

# Program Questions: Green CHIPS Community Investment Fund

## Q\_928

Project Street Address: Please input the project street address (**Street Number and Street Name only**).

If the project has multiple locations, please input the primary street address of the project. If the project does not have a definite street address, please input the approximate street address of the project (Street Number and Street Name only).

- Question Type: Location
- Required: Yes
- Answer Type: Short Answer

## Q\_565

Project City

- Question Type: Location
- Required: Yes
- Answer Type: Short Answer

## Q\_972

Project county or counties.

- Question Type: Location
- Required: Yes
- Answer Type: NYS County Multiple Choice

## Q\_568

Project State

- Question Type: Location
- Required: Yes
- Answer Type: Single Choice Dropdown
- Choice Options: AA,AL,AK,AZ,AR,CA,CO,CT,DE,FL,GA,HI,IL,IN,IA,KS,KY,LA,ME,MD,MA,MI,MN,MS,MO,MT,NE,NV,NH,NJ,NM,NY,NC,ND,OH,OK,OR,PA,RI,SC,SD,TN,TX,UT,VT,VA,WA,WV,WI,WY,AS,DC,FM,GU,MH,MP,PW,PR,VI
- Default Answer: AA

## Q\_1034

Project ZIP Code. (please use ZIP+4 if known)

- Question Type: Location
- Required: Yes
- Answer Type: Zip Code
- Question Requirements:

To locate a Zip Code, click [HERE](#)

## Q\_572

Project Latitude (This question's value will be filled automatically, based on the project address, when the application is finalized.)

- Question Type: Location
- Required: No
- Answer Type: Decimal

## Q\_573

Project Longitude (This question's value will be filled automatically, based on the project address, when the application is finalized.)

- Question Type: Location
- Required: No
- Answer Type: Decimal

## Q\_3527

US Congressional District where the project is located. (This question's value will be filled automatically, based on the project address, when the application is finalized.)

- Question Type: Location
- Required: No
- Answer Type: Single Choice Dropdown
- Choice Options: 1,2,3,4,5,6,7,8,9,10,11,12,13,14,15,16,17,18,19,20,21,22,23,24,25,26,27
- Question Requirements:

To determine the US Congressional District, click [HERE](#)

## Q\_184

NYS Assembly District where the project is located. (This question's value will be filled automatically, based on the project address, when the application is finalized.)

- Question Type: Location
- Required: No
- Answer Type: Integer

## Q\_190

NY Senate District where the project is located. (This question's value will be filled automatically, based on the project address, when the application is finalized.)

- Question Type: Location
- Required: No
- Answer Type: Integer

## Q\_549

Type of Applicant (select one)

Applicants will first select a single applicant type from the categories below and then a subtype based on their initial selection. Applicants should review the selections below which provides a list of subtypes by main applicant type.

1. For Profit entity options:

Limited Liability Corporation (LLC)  
Limited Liability Partnership (LLP)  
Sole Proprietorship  
S Corporation  
C Corporation  
Limited Partnership (LP)  
Other- applicant will be required to list their other for-profit designation.

2. Not-for profit entity options:

501(c)(1) Any corporation that is organized under an act of Congress that is exempt from federal income tax;  
501(c)(2) Corporations that hold a title of property for exempt organizations;  
501(c)(3) Corporations/funds/foundations that operate for religious/ charitable/ scientific/ literary/ educational purposes;  
501(c)(4) Nonprofit organizations that promote social welfare;  
501(c)(5) Labor, agricultural, or horticultural associations;  
501(c)(6) Business leagues/chambers of commerce/etc. that are not organized for profit;  
501(c)(7) Recreational organizations; and  
Other- applicant will be required to list their other not-for-profit designation.

3. Government entity options:

Federal  
State  
County  
City  
Town  
Village  
Tribal  
School District  
County or Town Improvement District  
District Corporation  
Public Authority  
Business Improvement District  
Fire District  
Board of Cooperative Education Services (BOCES)  
Public Library  
Association Library  
Other- applicant will be required to list their other government designation.

- Question Type: Basic
- Required: Yes
- Answer Type: Single Choice Dropdown
- Choice Options: For-Profit, Not-for-Profit, Government
- This is a conditional question.
  1. If **For-Profit** is selected then **Q\_15475** will be displayed
  2. If **Government** is selected then **Q\_15478** will be displayed
  3. If **Not-for-Profit** is selected then **Q\_15477** will be displayed

### Q\_15475

Select the for-profit entity of the applicant applying for funding:

- Question Type: Basic
- Required: Yes
- Answer Type: Single Choice Radio Button
- Choice Options: Limited Liability Corporation (LLC), Limited Liability Partnership (LLP), Sole Proprietorship, S Corporation, C Corporation, Limited Partnership (LP), Other
- This is a conditional question.
  1. If **Other** is selected then **Q\_15483** will be displayed
- This is a conditional question based on the answer to [Q\\_549](#). This question displays when selecting the answer: "**For-Profit**"

### Q\_15483

Enter the applicant's 'Other' for-profit entity designation.

- Question Type: Basic
- Required: Yes
- Answer Type: Short Answer
- This is a conditional question based on the answer to [Q\\_15475](#). This question displays when selecting the answer: "**Other**"

### Q\_15477

Select the not-for-profit entity of the applicant applying for funding:

- Question Type: Basic
- Required: Yes
- Answer Type: Single Choice Radio Button
- Choice Options: 501(c)(1) Any corporation that is organized under an act of Congress that is exempt from federal income tax, 501(c)(2) Corporations that hold a title of property for exempt organizations, 501(c)(3) Corporations/funds/foundations that operate for religious/charitable/scientific/literary/educational purposes, 501(c)(4) Nonprofit organizations that promote social welfare, 501(c)(5) Labor/agricultural/horticultural associations, 501(c)(6) Business leagues/chambers of commerce/etc. that are not organized for profit, 501(c)(7) Recreational organizations, Other
- This is a conditional question.
  1. If **Other** is selected then **Q\_15484** will be displayed
- This is a conditional question based on the answer to [Q\\_549](#). This question displays when selecting the answer: "**Not-for-Profit**"

### Q\_15484

Enter the applicant's 'Other' not-for-profit entity designation.

- Question Type: Basic
- Required: Yes
- Answer Type: Short Answer
- This is a conditional question based on the answer to [Q\\_15477](#). This question displays when selecting the answer: "**Other**"

### Q\_15478

Select the government entity of the applicant applying for funding:

- Question Type: Basic
- Required: Yes
- Answer Type: Single Choice Radio Button
- Choice Options: Federal, State, County, City, Town, Village, Tribal, School District, County or Town Improvement District, District Corporation, Public Authority, Business Improvement District, Fire District, Board of Cooperative Education Services (BOCES), Public Library, Association Library, Other
- This is a conditional question.
  1. If **Other** is selected then **Q\_15485** will be displayed
- This is a conditional question based on the answer to [Q\\_549](#). This question displays when selecting the answer: "**Government**"

### Q\_15485

Enter the applicant's 'Other' government entity designation.

- Question Type: Basic
- Required: Yes
- Answer Type: Short Answer
- This is a conditional question based on the answer to [Q\\_15478](#). This question displays when selecting the answer: "**Other**"

### Q\_12603

Is the applicant a DBA?

- Question Type: Basic
- Required: Yes
- Answer Type: Yes/No
- This is a conditional question.
  1. If Yes is selected then Q\_550 will be displayed

### Q\_550

What is the applicant's DBA name?

- Question Type: Basic
- Required: Yes
- Answer Type: Short Answer
- This is a conditional question based on the answer to [Q\\_12603](#). This question displays when selecting the answer: "Yes"

### Q\_556

Select an applicant ID type from the list below that you normally use to identify your organization on application forms.

- Question Type: Basic
- Required: Yes
- Answer Type: Single Choice Radio Button
- Choice Options: Charity Reg #, Duns Number, Federal Tax ID Number, NYS Unemployment Insurance Tax Number, NYS Vendor Identification Number (SFS), Employee Identification Number (EIN), Unique Entity Identifier (UEI)
- Question Requirements:

Applicants will be required to provide the specified ID number upon request by the funding agencies.

### Q\_2655

Based on your selection from the previous question, enter the associated ID number.

- Question Type: Basic
- Required: Yes
- Answer Type: Short Answer

### Q\_969

If you are a business, have you been certified as a New York State Minority or Women-owned Business Enterprise (MWBE)?

- Question Type: Basic
- Required: Yes
- Answer Type: Single Choice Radio Button
- Choice Options: Yes, No, N/A

### Q\_546

Organization Legal Name

- Question Header: Applicant Information
- Question Type: Basic
- Required: Yes
- Answer Type: Short Answer
- Question Requirements:

If applying in the name of a business please type in the name as it appears on your business papers. If applying as an individual insert your name here.

### Q\_5416

Applicant First Name

- Question Type: Basic
- Required: Yes
- Answer Type: Short Answer

### Q\_5417

Applicant Last Name

- Question Type: Basic
- Required: Yes
- Answer Type: Short Answer

### Q\_551

Applicant Street Address

- Question Type: Basic
- Required: Yes
- Answer Type: Short Answer

### Q\_552

Applicant City

- Question Type: Basic
- Required: Yes
- Answer Type: Short Answer

### Q\_553

Applicant State

- Question Type: Basic
- Required: Yes
- Answer Type: State Dropdown

### Q\_554

Applicant ZIP Code. (please use ZIP+4 if known)

- Question Type: Basic
- Required: Yes

- Answer Type: Zip Code
- Question Requirements:  
To look up a zip code, click [HERE](#).

### Q\_651

Applicant Telephone Number (please include area code)

- Question Type: Basic
- Required: Yes
- Answer Type: Phone

### Q\_555

Applicant Email Address

- Question Type: Basic
- Required: Yes
- Answer Type: Email

### Q\_5257

Contact Salutation

- Question Type: Basic
- Required: No
- Answer Type: Single Choice Dropdown
- Choice Options: Mr., Mrs., Ms., Dr.

### Q\_547

Contact First Name

- Question Type: Basic
- Required: Yes
- Answer Type: Short Answer

### Q\_1049

Contact Last Name

- Question Type: Basic
- Required: Yes
- Answer Type: Short Answer

### Q\_1050

Contact Title

- Question Type: Basic
- Required: No
- Answer Type: Short Answer

### Q\_5490

Primary Organization

- Question Type: Basic
- Required: No
- Answer Type: Short Answer

### Q\_3688

Contact Street Address

- Question Type: Basic
- Required: Yes
- Answer Type: Short Answer

### Q\_3689

Contact City

- Question Type: Basic
- Required: Yes
- Answer Type: Short Answer

### Q\_3690

Contact State

- Question Type: Basic
- Required: Yes
- Answer Type: State Dropdown

### Q\_3691

Contact ZIP Code (please use ZIP+4 if known)

- Question Type: Basic
- Required: Yes
- Answer Type: Zip Code

### Q\_562

Primary Contact Phone Number. (please include area code)

- Question Type: Basic
- Required: Yes

- Answer Type: Phone

### **Q\_3692**

Contact Email

- Question Type: Basic
- Required: Yes
- Answer Type: Email

### **Q\_5475**

Contract Salutation

- Question Type: Basic
- Required: No
- Answer Type: Single Choice Dropdown
- Choice Options: Mr., Mrs., Ms., Dr.

### **Q\_5476**

Contract First

- Question Type: Basic
- Required: Yes
- Answer Type: Short Answer

### **Q\_5477**

Contract Last

- Question Type: Basic
- Required: Yes
- Answer Type: Short Answer

### **Q\_5478**

Contract Title

- Question Type: Basic
- Required: No
- Answer Type: Short Answer

### **Q\_5491**

Authorized Organization

- Question Type: Basic
- Required: No
- Answer Type: Short Answer

### **Q\_5479**

Contract Street

- Question Type: Basic
- Required: Yes
- Answer Type: Short Answer

### **Q\_5480**

Contract City

- Question Type: Basic
- Required: Yes
- Answer Type: Short Answer

### **Q\_5481**

Contract State

- Question Type: Basic
- Required: Yes
- Answer Type: State Dropdown

### **Q\_5482**

Contract Zip (please use ZIP+4 if known)

- Question Type: Basic
- Required: Yes
- Answer Type: Zip Code

### **Q\_5483**

Contract Phone (please include area code)

- Question Type: Basic
- Required: Yes
- Answer Type: Phone

### **Q\_5484**

Contract Email

- Question Type: Basic
- Required: Yes
- Answer Type: Email

**Q\_5493**

Additional Salutation

- Question Type: Basic
- Required: No
- Answer Type: Single Choice Dropdown
- Choice Options: Mr., Mrs., Ms., Dr.

**Q\_1052**

Additional Project Contact First Name

- Question Type: Basic
- Required: No
- Answer Type: Short Answer

**Q\_970**

Additional Project Contact Last Name

- Question Type: Basic
- Required: No
- Answer Type: Short Answer

**Q\_1051**

Additional Contact Title

- Question Type: Basic
- Required: No
- Answer Type: Short Answer

**Q\_5492**

Additional Organization

- Question Type: Basic
- Required: No
- Answer Type: Short Answer

**Q\_3693**

Additional Contact Street Address

- Question Type: Basic
- Required: No
- Answer Type: Short Answer

**Q\_3694**

Additional Contact City

- Question Type: Basic
- Required: No
- Answer Type: Short Answer

**Q\_3695**

Additional Contact State

- Question Type: Basic
- Required: No
- Answer Type: State Dropdown

**Q\_3696**

Additional Contact ZIP (please use ZIP+4 if known)

- Question Type: Basic
- Required: No
- Answer Type: Zip Code

**Q\_3697**

Additional Contact Telephone Number (please include area code)

- Question Type: Basic
- Required: No
- Answer Type: Phone

**Q\_561**

Additional Contact Email Address

- Question Type: Basic
- Required: No
- Answer Type: Email

**Q\_4199**

Please select the primary sector or characterization that best defines this project.

- Question Type: Basic
- Required: Yes
- Answer Type: Single Choice Dropdown
- Choice Options: Agriculture, Arts/Culture/Cultural Institutions, Biomedical/Medical, Community Development, Education/College/University, Energy, Environment, Financial Services, Food/Beverage, Healthcare, Historic Preservation, Hospitality, Housing, Industrial/Manufacturing, Information Technology Services/Communications, Infrastructure, Municipal/Government, Office, Recreation, Research & Development, Tourism/Travel, Transportation, Water/Wastewater/Sewer, Waterfront Revitalization, Workforce Development, Business Development, Technology Commercialization

### Q\_4198

Please select the secondary sector or characterization that best defines this project.

- Question Type: Basic
- Required: Yes
- Answer Type: Single Choice Dropdown
- Choice Options: Agriculture, Arts/Culture/Cultural Institutions, Biomedical/Medical, Community Development, Education/College/University, Energy, Environment, Financial Services, Food/Beverage, Healthcare, Historic Preservation, Hospitality, Housing, Industrial/Manufacturing, Information Technology Services/Communications, Infrastructure, Municipal/Government, Office, Research & Development, Tourism/Travel, Transportation, Water/Wastewater/Sewer, Waterfront Revitalization, Workforce Development, Business Development, Technology Commercialization

### Q\_575

Project Description. Concisely describe the project, indicating the location, what will be planned, designed, acquired, and/or constructed, the issues/opportunities to be addressed, and expected outcomes and deliverables. Additional details will be collected later in the application process.

- Question Header: Project Description
- Question Type: Basic
- Required: Yes
- Answer Type: Long Rich Text (HTML)
- Characters: 1 - 1200
- Question Requirements:

Please include details relevant to all programs on this application. Programs on this application are: {{program\_list}}

### Q\_580

Provide a list of all federal, state, and local reviews, approvals, or permits needed or completed, including the dates when they are expected to be completed or were completed. If Not Applicable, indicate "NA".

- Question Type: Basic
- Required: Yes
- Answer Type: Long Answer
- Characters: 1 - 400

### Q\_12606

Does this project require State and/or Federal Environmental Review?

- Question Type: Basic
- Required: Yes
- Answer Type: Yes/No
- This is a conditional question.
  1. If Yes is selected then these questions will be displayed:
    - [Q\\_2364](#)
    - [Q\\_12607](#)

### Q\_2364

What is the status of State and/or Federal Environmental Review?

- Question Type: Basic
- Required: No
- Answer Type: Short Answer
- This is a conditional question based on the answer to [Q\\_12606](#). This question displays when selecting the answer: "Yes"

### Q\_12607

Please indicate the lead agency (if applicable).

- Question Type: Basic
- Required: No
- Answer Type: Short Answer
- This is a conditional question based on the answer to [Q\\_12606](#). This question displays when selecting the answer: "Yes"

### Q\_12604

Has a National Environmental Policy Act (NEPA) Record of Decision been issued?

- Question Type: Basic
- Required: Yes
- Answer Type: Yes/No
- This is a conditional question.
  1. If Yes is selected then [Q\\_1054](#) will be displayed

### Q\_1054

Please explain decision and include date of Record of Decision.

- Question Type: Basic
- Required: No
- Answer Type: Long Rich Text (HTML)
- Characters: 0 - 850
- This is a conditional question based on the answer to [Q\\_12604](#). This question displays when selecting the answer: "Yes"

### Q\_6062

Indicate how many existing full-time equivalent jobs the applicant employs in all NYS LOCATIONS.

- Question Header: Job Creation and Retention Questions: The following questions are for committed, direct jobs only. Note that if awarded funding, Applicant must document existing and new jobs via submission of NY-45 forms. Questions about other jobs, such as Indirect Jobs and Construction Jobs, follow this section.
- Question Type: Jobs
- Required: Yes
- Answer Type: Integer
- Question Requirements:

A Full-time equivalent job equals any combination of two or more part-time jobs that, when combined together, constitute the equivalent of a job of at least 35 hours per week.

### Q\_2199

Indicate the average annual wage for existing full-time equivalent employees the applicant employs in all NYS Locations as of the date this application is finalized.

- Question Type: Jobs
- Required: Yes
- Answer Type: Money

**Q\_2195**

Indicate how many existing full-time equivalent jobs the applicant employs in all NYS LOCATIONS.

- Question Type: Jobs
- Required: Yes
- Answer Type: Integer
- Question Requirements:

A Full-time equivalent job equals any combination of two or more part-time jobs that, when combined together, constitute the equivalent of a job of at least 35 hours per week. NOTE: Your base employment for Excelsior is calculated using the prior 4 quarters from when you are accepted into the program.

**Q\_1365**

Indicate how many of the total existing full-time equivalent employees in New York State are contract employees.

- Question Type: Jobs
- Required: Yes
- Answer Type: Integer
- Question Requirements:

A Full-time Contract Employee is a full-time private sector employee (or self-employed person) who is not on the Recipient's payroll but who works exclusively for the Recipient at the project location for a minimum of 35 hours per week for not less than four consecutive weeks, providing services that would otherwise be provided by a Full-time Permanent Employee. The position held by a Full-time Contract Employee must be a year-round position.

**Q\_2196**

Indicate how many existing full-time equivalent jobs the applicant employs at all PROJECT LOCATION(S).

- Question Type: Jobs
- Required: Yes
- Answer Type: Integer
- Question Requirements:

NOTE: A full-time equivalent job equals any combination of two or more part-time jobs that, when combined together, constitute the equivalent of a job of at least 35 hours per week. Please note if any of these positions are contract employees and if so, how many of the total are contract employees.

**Q\_1186**

Indicate the average annual wage for the employees at the Project Location as of the date this application is finalized.

- Question Type: Jobs
- Required: Yes
- Answer Type: Money

**Q\_1196**

How many of the existing jobs at the project location(s) are at risk if the project does not go forward.

- Question Type: Jobs
- Required: Yes
- Answer Type: Integer
- Question Requirements:

"At Risk" shall mean a permanent Full-time employee position currently located in New York State that is found by ESD to be at risk of being lost or moved out of state based on compelling information provided by the applicant.

**Q\_2175**

What percentage of the project's employees are/will be residents of New York State?

- Question Type: Jobs
- Required: Yes
- Answer Type: Integer

**Q\_1366**

Indicate how many of the total existing full-time equivalent employees at the Project Location are contract employees.

- Question Type: Jobs
- Required: Yes
- Answer Type: Integer
- Question Requirements:

A Full-time Contract Employee is a full-time private sector employee (or self-employed person) who is not on the Recipient's payroll but who works exclusively for the Recipient at the project location for a minimum of 35 hours per week for not less than four consecutive weeks, providing services that would otherwise be provided by a Full-time Permanent Employee. The position held by a Full-time Contract Employee must be a year-round position.

**Q\_2372**

Will the proposed project result in the creation of construction jobs? If so, estimate the number of construction jobs to be created.

Enter zero if not applicable.

- Question Type: Jobs
- Required: Yes
- Answer Type: Integer

**Q\_2178**

Will any other non-construction jobs result from the project? If so, please estimate how many jobs, explain how these jobs will be created, and explain the method used to determine the job number estimate.

For example: This could include jobs created by tenants at an incubator or mixed-use development or as a result of the creation of a job training center.

- Question Type: Jobs
- Required: Yes
- Answer Type: Long Answer
- Characters: 0 - 1000

**Q\_3064**

Net New Job Information (Enter Cumulatively) 1. Enter the type of job by general category.

2. Enter the gross annual wages for each job type.

3. List the number of net new jobs. Please enter CUMULATIVE job totals, i.e. if there are 10 jobs being created each year for 5 years, enter as 10, 20, 30, 40, 50.



Please note that if you are completing the URI Phase 1 - Intent to Propose, you are not required to complete the table below at this time as this information will be available to enter in during phase 2 of the application.

- Question Type: Jobs
- Required: No
- Answer Type: Short Answer

### Q\_3065

Net New Job Information

- Question Type: Jobs
- Required: No
- Answer Type: Money

### Q\_3066

Net New Job Information

- Question Type: Jobs
- Required: No
- Answer Type: Integer

### Q\_3067

Net New Job Information

- Question Type: Jobs
- Required: No
- Answer Type: Integer

### Q\_3068

Net New Job Information

- Question Type: Jobs
- Required: No
- Answer Type: Integer

### Q\_3069

Net New Job Information

- Question Type: Jobs
- Required: No
- Answer Type: Integer

### Q\_3070

Net New Job Information

- Question Type: Jobs
- Required: No
- Answer Type: Integer

### Q\_3071

Net New Job Information Job Type/Category

- Question Type: Jobs
- Required: No
- Answer Type: Short Answer

### Q\_3072

Net New Job Information 2 Avg Gross Wages/Job Type

- Question Type: Jobs
- Required: No
- Answer Type: Money

### Q\_3073

Net New Job Information 2 Year 1 -

- Question Type: Jobs
- Required: No
- Answer Type: Integer

### Q\_3074

Net New Job Information 2 Year 2 -

- Question Type: Jobs
- Required: No
- Answer Type: Integer

### Q\_3075

Net New Job Information 2 Year 3 -

- Question Type: Jobs
- Required: No
- Answer Type: Integer

### Q\_3076

Net New Job Information 2 Year 4 -

- Question Type: Jobs
- Required: No
- Answer Type: Integer

**Q\_3077**

Net New Job Information 2 Year 5 -

- Question Type: Jobs
- Required: No
- Answer Type: Integer

**Q\_3078**

Net New Job Information (Enter Cumulatively) Job Type/Category

- Question Type: Jobs
- Required: No
- Answer Type: Short Answer

**Q\_3079**

Net New Job Information (Enter Cumulatively) 3 Avg Gross Wages/Job Type

- Question Type: Jobs
- Required: No
- Answer Type: Money

**Q\_3080**

Net New Job Information (Enter Cumulatively) 3 Year 1 -

- Question Type: Jobs
- Required: No
- Answer Type: Integer

**Q\_3081**

Net New Job Information (Enter Cumulatively) 3 Year 2 -

- Question Type: Jobs
- Required: No
- Answer Type: Integer

**Q\_3082**

Net New Job Information (Enter Cumulatively) 3 Year 3 -

- Question Type: Jobs
- Required: No
- Answer Type: Integer

**Q\_3083**

Net New Job Information (Enter Cumulatively) 3 Year 4 -

- Question Type: Jobs
- Required: No
- Answer Type: Integer

**Q\_3084**

Net New Job Information (Enter Cumulatively) 3 Year 5 -

- Question Type: Jobs
- Required: No
- Answer Type: Integer

**Q\_3086**

Net New Job Information (Enter Cumulatively) Job Type/Category

- Question Type: Jobs
- Required: No
- Answer Type: Short Answer

**Q\_3089**

Net New Job Information (Enter Cumulatively) 4 Avg Gross Wages/Job Type

- Question Type: Jobs
- Required: No
- Answer Type: Money

**Q\_3090**

Net New Job Information (Enter Cumulatively) 4 Year 1 -

- Question Type: Jobs
- Required: No
- Answer Type: Integer

**Q\_3091**

Net New Job Information (Enter Cumulatively) 4 Year 2 -

- Question Type: Jobs
- Required: No
- Answer Type: Integer

**Q\_3092**

Net New Job Information (Enter Cumulatively) 4 Year 3 -

- Question Type: Jobs
- Required: No
- Answer Type: Integer

**Q\_3093**

Net New Job Information (Enter Cumulatively) 4 Year 4 -

- Question Type: Jobs
- Required: No
- Answer Type: Integer

**Q\_3094**

Net New Job Information (Enter Cumulatively) 4 Year 5 -

- Question Type: Jobs
- Required: No
- Answer Type: Integer

**Q\_3095**

Net New Job Information (Enter Cumulatively) Job Type/Category

- Question Type: Jobs
- Required: No
- Answer Type: Short Answer

**Q\_3096**

Net New Job Information (Enter Cumulatively) 5 Avg Gross Wages/Job Type

- Question Type: Jobs
- Required: No
- Answer Type: Money

**Q\_3097**

Net New Job Information (Enter Cumulatively) 5 Year 1 -

- Question Type: Jobs
- Required: No
- Answer Type: Integer

**Q\_3098**

Net New Job Information (Enter Cumulatively) 5 Year 2 -

- Question Type: Jobs
- Required: No
- Answer Type: Integer

**Q\_3099**

Net New Job Information (Enter Cumulatively) 5 Year 3 -

- Question Type: Jobs
- Required: No
- Answer Type: Integer

**Q\_3100**

Net New Job Information (Enter Cumulatively) 5 Year 4 -

- Question Type: Jobs
- Required: No
- Answer Type: Integer

**Q\_3101**

Net New Job Information (Enter Cumulatively) 5 Year 5 -

• Question Header: In the Table below, provide a breakdown by general category/job type to indicate how many new full-time equivalent employees the company will create cumulatively by year (using the definition in the question above). The total in the bottom right-hand corner needs to equal the number in the subsequent question that follows this table.

- 1) Enter the type of job by general category.
- 2) Enter the average gross annual wages for each job type.
- 3) Enter CUMULATIVE job totals in the table below. Cumulative job totals mean that each subsequent year in the chart includes jobs created in all prior years. The job number in the bottom right-hand corner on the chart is the full number of jobs created over all five years and needs to equal the number in the subsequent question that follows this table.  
i.e. if there are 10 jobs being created each year for 5 years in one category, enter 10 for year 1; 20 for year 2; 30 for year 3; 40 for year 4; 50 for year 5.

If awarded, your company or entity will be held to the job creation commitments as outlined in the table below and question above. For grants, should you fail to meet the job creation commitments, recapture provisions may apply. For Excelsior, each years job commitment is evaluated separately to determine if tax credits can be issued.

- Question Type: Jobs
- Required: No
- Answer Type: Integer

**Q\_7506**

Indicate how many new full-time equivalent employees (using the definitions at the top of the page) the company will create over 5 years. Please ensure this number is the same as the cumulative total number of jobs you entered for year 5 from the Net New Jobs table above this.

If awarded, your company or entity will be held to the job creation commitments as outlined in this question and table below. For grants, should you fail to meet the job creation commitments, recapture provisions may apply. For Excelsior, each years job commitment is evaluated separately to determine if tax credits can be issued.

- Question Type: Jobs
- Required: Yes
- Answer Type: Integer

### Q\_4167

Indicate the year employment begins under Year 1 for your project for the Net New Job table above.

- Question Type: Jobs
- Required: Yes
- Answer Type: Integer
- Question Requirements:

Please reference job definitions at the top of this page.

The base employment for Excelsior is calculated using the prior 4 quarters from when you are accepted into the program.

### Q\_15023

Using the appropriate budget template for either operating or capital grants, please attach an estimated budget with an outline of expenses.

- Question Type: Attachment
- Required: Yes
- Answer Type: Attachment

### Q\_15217

Attach interim financials for 2024

For privately owned companies, IDAs, not-for-profits, educational institutions, start-ups, municipalities, or any business or organization other than publicly traded companies, please provide interim financials for 2024, certified by a company officer. For publicly traded companies, please provide the most recent Form 10-Q.

- Question Type: Attachment
- Required: Yes
- Answer Type: Attachment
- Question Requirements:

Financial statements should be audited or reviewed. If the statements are only compiled, they must be accompanied by copies of signed Federal tax returns (3 yrs). Financial statements should be provided by the parent company or any individual owning 50% or greater of the Applicant) unless the Applicant prepares separate audited or reviewed financial statements or files separate tax returns from the parent.

For information about this requirement, contact your local ESD Regional Office. <https://esd.ny.gov/regions>

If you are applying to the ONRAMP program, contact [workforce@esd.ny.gov](mailto:workforce@esd.ny.gov).

### Q\_15218

Attach 2023 financial documents

For privately owned companies, IDAs, not-for-profits, educational institutions, start-ups, municipalities, or any business or organization other than publicly traded companies, please provide audited or reviewed financials, or compiled financials with signed tax returns, for 2023. For publicly traded companies, please provide Form 10-K for 2023.

- Question Type: Attachment
- Required: Yes
- Answer Type: Attachment
- Question Requirements:

Financial statements should be audited or reviewed. If the statements are only compiled, they must be accompanied by copies of signed Federal tax returns (3 yrs). Financial statements should be provided by the parent company or any individual owning 50% or greater of the Applicant) unless the Applicant prepares separate audited or reviewed financial statements or files separate tax returns from the parent.

For information about this requirement, contact your local ESD Regional Office. <https://esd.ny.gov/regions>

If you are applying to the ONRAMP program, contact [workforce@esd.ny.gov](mailto:workforce@esd.ny.gov).

### Q\_13592

Attach 2022 financial documents

For privately owned companies, IDAs, not-for-profits, educational institutions, start-ups, municipalities, or any business or organization other than publicly traded companies, please provide audited or reviewed financials, or compiled financials with signed tax returns, for 2022. For publicly traded companies, please provide Form 10-K for 2022.

- Question Type: Attachment
- Required: Yes
- Answer Type: Attachment
- Question Requirements:

Financial statements should be audited or reviewed. If the statements are only compiled, they must be accompanied by copies of signed Federal tax returns (3 yrs). Financial statements should be provided by the parent company or any individual owning 50% or greater of the Applicant) unless the Applicant prepares separate audited or reviewed financial statements or files separate tax returns from the parent.

For information about this requirement, contact your local ESD Regional Office. <https://esd.ny.gov/regions>

If you are applying to the ONRAMP program, contact [workforce@esd.ny.gov](mailto:workforce@esd.ny.gov).

### Q\_2165

For Start-up companies, in addition to the documents listed above provide: 1) Financial projections (5 years) 2) Personal financial statements from a personal guarantor(s) of the start-up company 3) Principal resumes

- Question Type: Attachment
- Required: No
- Answer Type: Attachment
- Question Requirements:

For information about this requirement, contact your local ESD Regional Office. <https://esd.ny.gov/regions>

### Q\_6946

Please provide Letters of Support for your project (if applicable). All letters should be scanned into a single PDF file and their total size cannot exceed 30 Megabytes (MB).

- Question Type: Attachment
- Required: No
- Answer Type: Attachment

### Q\_2331

Attach an organizational chart and/or description of ownership structure including the percentage of ownership for each individual entity.

- Question Type: Attachment
- Required: Yes
- Answer Type: Attachment
- Question Requirements:

For privately owned companies, IDAs, not-for-profits, educational institutions, start-ups, municipalities, or any business or organization other than publicly traded companies, please provide an organizational chart and/or description of ownership structure, including the percentage of ownership for each individual/entity. If the company is a parent, subsidiary and/or affiliate of another company, please provide a description of the relationship. Additional financial information may be requested

**Q\_5689**

Attach a short or long Environmental Assessment Form

Project review pursuant to the State Environmental Quality Review Act (SEQRA) must be completed prior to the award of any state funds. For projects classified as Type I or Unlisted actions, submit a short or long Environmental Assessment Form. See "Click Here fo Question Requirements" for links to forms.

- Question Type: Attachment
- Required: No
- Answer Type: Attachment
- Question Requirements:

Information and forms can be found at <http://www.dec.ny.gov/permits/357.html> and <http://www.dec.ny.gov/permits/6191.html>. If you are a not-for-profit, please complete and attach the following form: <https://parks.ny.gov/documents/grants/EnvironmentalReviewFormNotforProfits2021.pdf>

**Q\_2333**

If review of the project has been completed pursuant to State Environmental Quality Review Act (SEQRA) or National Environmental Policy Act (NEPA), please submit the Negative Declaration or Findings Statement, or Finding of No Significant Impact or Record of Decision.

- Question Type: Attachment
- Required: No
- Answer Type: Attachment

**Q\_2334**

Provide a list of all other federal, state, and local reviews, approvals, or permits needed or completed, including the dates when they are expected to be completed or were completed. If Not Applicable, please upload an attachment that indicates "NA".

- Question Type: Attachment
- Required: Yes
- Answer Type: Attachment

**Q\_16849**

Is this project application seeking funding for capital expenses?

- Question Type: Standard Question
- Required: Yes
- Answer Type: Yes/No

**Q\_16501**

Are you applying for at least \$100,000?

- Question Type: Standard Question
- Required: Yes
- Answer Type: Yes/No

**Q\_15021**

Does the proposed project budget include a 10% cash equity contribution from the Applicant?

- Question Type: Standard Question
- Required: Yes
- Answer Type: Yes/No

**Q\_15020**

By answering YES to this question, you are acknowledging that your application will be shared by Empire State Development and Micron and may also be viewed by other third parties.

- Question Type: Standard Question
- Required: Yes
- Answer Type: Yes/No

**Q\_16505**

Is a third party being used to complete this application such as consultant, accountant or attorney?

- Question Type: Standard Question
- Required: Yes
- Answer Type: Yes/No
- This is a conditional question.
  - If Yes is selected then Q\_16506 will be displayed

**Q\_16506**

Provide the third-party name and contact info.

- Question Type: Standard Question
- Required: Yes
- Answer Type: Long Answer
- Characters: 0 - 100
- This is a conditional question based on the answer to Q\_16505. This question displays when selecting the answer: "Yes"

**Q\_16507**

Select all topics addressed by this project.

- Question Type: Standard Question
- Required: Yes
- Answer Type: Multi Choice
- Choice Options: Workforce, Education, Community Investment, Housing

**Q\_16508**

Briefly explain how your proposal addresses the selected topics.

- Question Type: Standard Question
- Required: Yes
- Answer Type: Long Answer
- Characters: 0 - 1000

### Q\_15025

How does your project align with the Immediate Priorities identified in the most recently published version of the Community Priorities Document?

- Question Type: Standard Question
- Required: Yes
- Answer Type: Long Answer
- Characters: 1 - 1500

### Q\_15027

How does your project align with the Community Principles and Core Considerations detailed in the most recently published version of the Community Priorities Document?

- Question Type: Standard Question
- Required: Yes
- Answer Type: Long Answer
- Characters: 1 - 1000

### Q\_16502

Please describe the readiness of this project and identify when grant funding would need to be made available. Include an estimated project timeline containing project start/completion dates, estimates for design, permitting and construction or other major steps.

- Question Type: Standard Question
- Required: Yes
- Answer Type: Long Answer
- Characters: 1 - 1000

### Q\_15024

Describe the specific outcomes and goals of the project and how you will measure progress made towards these goals. How will the project have an impact on the population or community it will serve?

- Question Type: Standard Question
- Required: Yes
- Answer Type: Long Answer
- Characters: 1 - 1000

### Q\_15026

Describe how this project benefits diverse or disadvantaged populations. Please specify which populations this project will serve.

- Question Type: Standard Question
- Required: Yes
- Answer Type: Long Answer
- Characters: 1 - 1000

### Q\_1233

What tasks and steps need to be completed before the project can begin (e.g. obtaining permits, licenses, hiring staff, etc.)

- Question Type: Standard Question
- Required: Yes
- Answer Type: Long Answer
- Characters: any

### Q\_5590

Please provide a concise narrative describing the applicant's history and current operations. Include information about company/organization size, products, services, market share, position within the industry, competitors and the year in which the company was formed, etc.

- Question Type: Standard Question
- Required: Yes
- Answer Type: Long Answer
- Characters: 1 - 1000

### Q\_1339

Applicant website

- Question Type: Standard Question
- Required: No
- Answer Type: URL

### Q\_16503

What is the organization's experience with projects of similar size and scale to the one proposed in this application? Please give specific examples of results and successes that demonstrate the organization's established track record.

- Question Type: Standard Question
- Required: Yes
- Answer Type: Long Answer
- Characters: 0 - 1000

### Q\_1409

What is the first project year? (e.g. the year equipment will be ordered or when first expenditures are expected to be made)

- Question Type: Standard Question
- Required: Yes
- Answer Type: Short Answer

### Q\_12654

Has the applicant applied for and/or been awarded funding from other New York State or local entities for this project?

- Question Type: Standard Question
- Required: Yes
- Answer Type: Yes/No
- This is a conditional question.
  1. If Yes is selected then these questions will be displayed:
    - [Q\\_12655](#)
    - [Q\\_13264](#)

### Q\_12655

Please detail the funding applied to for this project and the entity administering the funding. Do not include funding that has been awarded.

- Question Type: Standard Question
- Required: Yes
- Answer Type: Long Answer
- Characters: 1 - 850
- This is a conditional question based on the answer to [Q\\_12654](#). This question displays when selecting the answer: "Yes"

### Q\_13264

Please detail the funding awarded to this project and the entity administering the funding.

- Question Type: Standard Question
- Required: Yes
- Answer Type: Long Answer
- Characters: 0 - 850
- This is a conditional question based on the answer to [Q\\_12654](#). This question displays when selecting the answer: "Yes"

### Q\_13265

Has the applicant applied to and/or been awarded funding from a local IDA?

- Question Type: Standard Question
- Required: Yes
- Answer Type: Yes/No
- This is a conditional question.
  1. If Yes is selected then these questions will be displayed:
    - [Q\\_13266](#)
    - [Q\\_13267](#)

### Q\_13266

Please detail the funding applied for this project and the entity administering the funding. Do not include funding that has been awarded.

- Question Type: Standard Question
- Required: Yes
- Answer Type: Long Answer
- Characters: 0 - 850
- This is a conditional question based on the answer to [Q\\_13265](#). This question displays when selecting the answer: "Yes"

### Q\_13267

Please detail the funding awarded to this project and the entity administering the funding.

- Question Type: Standard Question
- Required: Yes
- Answer Type: Long Answer
- Characters: 0 - 850
- This is a conditional question based on the answer to [Q\\_13265](#). This question displays when selecting the answer: "Yes"

### Q\_4318

Provide any other information in support of the project or pertinent to the request.

- Question Type: Standard Question
- Required: No
- Answer Type: Long Answer
- Characters: 0 - 300

### Q\_3006

Qualified Investments

- Question Type: Standard Question
- Required: Yes
- Answer Type: Money
- Default Answer: 0
- Question Requirements:

Excelsior Jobs Program: Qualified investments are tangible personal property, including a building or structural component of a building, owned by the applicant that is depreciable per IRC Section 164, has a useful life of 4 years or more, is acquired by purchase as defined in IRC Section 179(d), is located in NYS, and is placed in service on or after the date certified eligible for the Excelsior Jobs Program.

### Q\_3007

Qualified Investments Year 1

- Question Type: Standard Question
- Required: Yes
- Answer Type: Money
- Default Answer: 0

### Q\_3008

Qualified Investments Year 1

- Question Type: Standard Question
- Required: Yes
- Answer Type: Money
- Default Answer: 0

### Q\_3009

Qualified Investments Year 1

- Question Type: Standard Question
- Required: Yes
- Answer Type: Money
- Default Answer: 0

### Q\_3010

Qualified Investments Year 1

- Question Type: Standard Question
- Required: Yes

- Answer Type: Money
- Default Answer: 0

### Q\_3012

Qualified Investments Year 1

- Question Type: Standard Question
- Required: Yes
- Answer Type: Money
- Default Answer: 0
- Question Requirements:

\* Eligible investment for ESD Grant Funds; generally not eligible investment for Excelsior Jobs Program.

### Q\_3014

Qualified Investments Year 1

- Question Type: Standard Question
- Required: Yes
- Answer Type: Money
- Default Answer: 0

### Q\_3015

Qualified Investments Year 1

- Question Type: Standard Question
- Required: Yes
- Answer Type: Money
- Default Answer: 0

### Q\_3017

Qualified Investments Building Acquisition

- Question Type: Standard Question
- Required: Yes
- Answer Type: Money
- Default Answer: 0

### Q\_3018

Qualified Investments Building Renovation Year 2

- Question Type: Standard Question
- Required: Yes
- Answer Type: Money
- Default Answer: 0

### Q\_3019

Qualified Investments New Construction Year 2

- Question Type: Standard Question
- Required: Yes
- Answer Type: Money
- Default Answer: 0

### Q\_3020

Qualified Investments Production Machinery Year 2

- Question Type: Standard Question
- Required: Yes
- Answer Type: Money
- Default Answer: 0

### Q\_3022

Qualified Investments Furniture, fixtures Year 2

- Question Type: Standard Question
- Required: Yes
- Answer Type: Money
- Default Answer: 0

### Q\_3024

Qualified Investments Land Acquisition \* Year 2

- Question Type: Standard Question
- Required: Yes
- Answer Type: Money
- Default Answer: 0

### Q\_3027

Qualified Investments Demolition Year 2

- Question Type: Standard Question
- Required: Yes
- Answer Type: Money
- Default Answer: 0

### Q\_3028

Qualified Investments Year 2

- Question Type: Standard Question
- Required: Yes
- Answer Type: Money
- Default Answer: 0



**Q\_3030**

Qualified Investments Building Acquisition

- Question Type: Standard Question
- Required: Yes
- Answer Type: Money
- Default Answer: 0

**Q\_3031**

Qualified Investments Building Renovation Year 3

- Question Type: Standard Question
- Required: Yes
- Answer Type: Money
- Default Answer: 0

**Q\_3032**

Qualified Investments New Construction Year 3

- Question Type: Standard Question
- Required: Yes
- Answer Type: Money
- Default Answer: 0

**Q\_3033**

Qualified Investments Production Machinery Year 3

- Question Type: Standard Question
- Required: Yes
- Answer Type: Money
- Default Answer: 0

**Q\_3034**

Qualified Investments Furniture, fixtures Year 3

- Question Type: Standard Question
- Required: Yes
- Answer Type: Money
- Default Answer: 0

**Q\_3036**

Qualified Investments Land Acquisition \* Year 3

- Question Type: Standard Question
- Required: Yes
- Answer Type: Money
- Default Answer: 0

**Q\_3039**

Qualified Investments Demolition Year 3

- Question Type: Standard Question
- Required: Yes
- Answer Type: Money
- Default Answer: 0

**Q\_3040**

Qualified Investments Year 3

- Question Type: Standard Question
- Required: Yes
- Answer Type: Money
- Default Answer: 0

**Q\_3042**

Qualified Investments Building Acquisition

- Question Type: Standard Question
- Required: Yes
- Answer Type: Money
- Default Answer: 0

**Q\_3043**

Qualified Investments Building Renovation Year 4

- Question Type: Standard Question
- Required: Yes
- Answer Type: Money
- Default Answer: 0

**Q\_3044**

Qualified Investments New Construction Year 4

- Question Type: Standard Question
- Required: Yes
- Answer Type: Money
- Default Answer: 0

**Q\_3045**

Qualified Investments Production Machinery Year 4

- Question Type: Standard Question
- Required: Yes
- Answer Type: Money
- Default Answer: 0

**Q\_3046**

Qualified Investments Furniture, fixtures Year 4

- Question Type: Standard Question
- Required: Yes
- Answer Type: Money
- Default Answer: 0

**Q\_3048**

Qualified Investments Land Acquisition \* Year 4

- Question Type: Standard Question
- Required: Yes
- Answer Type: Money
- Default Answer: 0

**Q\_3050**

Qualified Investments Demolition Year 4

- Question Type: Standard Question
- Required: Yes
- Answer Type: Money
- Default Answer: 0

**Q\_3051**

Qualified Investments Year 4

- Question Type: Standard Question
- Required: Yes
- Answer Type: Money
- Default Answer: 0

**Q\_3053**

Qualified Investments Building Acquisition

- Question Type: Standard Question
- Required: Yes
- Answer Type: Money
- Default Answer: 0

**Q\_3054**

Qualified Investments Building Renovation Year 5

- Question Type: Standard Question
- Required: Yes
- Answer Type: Money
- Default Answer: 0

**Q\_3055**

Qualified Investments New Construction Year 5

- Question Type: Standard Question
- Required: Yes
- Answer Type: Money
- Default Answer: 0

**Q\_3056**

Qualified Investments Production Machinery Year 5

- Question Type: Standard Question
- Required: Yes
- Answer Type: Money
- Default Answer: 0

**Q\_3057**

Qualified Investments Furniture, fixtures Year 5

- Question Type: Standard Question
- Required: Yes
- Answer Type: Money
- Default Answer: 0

**Q\_3059**

Qualified Investments Land Acquisition \* Year 5

- Question Type: Standard Question
- Required: Yes
- Answer Type: Money
- Default Answer: 0

**Q\_3061**

Qualified Investments Demolition Year 5

- Question Type: Standard Question

- Required: Yes
- Answer Type: Money
- Default Answer: 0

### Q\_3062

Qualified Investments Year 5

- Question Header: Project Costs by Year: Please enter the annual anticipated Project Costs based on the year that the investment will be made. These Project Costs should match the information in the Program Budget section.
- Question Type: Standard Question
- Required: Yes
- Answer Type: Money
- Default Answer: 0

### Q\_5719

Does the project site involve or is it substantially contiguous to a property listed or recommended for listing in the NY State or National Registers of Historic Places? Consult the [National Register Information System](#) to find out if a property is listed. Or use the [Cultural Resource Information System \(CRIS\) tool](#). See question requirements for more information on SHPO consultation.

- Question Header: Environmental and Historic Preservation Questions
- Question Type: Standard Question
- Required: Yes
- Answer Type: Single Choice Radio Button
- Choice Options: Yes, No, N/A
- This is a conditional question.
  1. If Yes is selected then Q\_5721 will be displayed
- Question Requirements:

If "yes", the project requires consultation with the [State Historic Preservation Office \(SHPO\)](#).

### Q\_5721

Please identify the name of the resource, explain the status of the SHPO consultation, and if consultation is complete, please provide a link to SHPO's Letter of Determination of No Adverse Effect or Letter of Resolution to Mitigate Adverse Effect.

- Question Header: Environmental and Historic Preservation Questions
- Question Type: Standard Question
- Required: Yes
- Answer Type: Long Answer
- Characters: 1 - 600
- This is a conditional question based on the answer to Q\_5719. This question displays when selecting the answer: "Yes"

### Q\_5720

Is the project site wholly or partially included within an identified archeologically sensitive area? Consult the [National Register Information System](#) to find out if a property is listed. Or use the [Cultural Resource Information System \(CRIS\) tool](#) to identify archeologically sensitive areas. See question requirements for more information on SHPO consultation.

- Question Header: Environmental and Historic Preservation Questions
- Question Type: Standard Question
- Required: Yes
- Answer Type: Single Choice Radio Button
- Choice Options: Yes, No, N/A
- This is a conditional question.
  1. If Yes is selected then Q\_5722 will be displayed
- Question Requirements:

If "yes", the project requires consultation with the [State Historic Preservation Office \(SHPO\)](#).

### Q\_5722

Please list the geographic information for the archeologically sensitive areas, explain the status of SHPO consultation, and if consultation is complete, please provide a link to SHPO's Letter of Determination of No Adverse Effect or Letter of Resolution to Mitigate Adverse Effect.

- Question Header: Environmental and Historic Preservation Questions
- Question Type: Standard Question
- Required: Yes
- Answer Type: Long Answer
- Characters: 1 - 600
- This is a conditional question based on the answer to Q\_5720. This question displays when selecting the answer: "Yes"

### Q\_13611

The NYS Smart Growth Public Infrastructure Policy Act requires that a public infrastructure project meet the relevant smart growth criterion to the extent practicable. Public Infrastructure projects consist of construction or reconstruction of transportation, sewer, wastewater treatment, water, education, housing and other publicly supported infrastructure. Does the proposed project build new public infrastructure, expand public infrastructure or use, maintain, or improve existing public infrastructure?

If you are maintaining or improving existing public infrastructure, please answer "YES". If you are building new public infrastructure or expanding public infrastructure, please answer "YES".

If this this is not applicable to your project, answer "NO".

- Question Header: Smart Growth Questions
- Question Type: Smart Growth
- Required: Yes
- Answer Type: Yes/No
- This is a conditional question.
  1. If Yes is selected then these questions will be displayed:
    - [Q\\_13612](#)
    - [Q\\_13613](#)
    - [Q\\_13614](#)
    - [Q\\_13615](#)
    - [Q\\_13616](#)
    - [Q\\_13617](#)
    - [Q\\_13618](#)
    - [Q\\_13619](#)
    - [Q\\_13620](#)
    - [Q\\_13621](#)
    - [Q\\_13622](#)
    - [Q\\_13623](#)

### Q\_13613

Please explain the need to build new infrastructure instead of using or improving existing infrastructure. If n/a, please type N/A.

- Question Header: Smart Growth Questions
- Question Type: Smart Growth
- Required: Yes
- Answer Type: Long Answer
- Characters: 0 - 1000

- This is a conditional question based on the answer to [Q\\_13611](#). This question displays when selecting the answer: "Yes"

### Q\_13612

Explain how the proposed project will use, maintain, or improve existing infrastructure.

- Question Header: Smart Growth Questions
- Question Type: Smart Growth
- Required: Yes
- Answer Type: Short Answer
- This is a conditional question based on the answer to [Q\\_13611](#). This question displays when selecting the answer: "Yes"

### Q\_13614

Please explain how the proposed project is located in a municipal center.

- Question Header: Smart Growth Questions
- Question Type: Smart Growth
- Required: Yes
- Answer Type: Short Answer
- This is a conditional question based on the answer to [Q\\_13611](#). This question displays when selecting the answer: "Yes"
- Question Requirements:

Municipal Centers are areas of concentrated and mixed land use that serve as centers of various activities (civic, commercial, recreational, and residential, among others). Specific examples include Central Business Districts; Brownfield Opportunity Areas (BOAs); Downtowns in Local Waterfront Revitalization Program (LWRP) Areas; Transit-Oriented Development, Environmental Justice Areas and Hardship Areas; in many instances, an entire city, village or hamlet can be considered a municipal center. This definition can include development "adjacent to municipal centers" and a "future municipal center" – an area planned and zoned to be a municipal center.

For specific guidance on rail/port, aviation, and other transportation projects please refer to [Smart Growth Public Infrastructure Policy Act](#)

### Q\_13615

Please explain how the proposed project is located in a developed area or an area designated for concentrated infill development in a municipally approved comprehensive land use plan, local waterfront revitalization plan and/or brownfield opportunity area plan. Specifically, explain how your project advances infill development or redevelopment in existing developed areas consistent with an approved plan. Infill development includes redevelopment, rehabilitation and new development between existing buildings on vacant or under-utilized sites.

- Question Header: Smart Growth Questions
- Question Type: Smart Growth
- Required: Yes
- Answer Type: Short Answer
- This is a conditional question based on the answer to [Q\\_13611](#). This question displays when selecting the answer: "Yes"

### Q\_13616

Please explain how the proposed project will protect, preserve and enhance the State's resources, including agricultural land, forests, surface and groundwater, air quality, recreation and open space, scenic areas, and significant historic and archeological resources. Beyond simply avoiding or minimizing negative environmental impacts, please indicate the resources that may be impacted by your project and how your project will preserve and enhance these resources.

- Question Header: Smart Growth Questions
- Question Type: Smart Growth
- Required: Yes
- Answer Type: Short Answer
- This is a conditional question based on the answer to [Q\\_13611](#). This question displays when selecting the answer: "Yes"

### Q\_13617

Please explain how the proposed project will foster mixed land uses and compact development, downtown revitalization, Brownfield redevelopment, the enhancement of beauty in public spaces, the diversity and affordability of housing in proximity to places of employment, recreation and commercial development and the integration of all income and age groups. Specifically, explain how your project advances these objectives and improves the quality of life in your community.

- Question Header: Smart Growth Questions
- Question Type: Smart Growth
- Required: Yes
- Answer Type: Short Answer
- This is a conditional question based on the answer to [Q\\_13611](#). This question displays when selecting the answer: "Yes"

### Q\_13618

Please explain how the proposed project will provide mobility through transportation choices including improved public transportation and reduced automobile dependency. There are many alternatives to automobile transportation. Please explain how your project provides or complements alternatives to automobile travel such as bikes, pedestrians, public transit, air travel or rail travel.

- Question Header: Smart Growth Questions
- Question Type: Smart Growth
- Required: Yes
- Answer Type: Short Answer
- This is a conditional question based on the answer to [Q\\_13611](#). This question displays when selecting the answer: "Yes"

### Q\_13619

Please explain how the proposed project will involve coordination between state and local government and inter-municipal and regional planning. Identify any interaction between the applicant and any municipal and county governments, planning boards, regional planning associations or similar organizations. Document any outreach by the applicant to these organizations regarding the project and any relevant correspondence.

- Question Header: Smart Growth Questions
- Question Type: Smart Growth
- Required: Yes
- Answer Type: Short Answer
- This is a conditional question based on the answer to [Q\\_13611](#). This question displays when selecting the answer: "Yes"

### Q\_13620

Please explain how the proposed project will involve participation in community-based planning and collaboration. Specifically, explain how the project results from an inclusive, multi-stakeholder (including traditionally underserved populations) process of community-based planning and collaboration. To assist with your explanation, identify any affected community groups or organizations with an interest in the proposed project and if the planning process involved outreach to citizens and stakeholders at all stages of development of the project.

- Question Header: Smart Growth Questions
- Question Type: Smart Growth
- Required: Yes
- Answer Type: Short Answer
- This is a conditional question based on the answer to [Q\\_13611](#). This question displays when selecting the answer: "Yes"

### Q\_13621

Please explain how the proposed project will ensure predictability in building and land use codes. Provide any additional relevant information.

- Question Header: Smart Growth Questions
- Question Type: Smart Growth
- Required: Yes
- Answer Type: Short Answer
- This is a conditional question based on the answer to [Q\\_13611](#). This question displays when selecting the answer: "Yes"

### Q\_13622

Please explain how the proposed project will promote sustainability by strengthening existing and creating new communities which reduce greenhouse gas emissions and do not compromise the needs of future generations, by among other means encouraging broad based public involvement in developing and implementing a community plan and ensuring the governance structure is adequate to sustain its implementation. Specifically, explain how your project promotes sustainability. For example, does your project include buildings and plans that seek to minimize consumption of fossil fuels (coal, petroleum), reduce water usage / consumption, and encourage the use of renewable energy (wind, solar, and geo-thermal).

- Question Header: Smart Growth Questions
- Question Type: Smart Growth
- Required: Yes
- Answer Type: Short Answer
- This is a conditional question based on the answer to [Q\\_13611](#). This question displays when selecting the answer: "Yes"

### Q\_13623

Please explain how the proposed project will mitigate future physical climate risk due to sea-level rise, and/or storm surges and/or flooding, based on available data predicting the likelihood of future extreme weather events, including hazard risk analysis data.

Specifically, explain how your project demonstrates that future physical climate risk due to sea-level rise, storm surge and flooding have been considered. For example, have you demonstrated consideration of the flood risk applicable to your specific structure type? Explain how the siting and design have evaluated flood-risk considerations, including, but not limited to, human health and safety, environmental effects, cost, funding-source requirements, feasibility and community impact.

For information on the State Climate Impacts Assessment visit:  
<https://nysclimateimpacts.org/about-the-assessment/what-is-the-assessment/>

For information on implementation of the Community Risk and Resiliency Act visit:  
<https://dec.ny.gov/environmental-protection/climate-change/new-york-response/crra>

- Question Header: Smart Growth Questions
- Question Type: Smart Growth
- Required: Yes
- Answer Type: Short Answer
- This is a conditional question based on the answer to [Q\\_13611](#). This question displays when selecting the answer: "Yes"
- Question Requirements:

### Q\_7341

By entering your name in the box below, you certify, under penalty of perjury, that the information given herein is true and correct in all respects for the company or organization applying for funding (the "Company"), presently and for the past five years: -the Company is not a party to any litigation or any litigation is not pending or anticipated that could have an adverse material effect on the company's financial condition;

-the Company does not have any contingent liabilities that could have a material effect on its solvency;

-the Company, its affiliates or any member of its management or any other concern with which such members of management have been officers or directors, have never been involved in bankruptcy, creditor's rights, or receivership proceedings or sought protection from creditors;

-the Company is not delinquent on any of its state, federal or local tax obligations;

-No principal, officer of the Company, owner or majority stockholder of any firm or corporation, or member of the management has been charged or convicted of a misdemeanor or felony, indicted, granted immunity, convicted of a crime or subject to a judgment, or the subject of an investigation, whether open or closed, by any government entity for a civil or criminal violation for: (i) any business-related activity including, but not limited to, fraud, coercion, extortion, bribe or bribe receiving, giving or accepting unlawful gratuities, immigration or tax fraud, racketeering, mail fraud, wire fraud, price fixing or collusive bidding; or (ii) any crime, whether or not business related, where the underlying conduct relates to truthfulness, including but not limited to, the filing of false documents or false sworn statements, perjury or larceny;

-the Company or any of the Company's affiliates, principal owners or Officers has not received a violation of State Labor Law deemed "willful";

-the Company or any of its affiliates has never been cited for a violation of State, Federal, or local laws or regulations with respect to labor practices, hazardous wastes, environmental pollution or other operating practices;

-there are not any outstanding judgments or liens pending against the Company other than liens in the normal course of business.

-the Company or any of its affiliates, principal owners or officers the company has not been the subject of any judgments, injunctions, or liens including, but not limited to, judgments based on taxes owed, fines and penalties assessed by any governmental agency, or elected official against the Company.

- the Company or any of its affiliates, principal owners or officers the company has not been investigated by any governmental agency, including, but not limited to, federal, state and local regulatory agencies

-the Company or any of its affiliates, principal owners or officers the company has not been debarred from entering into any government contract; been found non-responsible on any government contract; been declared in default ore terminated for cause on any government contract; been determined to be ineligible to bid or propose on any contract; been suspended from bidding on any government contract; received an overall unsatisfactory performance rating from any government agency on any contract; agree to a voluntary exclusion from bidding or contracting on a government contract.

- the Company or any of its affiliates, principal owners or officers the company has not failed to file any of the required forms with any government entity regulating the Company. By entering your name in the box below, you agree to allow the Department of Taxation to share the Company tax information with ESD. By entering your name in the box below, you agree to allow the Department of Labor to share tax and employer information with ESD. Note: If any of the statements above are not true, in addition to entering your name, also include an explanation in the box below, indicating which issue you are addressing.

- Question Type: Certification
- Required: Yes
- Answer Type: Short Answer

### Q\_1038

By entering your name in the box below, you certify that you are authorized on behalf of the applicant and its governing body to submit this application. You further certify that all of the information contained in this Application and in all statements, data and supporting documents which have been made or furnished for the purpose of receiving assistance for the project described in this application, are true, correct and complete to the best of your knowledge and belief. You acknowledge that offering a written instrument knowing that the written instrument contains a false statement or false information, with the intent to defraud the State or any political subdivision, public authority or public benefit corporation of the State, with the knowledge or belief that it will be filed with or recorded by the State or any political subdivision, public authority or public benefit corporation of the State, constitutes a crime under New York State Law.

- Question Type: Certification
- Required: Yes
- Answer Type: Short Answer

### Q\_2365

By entering your name in the box below, you are acknowledging that ESD's Contractor & Supplier Diversity policy will apply to this project. You are further acknowledging that you are aware of ESD's agency-wide Minority and Women Business Enterprise ("MWBE") utilization goal of 30%. Please note that each project will be assigned an individual contract-specific goal, which may be higher or lower than 30%. Furthermore, you understand that, should this project receive a funding award, the Applicant shall be required to use good faith efforts to achieve the prescribed MWBE goals assigned to this project and failure to attain MWBE goal could result in grant amount being reduced.

- Question Type: Certification
- Required: Yes
- Answer Type: Short Answer

### Q\_4182

By entering your name in the box below, you certify and agree that you are aware that your award will be reduced in proportion to the reduction of jobs and/or total project costs. Furthermore, you understand that, should this project receive a funding award, the Applicant will maintain such records and take such actions necessary to demonstrate such compliance throughout the completion of the project.

- Question Type: Certification
- Required: Yes
- Answer Type: Short Answer