Program Questions:
Southern Tier ACHIEVE Program

Q_18938

Was the proposed project included in the Southern Tier's 2025 ACHIEVE proposal?

Q_3527

US Congressional District where the project is located. (This question's value will be filled automatically, based on the project address, when the application is finalized.)

- Choice Options: 1,2,3,4,5,6,7,8,9,10,11,12,13,14,15,16,17,18,19,20,21,22,23,24,25,26,27

Q_928

Project Street Address: Please input the project street address (**Street Number and Street Name only**).

If the project has multiple locations, please input the primary street address of the project. If the project does not have a definite street address, please input the approximate street address of the project (Street Number and Street Name only).

Q_565

Project City

Q_972

Project county or counties.

Q_568

Project State

- Choice Options: AA,AL,AK,AZ,AR,CA,CO,CT,DE,FL,GA,HI,ID,IL,IN,IA,KS,KY,LA,ME,MD,MA,MI,MN,MS,MO,MT,NE,NV,NH,NJ,NM,NY,NC,ND,OH,OK,OR,PA,RI,SC,SD,TN,TX,UT,VT,VA,WA,WV,WI,WY,AS,DC,FM,GU,MH,MP,PW,PR,VI

Q_572

Project Latitude (This question's value will be filled automatically, based on the project address, when the application is finalized.)

Q_573

Project Longitude (This question's value will be filled automatically, based on the project address, when the application is finalized.)

Q_184

NYS Assembly District where the project is located. (This question's value will be filled automatically, based on the project address, when the application is finalized.)

Q_190

NY Senate District where the project is located. (This question's value will be filled automatically, based on the project address, when the application is finalized.)

Q_1034

Project ZIP Code. (please use ZIP+4 if known)

Q_616

For more than one project location, please provide full address(es) for each location. If Not Applicable, indicate "NA".

Q_549

Type of Applicant (select one)
Applicants will first select a single applicant type from the categories below and then a subtype based on their initial selection. Applicants should review the selections below which provides a list of subtypes by main applicant type.

1. For Profit entity options:
Limited Liability Corporation (LLC)
Limited Liability Partnership (LLP)
Sole Proprietorship
S Corporation
C Corporation
Limited Partnership (LP)
Other- applicant will be required to list their other for-profit designation.

2. Not-for profit entity options:
501(c)(1) Any corporation that is organized under an act of Congress that is exempt from federal income tax;
501(c)(2) Corporations that hold a title of property for exempt organizations;
501(c)(3) Corporations/funds/foundations that operate for religious/ charitable/ scientific/ literary/ educational purposes;
501(c)(4) Nonprofit organizations that promote social welfare;
501(c)(5) Labor, agricultural, or horticultural associations;
501(c)(6) Business leagues/chambers of commerce/etc. that are not organized for profit;
501(c)(7) Recreational organizations; and
Other- applicant will be required to list their other not-for-profit designation.

3. Government entity options:
Federal

State
County
City
Town
Village
Tribal
School District
County or Town Improvement District
District Corporation
Public Authority
Business Improvement District
Fire District
Board of Cooperative Education Services (BOCES)
Public Library
Association Library
Other- applicant will be required to list their other government designation.

- Choice Options: For-Profit, Not-for-Profit, Government
- This is a conditional question.
    1. If **For-Profit** is selected then **Q_15475** will be displayed
    2. If **Government** is selected then **Q_15478** will be displayed
    3. If **Not-for-Profit** is selected then **Q_15477** will be displayed

Q_15475

Select the for-profit entity of the applicant applying for funding:

- Choice Options: Limited Liability Corporation (LLC), Limited Liability Partnership (LLP), Sole Proprietorship, S Corporation, C Corporation, Limited Partnership (LP), Other
- This is a conditional question.
    1. If **Other** is selected then **Q_15483** will be displayed
- This is a conditional question based on the answer to **Q_549**. This question displays when selecting the answer: "**For-Profit**"

Q_15483

Enter the applicant's 'Other' for-profit entity designation.

- This is a conditional question based on the answer to **Q_15475**. This question displays when selecting the answer: "**Other**"

Q_15477

Select the not-for-profit entity of the applicant applying for funding:

- Choice Options: 501(c)(1) Any corporation that is organized under an act of Congress that is exempt from federal income tax, 501(c)(2) Corporations that hold a title of property for exempt organizations, 501(c)(3) Corporations/funds/foundations that operate for religious/charitable/scientific/literary/educational purposes, 501(c)(4) Nonprofit organizations that promote social welfare, 501(c)(5) Labor/agricultural/horticultural associations, 501(c)(6) Business leagues/chambers of commerce/etc. that are not organized for profit, 501(c)(7) Recreational organizations, Other
- This is a conditional question.
    1. If **Other** is selected then **Q_15484** will be displayed
- This is a conditional question based on the answer to **Q_549**. This question displays when selecting the answer: "**Not-for-Profit**"

Q_15484

Enter the applicant's 'Other' not-for-profit entity designation.

- This is a conditional question based on the answer to **Q_15477**. This question displays when selecting the answer: "**Other**"

Q_15478

Select the government entity of the applicant applying for funding:

- Choice Options: Federal, State, County, City, Town, Village, Tribal, School District, County or Town Improvement District, District Corporation, Public Authority, Business Improvement District, Fire District, Board of Cooperative Education Services (BOCES), Public Library, Association Library, Other
- This is a conditional question.
    1. If **Other** is selected then **Q_15485** will be displayed
- This is a conditional question based on the answer to **Q_549**. This question displays when selecting the answer: "**Government**"

Q_15485

Enter the applicant's 'Other' government entity designation.

- This is a conditional question based on the answer to **Q_15478**. This question displays when selecting the answer: "**Other**"

Q_12603

Is the applicant a DBA?

- This is a conditional question.
    1. If **Yes** is selected then **Q_550** will be displayed

Q_550

What is the applicant's DBA name?

- This is a conditional question based on the answer to **Q_12603**. This question displays when selecting the answer: "**Yes**"

Q_556

Select an applicant ID type from the list below that you normally use to identify your organization on application forms.

- Choice Options: Charity Reg #, Duns Number, Federal Tax ID Number, NYS Unemployment Insurance Tax Number,NYS Vendor Identification Number (SFS),Employee Identification Number (EIN),Unique Entity Identifier (UEI)

Q_2655

Based on your selection from the previous question, enter the associated ID number.

Q_969

If you are a business, have you been certified as a New York State Minority or Women-owned Business Enterprise (MWBE)?

- Choice Options: Yes, No, N/A

Q_546

Organization Legal Name

Q_5416

Applicant First Name

Q_5417

Applicant Last Name

Q_551

Applicant Street Address

Q_552

Applicant City

Q_553

Applicant State

Q_554

Applicant ZIP Code. (please use ZIP+4 if known)

Q_651

Applicant Telephone Number (please include area code)

Q_555

Applicant Email Address

Q_547

Contact First Name

Q_1049

Contact Last Name

Q_1050

Contact Title

Q_5490

Primary Organization

Q_3688

Contact Street Address

Q_3689

Contact City

Q_3690

Contact State

Q_3691

Contact ZIP Code (please use ZIP+4 if known)

Q_562

Primary Contact Phone Number. (please include area code)

Q_3692

Contact Email

Q_5476

Contract First

Q_5477

Contract Last

Q_5478

Contract Title

Q_5491

Authorized Organization

Q_5479

Contract Street

Q_5480

Contract City

Q_5481

Contract State

Q_5482

Contract Zip (please use ZIP+4 if known)

Q_5483

Contract Phone (please include area code)

Q_5484

Contract Email

Q_1052

Additional Project Contact First Name

Q_970

Additional Project Contact Last Name

Q_1051

Additional Contact Title

Q_5492

Additional Organization

Q_3693

Additional Contact Street Address

Q_3694

Additional Contact City

Q_3695

Additional Contact State

Q_3696

Additional Contact ZIP (please use ZIP+4 if known)

Q_3697

Additional Contact Telephone Number (please include area code)

Q_561

Additional Contact Email Address

Q_4199

Please select the primary sector or characterization that best defines this project.

- Choice Options: Agriculture, Arts/Culture/Cultural Institutions, Biomedical/Medical, Community Development, Education/College/University, Energy, Environment, Financial Services, Food/Beverage, Healthcare, Historic Preservation, Hospitality, Housing, Industrial/Manufacturing, Information Technology Services/Communications, Infrastructure, Municipal/Government, Office, Recreation,Research & Development, Tourism/Travel, Transportation, Water/Wastewater/Sewer, Waterfront Revitalization, Workforce Development,Business Development,Technology Commercialization, Semiconductors/Semiconductor Supply Chain

Q_4198

Please select the secondary sector or characterization that best defines this project.

- Choice Options: Agriculture, Arts/Culture/Cultural Institutions, Biomedical/Medical, Community Development, Education/College/University, Energy, Environment, Financial Services, Food/Beverage, Healthcare, Historic Preservation, Hospitality, Housing, Industrial/Manufacturing, Information Technology Services/Communications, Infrastructure, Municipal/Government, Office, Research & Development, Tourism/Travel, Transportation, Water/Wastewater/Sewer, Waterfront Revitalization, Workforce Development,Business Development,Technology Commercialization, Semiconductors/Semiconductor Supply Chain

Q_575

Project Description. Concisely describe the project, indicating the location, what will be planned, designed, acquired, and/or constructed, the issues/opportunities to be addressed, and expected outcomes and deliverables. Additional details will be collected later in the application process.

Q_976

Statement of need: Provide a brief summary of the need for the project in the geographic area proposed and the project's financing needs, including funding gaps of the proposed project.

Q_12626

Does the project align with the Regional Economic Development Council's Strategic Plan?

- This is a conditional question.
    1. If **Yes** is selected then **Q_12627** will be displayed

Q_12627

Explain how the project aligns with the Regional Economic Development Council's Strategic Plan.

- This is a conditional question based on the answer to **Q_12626**. This question displays when selecting the answer: "**Yes**"

Q_18919

Explain how the project aligns with the Regional Economic Development Council's Strategic Plan.

Q_16509

Current State of Project Development (i.e. planning, preliminary engineering, final design, etc. You may enter N/A for non-project related applications)

Q_929

Current State of Project Development (i.e. planning, preliminary engineering, final design, etc. You may enter N/A for non-project related applications)

Q_975

Estimated Project Timeline: include project start/completion dates, estimates for design, permitting and construction or other major steps.

Q_580

Provide a list of all federal, state, and local reviews, approvals, or permits needed or completed, including the dates when they are expected to be completed or were completed. If Not Applicable, indicate "NA".

Q_12606

Does this project require State and/or Federal Environmental Review?

- This is a conditional question.
  1. If **Yes** is selected then these questions will be displayed:
     - Q_2364
     - Q_12607

Q_2364

What is the status of State and/or Federal Environmental Review?

- This is a conditional question based on the answer to Q_12606. This question displays when selecting the answer: "**Yes**"

Q_12607

Please indicate the lead agency (if applicable).

- This is a conditional question based on the answer to Q_12606. This question displays when selecting the answer: "**Yes**"

Q_18182

Is this project subject to National Environmental Policy Act (NEPA)?

Q_12604

Has a National Environmental Policy Act (NEPA) Record of Decision been issued?

- This is a conditional question.
  1. If **Yes** is selected then **Q_1054** will be displayed

Q_1054

Please explain decision and include date of Record of Decision.

- This is a conditional question based on the answer to Q_12604. This question displays when selecting the answer: "**Yes**"

Q_12625

Has the applicant or project been awarded funding in prior CFA rounds?

- This is a conditional question.
  1. If **Yes** is selected then **Q_2362** will be displayed

Q_2362

What were the CFA numbers for which funding was awarded? (separate multiple CFA numbers with commas)

- This is a conditional question based on the answer to Q_12625. This question displays when selecting the answer: "**Yes**"

Q_4160

For each program to which you are applying under the CFA, explain your strategy for proceeding if the full amount of requested funding, required matching funds, and temporary financing are not secured as expected, or committed sources become unavailable.This explanation must address any proposed project phases, and both CFA and non-CFA sources of funds.

Q_18343

For the developer, please provide interim financials for 2026, certified by a company officer. For publicly traded companies, please provide the most recent Form 10-Q.

For a start-up company with no operating history for this year, attach personal financial statements from a personal guarantor(s) of the start-up company for interim 2026.

Q_18344

For the developer, please provide audited or reviewed financials, or compiled financials with signed tax returns, for 2025. For publicly traded companies please provide Form 10-K for 2025.

For a start-up company with no operating history for this year, attach personal financial statements from a personal guarantor(s) of the start-up company for 2025.

Q_18345

For the developer, please provide audited or reviewed financials, or compiled financials with signed tax returns, for 2024. For publicly traded companies please provide Form 10-K for 2024.

For a start-up company with no operating history for this year, attach personal financial statements from a personal guarantor(s) of the start-up company for 2024.

Q_16274

For the developer, please provide audited or reviewed financials, or compiled financials with signed tax returns, for 2023. For publicly traded companies please provide Form 10-K for 2023.

For a start-up company with no operating history for this year, attach personal financial statements from a personal guarantor(s) of the start-up company for 2023.

Q_6946

Please provide Letters of Support for your project (if applicable). All letters should be scanned into a single PDF file and their total size cannot exceed 30 Megabytes (MB).

Q_2332

Attach a short or long Environmental Assessment Form

Project review pursuant to the State Environmental Quality Review Act (SEQRA) must be completed prior to the award of any state funds. For projects classified as Type I or Unlisted actions, submit a short or long Environmental Assessment Form. See "View Help" for links to forms.

Q_2333

If review of the project has been completed pursuant to State Environmental Quality Review Act (SEQRA) or National Environmental Policy Act (NEPA), please submit the Negative Declaration or Findings Statement, or Finding of No Significant Impact or Record of Decision.

Q_18942

Please submit your project proposal for which funds are being requested for and include the project budget, pro forma, source and use of funds, and site plans.

Q_18943

Please submit any additional documents to be considered when reviewing your request for funding.

Q_16264

Equity Breakdown – Sources of equity funds, terms and conditions with partners, and/or proof of sufficient cash on hand

Q_16265

Term Sheets – Please provide signed term sheets for any debt facilities that will serve as permanent funding sources on the project.

Q_16267

Ownership Chart – Please provide a chart that clarifies the structure of all stakeholding entities involved in this transaction/development, including all ownership percentages.

Q_14645

For all organizations and entities, provide financial projections for 10 years.

Q_14774

Please attach bios and resumes for all principals in the project in PDF format.

Q_15341

Is the applicant a city, town, or village that is applying for capital funding?

- This is a conditional question.
  1. If **No** is selected then these questions will be displayed:
     - **Q_15728**
     - **Q_15774**
  2. If **Yes** is selected then **Q_15342** will be displayed

Q_14623

Is the applicant organization a subsidiary?

- This is a conditional question.
  1. If **Yes** is selected then these questions will be displayed:
     - **Q_14625**
     - **Q_14626**
     - **Q_14627**
     - **Q_14628**
     - **Q_14629**

Q_14625

Parent Company name:

- This is a conditional question based on the answer to **Q_14623**. This question displays when selecting the answer: "**Yes**"

Q_14626

Parent Company Street Address:

- This is a conditional question based on the answer to **Q_14623**. This question displays when selecting the answer: "**Yes**"

Q_14627

Parent Company City:

- This is a conditional question based on the answer to **Q_14623**. This question displays when selecting the answer: "**Yes**"

Q_14628

Parent Company Zip:

- This is a conditional question based on the answer to Q_14623. This question displays when selecting the answer: "**Yes**"

Q_14629

Parent Company County:

- This is a conditional question based on the answer to Q_14623. This question displays when selecting the answer: "**Yes**"

Q_14630

Is the applicant applying on behalf of another organization or entity that will be the recipient of, or that will benefit from, the award if funds are awarded to the project?

- This is a conditional question.
    1. If **Yes** is selected then these questions will be displayed:
        - Q_14631
        - Q_14632
        - Q_14634
        - Q_14635
        - Q_14636
        - Q_14637
        - Q_14638
        - Q_14639
        - Q_14640
        - Q_14641
        - Q_14642
        - Q_14643
        - Q_14644
        - Q_14645

Q_14631

Beneficiary Legal Organization Name:

- This is a conditional question based on the answer to Q_14630. This question displays when selecting the answer: "**Yes**"

Q_14632

Is the beneficiary a DBA?

- This is a conditional question.
    1. If **Yes** is selected then **Q_14633** will be displayed
- This is a conditional question based on the answer to Q_14630. This question displays when selecting the answer: "**Yes**"

Q_14633

Beneficiary DBA Name:

- This is a conditional question based on the answer to Q_14632. This question displays when selecting the answer: "**Yes**"

Q_14634

Beneficiary Street Address:

- This is a conditional question based on the answer to Q_14630. This question displays when selecting the answer: "**Yes**"

Q_14635

Beneficiary City:

- This is a conditional question based on the answer to Q_14630. This question displays when selecting the answer: "**Yes**"

Q_14636

Beneficiary Zip:

- This is a conditional question based on the answer to Q_14630. This question displays when selecting the answer: "**Yes**"

Q_14637

Beneficiary County:

- This is a conditional question based on the answer to Q_14630. This question displays when selecting the answer: "**Yes**"

Q_14638

Beneficiary Contact First Name:

- This is a conditional question based on the answer to Q_14630. This question displays when selecting the answer: "**Yes**"

Q_14639

Beneficiary Contact Last Name:

- This is a conditional question based on the answer to Q_14630. This question displays when selecting the answer: "**Yes**"

Q_14640

Beneficiary Contact Job Title:

- This is a conditional question based on the answer to Q_14630. This question displays when selecting the answer: "**Yes**"

Q_14641

Beneficiary Contact Phone:

- This is a conditional question based on the answer to Q_14630. This question displays when selecting the answer: "**Yes**"

Q_14642

Beneficiary Contact Email:

- This is a conditional question based on the answer to Q_14630. This question displays when selecting the answer: "**Yes**"

Q_14646

Is the Beneficiary company a subsidiary?

- This is a conditional question.
  1. If **Yes** is selected then these questions will be displayed:
     - Q_14647
     - Q_14648
     - Q_14649
     - Q_14650
     - Q_14651

Q_14647

Beneficiary Parent Company Name:

- This is a conditional question based on the answer to Q_14646. This question displays when selecting the answer: "**Yes**"

Q_14648

Beneficiary Parent Company Street Address:

- This is a conditional question based on the answer to Q_14646. This question displays when selecting the answer: "**Yes**"

Q_14649

Beneficiary Parent Company City:

- This is a conditional question based on the answer to Q_14646. This question displays when selecting the answer: "**Yes**"

Q_14650

Beneficiary Parent Company Zip:

- This is a conditional question based on the answer to Q_14646. This question displays when selecting the answer: "**Yes**"

Q_14651

Beneficiary Parent Company County:

- This is a conditional question based on the answer to Q_14646. This question displays when selecting the answer: "**Yes**"

Q_18945

Explain your strategy for proceeding if the full amount of requested funding, required matching funds, and/or temporary financing are not secured as expected, or committed sources become unavailable.

Q_18373

Will the funds be used by the recipient (applicant) to create a program to disburse funds to sub-recipients?

- This is a conditional question.
  1. If **Yes** is selected then **Q_18374** will be displayed

Q_18374

Please describe in detail the sub-recipient organizations, anticipated services to be rendered and anticipated disbursement amounts.

- This is a conditional question based on the answer to Q_18373. This question displays when selecting the answer: "**Yes**"

Q_14658

Describe in detail the qualifications of the organization to undertake this project, including the organization's experience with projects of similar size and scope.

Q_12654

Has the applicant applied for and/or been awarded funding from other New York State or local entities for this project?

- This is a conditional question.
  1. If **Yes** is selected then these questions will be displayed:
     - Q_12655
     - Q_13264

Q_12655

Please detail the funding applied to for this project and the entity administering the funding. Do not include funding that has been awarded.

- This is a conditional question based on the answer to Q_12654. This question displays when selecting the answer: "**Yes**"

Q_13264

Please detail the funding awarded to this project and the entity administering the funding.

- This is a conditional question based on the answer to **Q_12654**. This question displays when selecting the answer: "**Yes**"

Q_13265

Has the applicant applied to and/or been awarded funding from a local IDA?

- This is a conditional question.
    1. If **Yes** is selected then these questions will be displayed:
        - **Q_13266**
        - **Q_13267**

Q_13266

Please detail the funding applied for this project and the entity administering the funding. Do not include funding that has been awarded.

- This is a conditional question based on the answer to **Q_13265**. This question displays when selecting the answer: "**Yes**"

Q_13267

Please detail the funding awarded to this project and the entity administering the funding.

- This is a conditional question based on the answer to **Q_13265**. This question displays when selecting the answer: "**Yes**"

Q_1409

What is the first project year? (e.g. the year equipment will be ordered or when first expenditures are expected to be made)

Q_5719

Does the project site involve or is it substantially contiguous to a property listed or recommended for listing in the NY State or National Registers of Historic Places? Consult the National Register Information System to find out if a property is listed. Or use the Cultural Resource Information System (CRIS) tool.
See question requirements for more information on SHPO consultation.

- Choice Options: Yes, No, N/A
- This is a conditional question.
    1. If **Yes** is selected then **Q_5721** will be displayed

Q_5721

Please identify the name of the resource, explain the status of the SHPO consultation, and if consultation is complete, please provide a link to SHPO's Letter of Determination of No Adverse Effect or Letter of Resolution to Mitigate Adverse Effect.

- This is a conditional question based on the answer to **Q_5719**. This question displays when selecting the answer: "**Yes**"

Q_14680

Does the project site contain or is it substantially contiguous to buildings that are more than 50 years old and/or buildings/lands that are known to be historically, architecturally, or culturally significant?

Q_5720

Is the project site wholly or partially included within an identified archeologically sensitive area?
Consult the National Register Information System to find out if a property is listed. Or use the Cultural Resource Information System (CRIS) tool to identify archeologically sensitive areas.
See question requirements for more information on SHPO consultation.

- Choice Options: Yes, No, N/A
- This is a conditional question.
    1. If **Yes** is selected then **Q_5722** will be displayed

Q_5722

Please list the geographic information for the archeologically sensitive areas, explain the status of SHPO consultation, and if consultation is complete, please provide a link to SHPO's Letter of Determination of No Adverse Effect or Letter of Resolution to Mitigate Adverse Effect.

- This is a conditional question based on the answer to **Q_5720**. This question displays when selecting the answer: "**Yes**"

Q_6069

Does your application contain 1) trade secrets, (2) information that, if disclosed, would cause substantial injury to the competitive position of your organization, or (3) critical infrastructure information? (All efforts should be made to provide such Information in the questions marked as “restricted.”)

- This is a conditional question.
    1. If **Yes** is selected then **Q_6070** will be displayed

Q_6070

Please identify the Question # and specific language for those portions of your application and accompanying documents you believe fall under these exemptions, and provide a detailed justification for the exemption from disclosure. See 'Click Here for Question Requirements' for formatting and additional information.

- This is a conditional question based on the answer to **Q_6069**. This question displays when selecting the answer: "**Yes**"

Q_3006

Qualified Investments -Building Acquisition Year 1

Q_3007

Qualified Investments Building Renovation Year 1

Q_3008

Qualified Investments New Construction Year 1

Q_3009

Qualified Investments Production Machinery & Equipment Year 1

Q_3010

Qualified Investments Furniture, fixtures & equipment Year 1

Q_3012

Qualified Investments Land Acquisition Year 1

Q_3014

Qualified Investments Demolition & environmental remediation Year 1

Q_3015

Qualified Investments Soft Costs Year 1

Q_3017

Qualified Investments Building Acquisition Year 2

Q_3018

Qualified Investments Building Renovation Year 2

Q_3019

Qualified Investments New Construction Year 2

Q_3020

Qualified Investments Production Machinery Year 2

Q_3022

Qualified Investments Furniture, fixtures Year 2

Q_3024

Qualified Investments Land Acquisition * Year 2

Q_3027

Qualified Investments Demolition Year 2

Q_3028

Qualified Investments Soft Costs Year 2

Q_3030

Qualified Investments Building Acquisition Year 3

Q_3031

Qualified Investments Building Renovation Year 3

Q_3032

Qualified Investments New Construction Year 3

Q_3033

Qualified Investments Production Machinery Year 3

Q_3034

Qualified Investments Furniture, fixtures Year 3

Q_3036

Qualified Investments Land Acquisition * Year 3

Q_3039

Qualified Investments Demolition Year 3

Q_3040

Qualified Investments Soft Costs Year 3

Q_3042

Qualified Investments Building Acquisition year 4

Q_3043

Qualified Investments Building Renovation Year 4

Q_3044

Qualified Investments New Construction Year 4

Q_3045

Qualified Investments Production Machinery Year 4

Q_3046

Qualified Investments Furniture, fixtures Year 4

Q_3048

Qualified Investments Land Acquisition * Year 4

Q_3050

Qualified Investments Demolition Year 4

Q_3051

Qualified Investments Soft Costs Year 4

Q_3053

Qualified Investments Building Acquisition

Q_3054

Qualified Investments Building Renovation Year 5

Q_3055

Qualified Investments New Construction Year 5

Q_3056

Qualified Investments Production Machinery Year 5

Q_3057

Qualified Investments Furniture, fixtures Year 5

Q_3059

Qualified Investments Land Acquisition * Year 5

Q_3061

Qualified Investments Demolition Year 5

Q_3062

Qualified Investments Soft Costs Year 5

Q_13611

The NYS Smart Growth Public Infrastructure Policy Act requires that a public infrastructure project meet the relevant smart growth criterion to the extent practicable. Public Infrastructure projects consist of construction or reconstruction of transportation, sewer, wastewater treatment, water, education, housing and other publicly supported infrastructure.Does the proposed project build new public infrastructure, expand public infrastructure or use, maintain, or improve existing public infrastructure?

If you are maintaining or improving existing public infrastructure, please answer “YES”. If you are building new public infrastructure or expanding public infrastructure, please answer "YES".

If this is not applicable to your project, answer “NO”.

- This is a conditional question.
  1. If **Yes** is selected then these questions will be displayed:
     - Q_13612
     - Q_13613
     - Q_13614
     - Q_13615
     - Q_13616
     - Q_13617
     - Q_13618
     - Q_13619
     - Q_13620
     - Q_13621
     - Q_13622
     - Q_13623

Q_13613

Please explain the need to build new infrastructure instead of using or improving existing infrastructure. If n/a, please type N/A.

- This is a conditional question based on the answer to Q_13611. This question displays when selecting the answer: "**Yes**"

Q_13612

Explain how the proposed project will use, maintain, or improve existing infrastructure.

- This is a conditional question based on the answer to Q_13611. This question displays when selecting the answer: "**Yes**"

Q_13614

Please explain how the proposed project is located in a municipal center.

- This is a conditional question based on the answer to Q_13611. This question displays when selecting the answer: "**Yes**"

Q_13615

Please explain how the proposed project is located in a developed area or an area designated for concentrated infill development in a municipally approved comprehensive land use plan, local waterfront revitalization plan and/or brownfield opportunity area plan. Specifically, explain how your project advances infill development or redevelopment in existing developed areas consistent with an approved plan. Infill development includes redevelopment, rehabilitation and new development between existing buildings on vacant or under-utilized sites.

- This is a conditional question based on the answer to Q_13611. This question displays when selecting the answer: "**Yes**"

Q_13616

Please explain how the proposed project will protect, preserve and enhance the State's resources, including agricultural land, forests, surface and groundwater, air quality, recreation and open space, scenic areas, and significant historic and archeological resources. Beyond simply avoiding or minimizing negative environmental impacts, please indicate the resources that may be impacted by your project and how your project will preserve and enhance these resources.

- This is a conditional question based on the answer to Q_13611. This question displays when selecting the answer: "**Yes**"

Q_13617

Please explain how the proposed project will foster mixed land uses and compact development, downtown revitalization, Brownfield redevelopment, the enhancement of beauty in public spaces, the diversity and affordability of housing in proximity to places of employment, recreation and commercial development and the integration of all income and age groups. Specifically, explain how your project advances these objectives and improves the quality of life in your community.

- This is a conditional question based on the answer to Q_13611. This question displays when selecting the answer: "**Yes**"

Q_13618

Please explain how the proposed project will provide mobility through transportation choices including improved public transportation and reduced automobile dependency. There are many alternatives to automobile transportation. Please explain how your project provides or complements alternatives to automobile travel such as bikes, pedestrians, public transit, air travel or rail travel.

- This is a conditional question based on the answer to Q_13611. This question displays when selecting the answer: "**Yes**"

Q_13619

Please explain how the proposed project will involve coordination between state and local government and inter-municipal and regional planning. Identify any interaction between the applicant and any municipal and county governments, planning boards, regional planning associations or similar organizations. Document any outreach by the applicant to these organizations regarding the project and any relevant correspondence.

- This is a conditional question based on the answer to Q_13611. This question displays when selecting the answer: "**Yes**"

Q_13620

Please explain how the proposed project will involve participation in community-based planning and collaboration. Specifically, explain how the project results from an inclusive, multi-stakeholder (including traditionally underserved populations) process of community-based planning and collaboration. To assist with your explanation, identify any affected community groups or organizations with an interest in the proposed project and if the planning process involved outreach to citizens and stakeholders at all stages of development of the project.

- This is a conditional question based on the answer to Q_13611. This question displays when selecting the answer: "**Yes**"

Q_13621

Please explain how the proposed project will ensure predictability in building and land use codes. Provide any additional relevant information.

- This is a conditional question based on the answer to Q_13611. This question displays when selecting the answer: "**Yes**"

Q_13622

Please explain how the proposed project will promote sustainability by strengthening existing and creating new communities which reduce greenhouse gas emissions and do not compromise the needs of future generations, by among other means encouraging broad based public involvement in developing and implementing a community plan and ensuring the governance structure is adequate to sustain its implementation. Specifically, explain how your project promotes sustainability. For example, does your project include buildings and plans that seek to minimize consumption of fossil fuels (coal, petroleum), reduce water usage / consumption, and encourage the use of renewable energy (wind, solar, and geo-thermal).

- This is a conditional question based on the answer to Q_13611. This question displays when selecting the answer: "**Yes**"

Q_13623

Please explain how the proposed project will mitigate future physical climate risk due to sea-level rise, and/or storm surges and/or flooding, based on available data predicting the likelihood of future extreme weather events, including hazard risk analysis data.

Specifically, explain how your project demonstrates that future physical climate risk due to sea-level rise, storm surge and flooding have been considered. For example, have you demonstrated consideration of the flood risk applicable to your specific structure type? Explain how the siting and design have evaluated flood-risk considerations, including, but not limited to, human health and safety, environmental effects, cost, funding-source requirements, feasibility and community impact.

For information on the State Climate Impacts Assessment visit:
https://nysclimateimpacts.org/about-the-assessment/what-is-the-assessment/

For information on implementation of the Community Risk and Resiliency Act visit:
https://dec.ny.gov/environmental-protection/climate-change/new-york-response/crra

- This is a conditional question based on the answer to Q_13611. This question displays when selecting the answer: "**Yes**"

Q_1038

By entering your name in the box below, you certify that you are authorized on behalf of the applicant and its governing body to submit this application. You further certify that all of the information contained in this Application and in all statements, data and supporting documents which have been made or furnished for the purpose of receiving assistance for the project described in this application, are true, correct and complete to the best of your knowledge and belief. You acknowledge that offering a written instrument knowing that the written instrument contains a false statement or false information, with the intent to defraud the State or any political subdivision, public authority or public benefit corporation of the State, with the knowledge or belief that it will be filed with or recorded by the State or any political subdivision, public authority or public benefit corporation of the State, constitutes a crime under New York State Law.

Q_18242

By entering your name in the box below, you are acknowledging that ESD's Contractor & Supplier Diversity policy will apply to this project Pursuant to New York State Executive Law Articles 15-A and Article 3 of New York State Veterans' Services Law, ESD recognizes its obligation under the law to promote opportunities for maximum feasible participation of certified New York State minority and women-owned business enterprises (MWBEs) and service-disabled veteran-owned businesses (SDVOBs) in the performance of ESD projects. The Recipient shall be required to use Good Faith Efforts (pursuant to 5 NYCRR §142.8 and 9 NYCRR § 252.2(n) to achieve MWBE and SDVOB Participation. In addition, ESD's MWBE and SDVOB Participation/Equal Employment Opportunity Policy will apply to this program. Specific goals related to the total value of ESD's funding will be established on a grant-by grant basis. ESD has an agency-wide goal of 30% for MWBE participation, 15% for New York State-certified Minority-owned Business Enterprise ("MBE") participation and 15% for New York State-certified Women-owned Business Enterprise ("WBE") participation (based on the current availability of MBEs and WBEs). ESD has an agency-wide goal of 6% for SDVOB participation, based on the current availability of qualified SDVOBs.

Q_15809

By entering your name in the box below, you certify and agree that you are aware that your award will be reduced in proportion to the reduction of jobs and/or total project costs, and not meeting and maintaining affordable housing requirements. Furthermore, you understand that, should this project receive a funding award, the Applicant will maintain such records and take such actions necessary to demonstrate such compliance throughout the completion of the project.

Q_7341

By entering your name in the box below, you certify, under penalty of perjury, that the information given herein is true and correct in all respects for the company or organization applying for funding (the "Company"), presently and for the past five years: -the Company is not a party to any litigation or any litigation is not pending or anticipated that could have an adverse material effect on the company's financial condition;

-the Company does not have any contingent liabilities that could have a material effect on its solvency;

-the Company, its affiliates or any member of its management or any other concern with which such members of management have been officers or directors, have never been involved in bankruptcy, creditor's rights, or receivership proceedings or sought protection from creditors;

-the Company is not delinquent on any of its state, federal or local tax obligations;

-No principal, officer of the Company, owner or majority stockholder of any firm or corporation, or member of the management has been charged or convicted of a misdemeanor or felony, indicted, granted immunity, convicted of a crime or subject to a judgment, or the subject of an investigation, whether open or closed, by any government entity for a civil or criminal violation for: (i) any business-related activity including, but not limited to, fraud, coercion, extortion, bribe or bribe receiving, giving or accepting unlawful gratuities, immigration or tax fraud, racketeering, mail fraud, wire fraud, price fixing or collusive bidding; or (ii) any crime, whether or not business related, where the underlying conduct relates to truthfulness, including but not limited to, the filing of false documents or false sworn statements, perjury or larceny;

-the Company or any of the Company's affiliates, principal owners or Officers has not received a violation of State Labor Law deemed "willful";

-the Company or any of its affiliates has never been cited for a violation of State, Federal, or local laws or regulations with respect to labor practices, hazardous wastes, environmental pollution or other operating practices;

-there are not any outstanding judgments or liens pending against the Company other than liens in the normal course of business.

-the Company or any of its affiliates, principal owners or officers the company has not been the subject of any judgments, injunctions, or liens including, but not limited to, judgments based on taxes owed, fines and penalties assessed by any governmental agency, or elected official against the Company.

- the Company or any of its affiliates, principal owners or officers the company has not been investigated by any governmental agency, including, but not limited to, federal, state and local regulatory agencies

-the Company or any of its affiliates, principal owners or officers the company has not been debarred from entering into any government contract; been found non-responsible on any government contract; been declared in default ore terminated for cause on any government contract; been determined to be ineligible to bid or propose on any contract; been suspended from bidding on any government contract; received an overall unsatisfactory performance rating from any government agency on any contract; agree to a voluntary exclusion from bidding or contracting on a government contract.

- the Company or any of its affiliates, principal owners or officers the company has not failed to file any of the required forms with any government entity regulating the Company. By entering your name in the box below, you agree to allow the Department of Taxation to share the Company tax information with ESD. By entering your name in the box below, you agree to allow the Department of Labor to share tax and employer information with ESD. Note: If any of the statements above are not true, in addition to entering your name, also include an explanation in the box below, indicating which issue you are addressing.

Q_18346

By entering your name in the box below, you acknowledge that you will pay a 1% commitment fee to ESD and be responsible for out-of-pocket costs at the time of board approval.