Type: Standard Question
- Required: Yes
- Answer Type: Long Answer
- Characters: any

Q_4981

If you are an existing Broadband Service Provider, please identify all New York State counties in which you currently provide service

- Question Type: Standard Question
- Required: No
- Answer Type: NYS County Multiple Choice

Q_6144

Does your Project propose to provide service to at least 250 Units (as defined in the New NY Broadband Program Phase 2 RFP Guidelines, Section IV.A.2, Minimum Service Area), (OR) address all Eligible Unserved Census Blocks or Underserved Census Blocks within an REDC Region?

- Question Type: Standard Question
- Required: Yes
- Answer Type: Yes/No

Q_6163

Will your completed Project provide Internet download speeds of at least 100 Mbps?

- Question Type: Standard Question
- Required: Yes
- Answer Type: Yes/No
- This is a conditional question.
 1. If **No** is selected then **Q_6164** will be displayed

Q_6164

If you are proposing Internet download speeds of less than 100 Mbps, what speed are you proposing and why?

- Question Type: Standard Question
- Required: Yes
- Answer Type: Long Answer
- Characters: any
- This is a conditional question based on the answer to **Q_6163**. This question displays when selecting the answer: "**No**"

Q_6165

Does your Project deploy one of the following technologies?

Note: While (1), (2), and (3) are pre-approved for Phase 2, applications offering (4), (5) and (6) will only be considered where no commercially-reasonable bids using Fiber and/or Cable/HFC are submitted to address the same proposed

Service Area. Applicants offering such technologies will be asked to submit supporting documentation demonstrating the proposed technology solution's ability to achieve the Program's speed goals, to the satisfaction of the Program, in the proposed Service Area.

- Question Type: Standard Question
- Required: Yes
- Answer Type: Single Choice Dropdown
- Choice Options: Fiber-to-the-Home (FTTH), Cable/Hybrid Fiber-Coaxial (HFC) deploying DOCSIS 3.0 modems or higher, FTTH in combination with HFC deploying DOCSIS 3.0 modems or higher, Digital Subscriber Line (DSL), Fixed Wireless, Another Hybrid Technology Solution

Q_6166 Please describe the technology you propose to utilize. Also, please describe the specific advantages of using this technology.

- Question Type: Standard Question
- Required: Yes
- Answer Type: Long Answer
- Characters: any

Q_6167 Please describe the speed capacity of your proposed technology, including the maximum speeds that your technology will deliver; points of aggregation; oversubscription; the scalability of your technology to deliver faster speeds in the future and/or accommodate increased demand; and any limitations on the speed capacity of your technology (e.g., geographical limitations).

- Question Type: Standard Question
- Required: Yes
- Answer Type: Long Answer
- Characters: any

Q_6169 If you are proposing a FTTH or HFC project, how many miles of fiber do you plan to deploy?

- Question Type: Standard Question
- Required: No
- Answer Type: Integer

Q_6170

Please indicate the current status of your Project development (e.g., planning, preliminary engineering, or final design).

- Question Type: Standard Question
- Required: Yes
- Answer Type: Short Answer

Q_6171

Please describe the Estimated Service Life/Useful Life of your Project.

- Question Type: Standard Question
- Required: Yes
- Answer Type: Long Answer
- Characters: any

Q_6172

Please identify any areas of schedule or cost risk that may affect your Project, the likelihood of the risks, the expected impact of the risks, and your proposed approach to managing the risks.

- Question Type: Standard Question
- Required: Yes
- Answer Type: Long Answer
- Characters: any

Q_6173

In accordance with the goals of the Program, Phase 2 applicants must demonstrate that their projects will be completed by the end of 2018. Will your Project be completed on or before December 31, 2018, as defined in the New NY Broadband Program Phase 2 RFP Guidelines and in accordance with the terms of the Grant Disbursement Agreement (GDA)?

- Question Type: Standard Question
- Required: Yes

- Answer Type: Yes/No

Q_6174

Will your Project, as part of your range of services and for a period of five (5) years from the date of Project completion, offer a consumer broadband service tier providing broadband service to residential consumers, excluding businesses and other institutional users, at minimum Internet speeds of at least 25/4 Mbps (download/upload), at a monthly rate not to exceed \$60, upon the terms set forth in the New NY Broadband Program Phase 2 RFP Guidelines (Section IV.F)?

- Question Type: Standard Question
- Required: Yes
- Answer Type: Yes/No

Q_6175

Applicants submitting successful proposals may be required by the BPO, at its sole discretion, to provide the BPO with an unsubordinated security interest in tangible assets funded by the Program. Do you understand and agree that failure to provide such a security interest may result in disqualification of your application?

- Question Type: Standard Question
- Required: Yes
- Answer Type: Yes/No

Q_6176

Are you willing to accept a Modified Award (as described in Section IV.A.5 of the New NY Broadband Program Phase 2 RFP Guidelines) with a reduction of up to 20% of the total number of Units proposed to be addressed by your application?

- Question Type: Standard Question
- Required: Yes
- Answer Type: Yes/No
- This is a conditional question.
 1. If **Yes** is selected then **Q_6177** will be displayed

Q_6177

If you are willing to accept a Modified Award with a reduction of more than 20% of the total number of Units proposed to be addressed by your application, please indicate what percentage reduction of Units you would be willing to accept.

- Question Type: Standard Question
- Required: Yes
- Answer Type: Short Answer
- This is a conditional question based on the answer to **Q_6176**. This question displays when selecting the answer: "Yes"

Q_6178

Applicants to Phase 2 shall be required to provide a deposit in an amount of \$100,000.00 by Letter of Credit, which shall be held by the BPO to ensure that the Applicant enters into a Grant Disbursement Agreement (GDA) and Security Agreement in connection with the Project. Do you understand and agree that failure to provide the Deposit may result in the disqualification of your application?

- Question Type: Standard Question
- Required: Yes
- Answer Type: Yes/No

Q_6179

Does the applicant attest that the proposed Project was not part of their capital expenditure plan last year, or in any year prior to the filing of this Phase 2 application, and would not be undertaken in the absence of Program funds?

- Question Type: Standard Question
- Required: Yes
- Answer Type: Yes/No

Q_6180

Does your proposal include a financial commitment to the Project, meeting the requirements of the New NY Broadband Program Phase 2 RFP Guidelines (see Section IV B- Required Co-Investments)?

- Question Type: Standard Question
- Required: Yes
- Answer Type: Yes/No

Q_6181

Is the Project fully-financed in an amount covering total Eligible Project Costs, through a combination of the total requested State Investment and your commitment of funds?

*If you answer NO, please review Section IV G of the New NY Broadband Program Phase 2 RFP Guidelines for all terms and conditions relevant to applications that are not fully-financed at the time of submission, including requirements for the completion of financing.

- Question Type: Standard Question
- Required: Yes
- Answer Type: Yes/No

Q_6182

Is a portion of your financial commitment, in an amount no less than 10% of total Eligible Project Costs, Equity Capital (as defined in the New NY Broadband Program Phase 2 RFP Guidelines, Section IV.B, Required Co-Investments)?

- Question Type: Standard Question
- Required: Yes
- Answer Type: Yes/No

Q_6184

Have you received previous funding for any aspect of the Project, or to serve any part of the proposed Service Area, from federal, State, or municipal sources?

- Question Type: Standard Question
- Required: Yes
- Answer Type: Yes/No
- This is a conditional question.
 1. If **Yes** is selected then **Q_6185** will be displayed

Q_6185

If you have received previous funding for any aspect of the Project, or to serve any part of the proposed Service Area, from federal, State, or municipal sources please provide the Project title, funding source, the Project cost total, and the

dollar amount received, year of receipt, and current status of this component of the project (e.g., in construction, completed). Please also describe the nature of the funding (e.g., grant funds, loans).

- Question Type: Standard Question
- Required: Yes
- Answer Type: Long Answer
- Characters: any
- This is a conditional question based on the answer to **Q_6184**. This question displays when selecting the answer: "**Yes**"

Q_6186

Please describe the extent to which you will rely on contractors and/or vendors to complete the Project.

- Question Type: Standard Question
- Required: Yes
- Answer Type: Long Answer
- Characters: any

Q_6187

Do any of your uploaded documents contain confidential and/or proprietary information?

- Question Type: Standard Question
- Required: Yes
- Answer Type: Yes/No

Q_6189

If Applicant is a subsidiary of, division of, or is otherwise related to another entity not named in this application, please identify any such parent and/or related entity and describe the institutional relationship.

- Question Type: Standard Question
- Required: No
- Answer Type: Long Answer
- Characters: any

Q_6190

Is Applicant in substantial compliance with all federal, State, and local laws?

- Question Type: Standard Question
- Required: Yes
- Answer Type: Yes/No

Q_6191

Does Applicant, or any related entity thereof, have an existing contract with any agency, corporation, or division of the State of New York?

- Question Type: Standard Question
- Required: Yes
- Answer Type: Yes/No
- This is a conditional question.
 1. If **Yes** is selected then **Q_6192** will be displayed

Q_6192

If Applicant, or any related entity thereof, has an existing contract with any agency, corporation, or division of the State of New York, please describe the current status of that contract.

- Question Type: Standard Question
- Required: Yes
- Answer Type: Long Answer
- Characters: any
- This is a conditional question based on the answer to **Q_6191**. This question displays when selecting the answer: "**Yes**"

Q_6193

Does Applicant consent to an investigation of their standing under all New York State contracts?

- Question Type: Standard Question
- Required: Yes
- Answer Type: Yes/No

Q_6194

Does Applicant have an outstanding federal, New York State, or municipal tax liability?

- Question Type: Standard Question
- Required: Yes
- Answer Type: Yes/No

- This is a conditional question.
 1. If **Yes** is selected then **Q_6195** will be displayed

Q_6195

If Applicant has an existing federal, New York State, or municipal tax liability, please explain.

- Question Type: Standard Question
- Required: Yes
- Answer Type: Long Answer
- Characters: any
- This is a conditional question based on the answer to **Q_6194**. This question displays when selecting the answer: "**Yes**"

Q_6196

Has your application been developed independently, without collusion, consultation, communication, or agreement for the purpose of restricting competition in ESD's selection of Phase 2 grant recipients?

- Question Type: Standard Question
- Required: Yes
- Answer Type: Yes/No

Q_6197

Unless otherwise required by law, do you agree that you will not knowingly disclose the costs presented and grant amounts requested in your Phase 2 application to any other Applicant or to any competitor?

- Question Type: Standard Question
- Required: Yes
- Answer Type: Yes/No

Q_6198

Do you attest that you have not made, **and will not make**, any attempt to submit or withhold a Phase 2 application for the purpose of restricting competition in ESD's selection of Phase 2 grant recipients?

- Question Type: Standard Question
- Required: Yes
- Answer Type: Yes/No

Q_6199

Principals, officers, and directors of applicants, as determined by the BPO, may be required to submit to a background check at the sole discretion of the BPO as a precondition to the award of Program funding.

Do you understand and agree that the failure of any person, determined by the BPO, to be subject to a background check, to submit to a background check, as well as the results of such background check, may result in disqualification of your application?

- Question Type: Standard Question
- Required: Yes
- Answer Type: Yes/No

Q_5043

Please provide a description of your plan to engage New York State-certified Minority and Women-owned Business vendors as subcontractors and suppliers.

- Question Type: Standard Question
- Required: Yes
- Answer Type: Long Answer
- Characters: any

Q_5044

Please provide a description of your plan to engage Service Disabled Veteran-Owned Business Enterprises as subcontractors and suppliers.

- Question Type: Standard Question
- Required: Yes
- Answer Type: Long Answer
- Characters: any

Q_1037

By entering your name in the box below, you certify and agree that you are authorized on behalf of the applicant and its governing body to commit the applicant to comply with the requirements of Article 15-A of the New York State Executive

Law: Participation By Minority Group Members and Women With Respect To State Contracts by providing opportunities for Minority-owned Business Enterprise (MBE)/Woman-owned Business Enterprise (WBE) participation. You further certify that the applicant will maintain such records and take such actions necessary to demonstrate such compliance throughout the completion of the project.

- Question Header: General Certifications
- Question Type: Certification
- Required: Yes
- Answer Type: Short Answer

Q_1038

By entering your name in the box below, you certify that you are authorized on behalf of the applicant and its governing body to submit this application. You further certify that all of the information contained in this Application and in all statements, data and supporting documents which have been made or furnished for the purpose of receiving assistance for the project described in this application, are true, correct and complete to the best of your knowledge and belief. You acknowledge that offering a written instrument knowing that the written instrument contains a false statement or false information, with the intent to defraud the State or any political subdivision, public authority or public benefit corporation of the State, with the knowledge or belief that it will be filed with or recorded by the State or any political subdivision, public authority or public benefit corporation of the State, constitutes a crime under New York State Law.

- Question Type: Certification
- Required: Yes
- Answer Type: Short Answer