

# Program Questions: ESD Regional Council Capital Funds Request

## Q\_18002

Is the applicant seeking funding opportunities from Empire State Development for a project that will have significant economic impact to the region and/or state?

- Question Type: Threshold
- Required: Yes
- Answer Type: Yes/No

## Q\_928

Project Street Address: Please input the project street address (**Street Number and Street Name only**).

If the project has multiple locations, please input the primary street address of the project. If the project does not have a definite street address, please input the approximate street address of the project (Street Number and Street Name only).

- Question Type: Location
- Required: Yes
- Answer Type: Short Answer

## Q\_565

Project City

- Question Type: Location
- Required: Yes
- Answer Type: Short Answer

## Q\_568

Project State

- Question Type: Location
- Required: Yes
- Answer Type: Single Choice Dropdown
- Choice Options: AA,AL,AK,AZ,AR,CA,CO,CT,DE,FL,GA,HI,IL,IN,IA,KS,KY,LA,ME,MD,MA,ML,MN,MS,MO,MT,NE,NV,NH,NJ,NM,NY,NC,ND,OH,OK,OR,PA,RI,SC,SD,TN,TX,UT,VT,VA,WA,WV,WI,WY,AS,DC,FM,GU,MH,MP,PW,PR,VI
- Default Answer: AA

## Q\_1034

Project ZIP Code. (please use ZIP+4 if known)

- Question Type: Location
- Required: Yes
- Answer Type: Zip Code
- Question Requirements:

To locate a Zip Code, click [HERE](#)

## Q\_972

Project county or counties.

- Question Type: Location
- Required: Yes
- Answer Type: NYS County Multiple Choice

## Q\_616

For more than one project location, please provide full address(es) for each location. If Not Applicable, indicate "NA".

- Question Type: Location
- Required: Yes
- Answer Type: Long Rich Text (HTML)
- Characters: 1 - 200

## Q\_572

Project Latitude (This question's value will be filled automatically, based on the project address, when the application is finalized.)

- Question Type: Location
- Required: No
- Answer Type: Decimal

## Q\_573

Project Longitude (This question's value will be filled automatically, based on the project address, when the application is finalized.)

- Question Type: Location
- Required: No
- Answer Type: Decimal

## Q\_184

NYS Assembly District where the project is located. (This question's value will be filled automatically, based on the project address, when the application is finalized.)

- Question Type: Location
- Required: No
- Answer Type: Integer

## Q\_190

NY Senate District where the project is located. (This question's value will be filled automatically, based on the project address, when the application is finalized.)

- Question Type: Location
- Required: No

- Answer Type: Integer

### Q\_3527

US Congressional District where the project is located. (This question's value will be filled automatically, based on the project address, when the application is finalized.)

- Question Type: Location
- Required: No
- Answer Type: Single Choice Dropdown
- Choice Options: 1,2,3,4,5,6,7,8,9,10,11,12,13,14,15,16,17,18,19,20,21,22,23,24,25,26,27
- Question Requirements:

To determine the US Congressional District, click [HERE](#).

### Q\_549

Type of Applicant (select one)

Applicants will first select a single applicant type from the categories below and then a subtype based on their initial selection. Applicants should review the selections below which provides a list of subtypes by main applicant type.

1. For Profit entity options:

- Limited Liability Corporation (LLC)
- Limited Liability Partnership (LLP)
- Sole Proprietorship
- S Corporation
- C Corporation
- Limited Partnership (LP)

Other- applicant will be required to list their other for-profit designation.

2. Not-for profit entity options:

- 501(c)(1) Any corporation that is organized under an act of Congress that is exempt from federal income tax;
- 501(c)(2) Corporations that hold a title of property for exempt organizations;
- 501(c)(3) Corporations/funds/foundations that operate for religious/ charitable/ scientific/ literary/ educational purposes;
- 501(c)(4) Nonprofit organizations that promote social welfare;
- 501(c)(5) Labor, agricultural, or horticultural associations;
- 501(c)(6) Business leagues/chambers of commerce/etc. that are not organized for profit;
- 501(c)(7) Recreational organizations; and

Other- applicant will be required to list their other not-for-profit designation.

3. Government entity options:

- Federal
- State
- County
- City
- Town
- Village
- Tribal
- School District
- County or Town Improvement District
- District Corporation
- Public Authority
- Business Improvement District
- Fire District
- Board of Cooperative Education Services (BOCES)
- Public Library
- Association Library

Other- applicant will be required to list their other government designation.

- Question Type: Basic
- Required: Yes
- Answer Type: Single Choice Dropdown
- Choice Options: For-Profit, Not-for-Profit, Government
- This is a conditional question.
  1. If **For-Profit** is selected then **Q\_15475** will be displayed
  2. If **Government** is selected then **Q\_15478** will be displayed
  3. If **Not-for-Profit** is selected then **Q\_15477** will be displayed

### Q\_12603

Is the applicant a DBA?

- Question Type: Basic
- Required: Yes
- Answer Type: Yes/No
- This is a conditional question.
  1. If **Yes** is selected then **Q\_550** will be displayed

### Q\_550

What is the applicant's DBA name?

- Question Type: Basic
- Required: Yes
- Answer Type: Short Answer
- This is a conditional question based on the answer to [Q\\_12603](#). This question displays when selecting the answer: "Yes"

### Q\_556

Select an applicant ID type from the list below that you normally use to identify your organization on application forms.

- Question Type: Basic
- Required: Yes
- Answer Type: Single Choice Radio Button
- Choice Options: Charity Reg #, Duns Number, Federal Tax ID Number, NYS Unemployment Insurance Tax Number, NYS Vendor Identification Number (SFS), Employee Identification Number (EIN), Unique Entity Identifier (UEI)
- Question Requirements:

Applicants will be required to provide the specified ID number upon request by the funding agencies.

### Q\_2655

Based on your selection from the previous question, enter the associated ID number.

- Question Type: Basic
- Required: Yes
- Answer Type: Short Answer

### Q\_969

If you are a business, have you been certified as a New York State Minority or Women-owned Business Enterprise (MWBE)?

- Question Type: Basic
- Required: Yes
- Answer Type: Single Choice Radio Button
- Choice Options: Yes, No, N/A

**Q\_546**

Organization Legal Name

- Question Header: Applicant Information
- Question Type: Basic
- Required: Yes
- Answer Type: Short Answer
- Question Requirements:

If applying in the name of a business please type in the name as it appears on your business papers. If applying as an individual insert your name here.

**Q\_5416**

Applicant First Name

- Question Type: Basic
- Required: Yes
- Answer Type: Short Answer

**Q\_5417**

Applicant Last Name

- Question Type: Basic
- Required: Yes
- Answer Type: Short Answer

**Q\_551**

Applicant Street Address

- Question Type: Basic
- Required: Yes
- Answer Type: Short Answer

**Q\_552**

Applicant City

- Question Type: Basic
- Required: Yes
- Answer Type: Short Answer

**Q\_553**

Applicant State

- Question Type: Basic
- Required: Yes
- Answer Type: State Dropdown

**Q\_554**

Applicant ZIP Code. (please use ZIP+4 if known)

- Question Type: Basic
- Required: Yes
- Answer Type: Zip Code
- Question Requirements:

To look up a zip code, click [HERE](#).

**Q\_651**

Applicant Telephone Number (please include area code)

- Question Type: Basic
- Required: Yes
- Answer Type: Phone

**Q\_555**

Applicant Email Address

- Question Type: Basic
- Required: Yes
- Answer Type: Email

**Q\_5257**

Contact Salutation

- Question Type: Basic
- Required: No
- Answer Type: Single Choice Dropdown
- Choice Options: Mr., Mrs., Ms., Dr.

**Q\_547**

Contact First Name

- Question Type: Basic
- Required: Yes
- Answer Type: Short Answer

**Q\_1049**

Contact Last Name

- Question Type: Basic
- Required: Yes
- Answer Type: Short Answer

**Q\_1050**

Contact Title

- Question Type: Basic
- Required: No
- Answer Type: Short Answer

**Q\_5490**

Primary Organization

- Question Type: Basic
- Required: No
- Answer Type: Short Answer

**Q\_3688**

Contact Street Address

- Question Type: Basic
- Required: Yes
- Answer Type: Short Answer

**Q\_3689**

Contact City

- Question Type: Basic
- Required: Yes
- Answer Type: Short Answer

**Q\_3690**

Contact State

- Question Type: Basic
- Required: Yes
- Answer Type: State Dropdown

**Q\_3691**

Contact ZIP Code (please use ZIP+4 if known)

- Question Type: Basic
- Required: Yes
- Answer Type: Zip Code

**Q\_562**

Primary Contact Phone Number. (please include area code)

- Question Type: Basic
- Required: Yes
- Answer Type: Phone

**Q\_3692**

Contact Email

- Question Type: Basic
- Required: Yes
- Answer Type: Email

**Q\_5475**

Contract Salutation

- Question Type: Basic
- Required: No
- Answer Type: Single Choice Dropdown
- Choice Options: Mr., Mrs., Ms., Dr.

**Q\_5476**

Contract First

- Question Type: Basic
- Required: Yes
- Answer Type: Short Answer

**Q\_5477**

Contract Last

- Question Type: Basic
- Required: Yes
- Answer Type: Short Answer

**Q\_5478**

Contract Title

- Question Type: Basic
- Required: No
- Answer Type: Short Answer

**Q\_5491**

Authorized Organization

- Question Type: Basic
- Required: No
- Answer Type: Short Answer

**Q\_5479**

Contract Street

- Question Type: Basic
- Required: Yes
- Answer Type: Short Answer

**Q\_5480**

Contract City

- Question Type: Basic
- Required: Yes
- Answer Type: Short Answer

**Q\_5481**

Contract State

- Question Type: Basic
- Required: Yes
- Answer Type: State Dropdown

**Q\_5482**

Contract Zip (please use ZIP+4 if known)

- Question Type: Basic
- Required: Yes
- Answer Type: Zip Code

**Q\_5483**

Contract Phone (please include area code)

- Question Type: Basic
- Required: Yes
- Answer Type: Phone

**Q\_5484**

Contract Email

- Question Type: Basic
- Required: Yes
- Answer Type: Email

**Q\_5493**

Additional Salutation

- Question Type: Basic
- Required: No
- Answer Type: Single Choice Dropdown
- Choice Options: Mr., Mrs., Ms., Dr.

**Q\_1052**

Additional Project Contact First Name

- Question Type: Basic
- Required: No
- Answer Type: Short Answer

**Q\_970**

Additional Project Contact Last Name

- Question Type: Basic
- Required: No
- Answer Type: Short Answer

**Q\_1051**

Additional Contact Title

- Question Type: Basic
- Required: No
- Answer Type: Short Answer

**Q\_5492**

Additional Organization

- Question Type: Basic
- Required: No
- Answer Type: Short Answer

**Q\_3693**

Additional Contact Street Address

- Question Type: Basic
- Required: No
- Answer Type: Short Answer

### Q\_3694

Additional Contact City

- Question Type: Basic
- Required: No
- Answer Type: Short Answer

### Q\_3695

Additional Contact State

- Question Type: Basic
- Required: No
- Answer Type: State Dropdown

### Q\_3696

Additional Contact ZIP (please use ZIP+4 if known)

- Question Type: Basic
- Required: No
- Answer Type: Zip Code

### Q\_3697

Additional Contact Telephone Number (please include area code)

- Question Type: Basic
- Required: No
- Answer Type: Phone

### Q\_561

Additional Contact Email Address

- Question Type: Basic
- Required: No
- Answer Type: Email

### Q\_4199

Please select the primary sector or characterization that best defines this project.

- Question Type: Basic
- Required: Yes
- Answer Type: Single Choice Dropdown
- Choice Options: Agriculture, Arts/Culture/Cultural Institutions, Biomedical/Medical, Community Development, Education/College/University, Energy, Environment, Financial Services, Food/Beverage, Healthcare, Historic Preservation, Hospitality, Housing, Industrial/Manufacturing, Information Technology Services/Communications, Infrastructure, Municipal/Government, Office, Recreation, Research & Development, Tourism/Travel, Transportation, Water/Wastewater/Sewer, Waterfront Revitalization, Workforce Development, Business Development, Technology Commercialization, Semiconductors/Semiconductor Supply Chain

### Q\_4198

Please select the secondary sector or characterization that best defines this project.

- Question Type: Basic
- Required: Yes
- Answer Type: Single Choice Dropdown
- Choice Options: Agriculture, Arts/Culture/Cultural Institutions, Biomedical/Medical, Community Development, Education/College/University, Energy, Environment, Financial Services, Food/Beverage, Healthcare, Historic Preservation, Hospitality, Housing, Industrial/Manufacturing, Information Technology Services/Communications, Infrastructure, Municipal/Government, Office, Research & Development, Tourism/Travel, Transportation, Water/Wastewater/Sewer, Waterfront Revitalization, Workforce Development, Business Development, Technology Commercialization, Semiconductors/Semiconductor Supply Chain

### Q\_575

Project Description. Concisely describe the project, indicating the location, what will be planned, designed, acquired, and/or constructed, the issues/opportunities to be addressed, and expected outcomes and deliverables. Additional details will be collected later in the application process.

- Question Header: Project Description
- Question Type: Basic
- Required: Yes
- Answer Type: Long Rich Text (HTML)
- Characters: 1 - 1200
- Question Requirements:

Please include details relevant to all programs on this application. Programs on this application are: {{program\_list}}

### Q\_976

Statement of need: Provide a brief summary of the need for the project in the geographic area proposed and the project's financing needs, including funding gaps of the proposed project.

- Question Type: Basic
- Required: Yes
- Answer Type: Long Rich Text (HTML)
- Characters: 1 - 850
- Question Requirements:

Provide a brief summary of the need for the project in the geographic area proposed, the project's financing needs, including funding gaps and, where applicable, describe the additional short and long term jobs that will be created through the development of the proposed project.

### Q\_929

Current State of Project Development (i.e. planning, preliminary engineering, final design, etc. You may enter N/A for non-project related applications)

- Question Type: Basic
- Required: Yes
- Answer Type: Short Answer

### Q\_975

Estimated Project Timeline: include project start/completion dates, estimates for design, permitting and construction or other major steps.

- Question Type: Basic
- Required: Yes
- Answer Type: Long Rich Text (HTML)
- Characters: 1 - 850

#### Q\_580

Provide a list of all federal, state, and local reviews, approvals, or permits needed or completed, including the dates when they are expected to be completed or were completed. If Not Applicable, indicate "NA".

- Question Type: Basic
- Required: Yes
- Answer Type: Long Answer
- Characters: 1 - 400

#### Q\_12606

Does this project require State and/or Federal Environmental Review?

- Question Type: Basic
- Required: Yes
- Answer Type: Yes/No
- This is a conditional question.
  1. If **Yes** is selected then these questions will be displayed:
    - [Q\\_2364](#)
    - [Q\\_12607](#)

#### Q\_2364

What is the status of State and/or Federal Environmental Review?

- Question Type: Basic
- Required: Yes
- Answer Type: Short Answer
- This is a conditional question based on the answer to [Q\\_12606](#). This question displays when selecting the answer: "Yes"

#### Q\_12607

Please indicate the lead agency (if applicable).

- Question Type: Basic
- Required: Yes
- Answer Type: Short Answer
- This is a conditional question based on the answer to [Q\\_12606](#). This question displays when selecting the answer: "Yes"

#### Q\_12604

Has a National Environmental Policy Act (NEPA) Record of Decision been issued?

- Question Type: Basic
- Required: Yes
- Answer Type: Yes/No
- This is a conditional question.
  1. If **Yes** is selected then [Q\\_1054](#) will be displayed

#### Q\_1054

Please explain decision and include date of Record of Decision.

- Question Type: Basic
- Required: Yes
- Answer Type: Long Rich Text (HTML)
- Characters: 0 - 850
- This is a conditional question based on the answer to [Q\\_12604](#). This question displays when selecting the answer: "Yes"

#### Q\_12625

Has the applicant or project been awarded funding in prior CFA rounds?

- Question Header: Prior CFA Funding
- Question Type: Basic
- Required: Yes
- Answer Type: Yes/No
- This is a conditional question.
  1. If **Yes** is selected then [Q\\_2362](#) will be displayed

#### Q\_2362

What were the CFA numbers for which funding was awarded? (separate multiple CFA numbers with commas)

- Question Type: Basic
- Required: No
- Answer Type: Short Answer
- This is a conditional question based on the answer to [Q\\_12625](#). This question displays when selecting the answer: "Yes"

#### Q\_15475

Select the for-profit entity of the applicant applying for funding:

- Question Type: Basic
- Required: Yes
- Answer Type: Single Choice Radio Button
- Choice Options: Limited Liability Corporation (LLC), Limited Liability Partnership (LLP), Sole Proprietorship, S Corporation, C Corporation, Limited Partnership (LP), Other
- This is a conditional question.
  1. If **Other** is selected then [Q\\_15483](#) will be displayed
- This is a conditional question based on the answer to [Q\\_549](#). This question displays when selecting the answer: "For-Profit"

#### Q\_15483

Enter the applicant's 'Other' for-profit entity designation.

- Question Type: Basic
- Required: Yes
- Answer Type: Short Answer
- This is a conditional question based on the answer to [Q\\_15475](#). This question displays when selecting the answer: "Other"

### Q\_15477

Select the not-for-profit entity of the applicant applying for funding:

- Question Type: Basic
- Required: Yes
- Answer Type: Single Choice Radio Button
- Choice Options: 501(c)(1) Any corporation that is organized under an act of Congress that is exempt from federal income tax, 501(c)(2) Corporations that hold a title of property for exempt organizations, 501(c)(3) Corporations/funds/foundations that operate for religious/charitable/scientific/literary/educational purposes, 501(c)(4) Nonprofit organizations that promote social welfare, 501(c)(5) Labor/agricultural/horticultural associations, 501(c)(6) Business leagues/chambers of commerce/etc. that are not organized for profit, 501(c)(7) Recreational organizations, Other
- This is a conditional question.
  1. If **Other** is selected then **Q\_15484** will be displayed
- This is a conditional question based on the answer to [Q\\_549](#). This question displays when selecting the answer: "**Not-for-Profit**"

### Q\_15484

Enter the applicant's 'Other' not-for-profit entity designation.

- Question Type: Basic
- Required: Yes
- Answer Type: Short Answer
- This is a conditional question based on the answer to [Q\\_15477](#). This question displays when selecting the answer: "**Other**"

### Q\_15478

Select the government entity of the applicant applying for funding:

- Question Type: Basic
- Required: Yes
- Answer Type: Single Choice Radio Button
- Choice Options: Federal, State, County, City, Town, Village, Tribal, School District, County or Town Improvement District, District Corporation, Public Authority, Business Improvement District, Fire District, Board of Cooperative Education Services (BOCES), Public Library, Association Library, Other
- This is a conditional question.
  1. If **Other** is selected then **Q\_15485** will be displayed
- This is a conditional question based on the answer to [Q\\_549](#). This question displays when selecting the answer: "**Government**"

### Q\_15485

Enter the applicant's 'Other' government entity designation.

- Question Type: Basic
- Required: Yes
- Answer Type: Short Answer
- This is a conditional question based on the answer to [Q\\_15478](#). This question displays when selecting the answer: "**Other**"

### Q\_2366

How does your project align with the Regional Economic Development Council's Strategic Plan/Upstate Revitalization Initiative Plan? (strategic plans are located at <https://regionalcouncils.ny.gov/>)

- Question Type: REDC
- Required: Yes
- Answer Type: Long Answer
- Characters: 1 - 1000
- Question Requirements:

Describe how the proposed project advances the REDC/URI plan for the region, specifically linking the project to the region's goals, strategies, and targeted industry clusters. A response to this question should directly and specifically link the project to a section or sections of REDC/URI plan for the region.

### Q\_930

Explain what makes your project a regional economic priority - for example creates jobs, economic investment, sustainability and community revitalization, government efficiency or consolidation etc.

- Question Type: REDC
- Required: Yes
- Answer Type: Long Answer
- Characters: 1 - 1000
- Question Requirements:

The REDC and Upstate Revitalization Initiative's (URI) are designed to support projects that will help transform the region's economy. Applicants seeking these resources should explain why the project is a priority for the region. Providing details on the impact of the project such as the ability to create net new high paying jobs, the extent of private sector support, the impact it has on the community, and how the project will advance, in a meaningful way, the implementation of the REDC/URI plans, will help the REDCs and state during review.

### Q\_17170

List all entities and their EIN that the employment is being reported to DOL under.

ALL NYS Locations

- Question Type: Jobs
- Required: Yes
- Answer Type: Long Rich Text (HTML)
- Characters: any

### Q\_17171

List all entities and their EIN that the employment is being reported to DOL under.

Project location only

- Question Type: Jobs
- Required: Yes
- Answer Type: Long Rich Text (HTML)
- Characters: any

### Q\_17162

A. Total Employees / Jobs

(this should add up to the sum of Total Full Time Employees + Total Part Time Employees + Total Contract Employees)

- Question Type: Jobs
- Required: Yes
- Answer Type: Integer

### Q\_17163

A. Total Employees / Jobs (Project Location Only)

(this should add up to the sum of Total Full Time Employees + Total Part Time Employees + Total Contract Employees)

- Question Type: Jobs

- Required: Yes
- Answer Type: Integer

### Q\_17164

B. Total Full Time Employees / Jobs Only  
All NYS Locations

- Question Type: Jobs
- Required: Yes
- Answer Type: Integer

### Q\_17165

B. Total Full Time Employees / Jobs Only  
Project Location Only

- Question Type: Jobs
- Required: Yes
- Answer Type: Integer

### Q\_14039

C. Total Part-time Employees/Jobs Only

- Question Type: Jobs
- Required: Yes
- Answer Type: Integer

### Q\_14040

C. Total Part-time Employees/Jobs Only Project Location Only

- Question Type: Jobs
- Required: Yes
- Answer Type: Integer

### Q\_1365

D. Total Contract Employees/Jobs Only ALL NYS Locations

- Question Type: Jobs
- Required: Yes
- Answer Type: Integer
- Question Requirements:

A **Full-time Contract Employee** is a full-time private sector employee (or self-employed person) who is not on the Recipient's payroll but who works exclusively for the Recipient at the project location for a minimum of 35 hours per week for not less than four consecutive weeks, providing services that would otherwise be provided by a Full-time Permanent Employee. The position held by a Full-time Contract Employee must be a year-round position.

### Q\_1366

D. Total Contract Employees/Jobs Only Project Location Only

- Question Type: Jobs
- Required: Yes
- Answer Type: Integer
- Question Requirements:

A **Full-time Contract Employee** is a full-time private sector employee (or self-employed person) who is not on the Recipient's payroll but who works exclusively for the Recipient at the project location for a minimum of 35 hours per week for not less than four consecutive weeks, providing services that would otherwise be provided by a Full-time Permanent Employee. The position held by a Full-time Contract Employee must be a year-round position.

### Q\_17166

E. Total Full Time Equivalents (FTEs)  
(this should be calculated from part-time employees only (C) using the FTE definition from the top of the page)  
ALL NYS Locations

- Question Type: Jobs
- Required: Yes
- Answer Type: Integer

### Q\_17167

E. Total Full Time Equivalents (FTEs)  
(this should be calculated from part-time employees only (C) using the FTE definition from the top of the page)  
Project location only

- Question Type: Jobs
- Required: Yes
- Answer Type: Integer

### Q\_2195

F. Total Full Time Employees + Total FTEs (B+E) ALL NYS LOCATIONS.

- Question Type: Jobs
- Required: Yes
- Answer Type: Integer
- Question Requirements:

A Full-time equivalent job equals any combination of two or more part-time jobs that, when combined together, constitute the equivalent of a job of at least 35 hours per week. NOTE: Your base employment for Excelsior is calculated using the prior 4 quarters from when you are accepted into the program.

### Q\_2196

F. Total Full Time Employees + Total FTEs (B+E) PROJECT LOCATION Only

- Question Type: Jobs
- Required: Yes
- Answer Type: Integer
- Question Requirements:

NOTE: A full-time equivalent job equals any combination of two or more part-time jobs that, when combined together, constitute the equivalent of a job of at least 35 hours per week. Please note if any of these positions are contract employees and if so, how many of the total are contract employees.

**Q\_2199**

G. Average Annual Wage (Full Time Employees and FTEs) All NYS Locations as of the date this application is finalized

- Question Type: Jobs
- Required: Yes
- Answer Type: Money

**Q\_1186**

G. Average Annual Wage (Full Time Employees and FTEs) Project Location Only as of the date this application is finalized.

- Question Type: Jobs
- Required: Yes
- Answer Type: Money

**Q\_1196**

H. At Risk Positions (From Existing Full Time Employees and FTEs)

How many of the existing jobs at the project location(s) are at risk if the project does not go forward.

- Question Type: Jobs
- Required: Yes
- Answer Type: Integer
- Question Requirements:

"At Risk" shall mean a permanent Full-time employee position currently located in New York State that is found by ESD to be at risk of being lost or moved out of state based on compelling information provided by the applicant.

**Q\_17168**

I. Average Annual Wage of at Risk Employees/Jobs (Full Time Employees and FTEs) project location only

- Question Type: Jobs
- Required: Yes
- Answer Type: Money

**Q\_17169**

J. Percentage of Employees that are residents of New York State ALL NYS locations

- Question Type: Jobs
- Required: Yes
- Answer Type: Integer

**Q\_2175**

J. Percentage of Employees that are residents of New York State Project Location Only

- Question Type: Jobs
- Required: Yes
- Answer Type: Integer

**Q\_2372**

K. Construction Jobs: Estimate the number of construction jobs to be created by the proposed project.

- Question Type: Jobs
- Required: Yes
- Answer Type: Integer
- Question Requirements:

K. Construction Jobs: Estimate the number of construction jobs to be created by the proposed project.

**Q\_2178**

Will any other non-construction jobs result from the project? If so, please estimate how many jobs, explain how these jobs will be created, and explain the method used to determine the job number estimate.

For example: This could include jobs created by tenants at an incubator or mixed-use development or as a result of the creation of a job training center.

- Question Type: Jobs
- Required: Yes
- Answer Type: Long Answer
- Characters: 0 - 1000

**Q\_3064**

Net New Job Information (Enter Cumulatively) 1. Enter the type of job by general category.

2. Enter the gross annual wages for each job type.

3. List the number of net new jobs. Please enter CUMULATIVE job totals, i.e. if there are 10 jobs being created each year for 5 years, enter as 10, 20, 30, 40, 50.

Please note that if you are completing the URI Phase 1 - Intent to Propose, you are not required to complete the table below at this time as this information will be available to enter in during phase 2 of the application.

- Question Type: Jobs
- Required: No
- Answer Type: Short Answer

**Q\_3065**

Net New Job Information

- Question Type: Jobs
- Required: No
- Answer Type: Money

**Q\_3066**

Net New Job Information  
• Question Type: Jobs  
• Required: No  
• Answer Type: Integer

**Q\_3067**

Net New Job Information  
• Question Type: Jobs  
• Required: No  
• Answer Type: Integer

**Q\_3068**

Net New Job Information  
• Question Type: Jobs  
• Required: No  
• Answer Type: Integer

**Q\_3069**

Net New Job Information  
• Question Type: Jobs  
• Required: No  
• Answer Type: Integer

**Q\_3070**

Net New Job Information  
• Question Type: Jobs  
• Required: No  
• Answer Type: Integer

**Q\_3071**

Net New Job Information Job Type/Category  
• Question Type: Jobs  
• Required: No  
• Answer Type: Short Answer

**Q\_3072**

Net New Job Information 2 Avg Gross Wages/Job Type  
• Question Type: Jobs  
• Required: No  
• Answer Type: Money

**Q\_3073**

Net New Job Information 2 Year 1 -  
• Question Type: Jobs  
• Required: No  
• Answer Type: Integer

**Q\_3074**

Net New Job Information 2 Year 2 -  
• Question Type: Jobs  
• Required: No  
• Answer Type: Integer

**Q\_3075**

Net New Job Information 2 Year 3 -  
• Question Type: Jobs  
• Required: No  
• Answer Type: Integer

**Q\_3076**

Net New Job Information 2 Year 4 -  
• Question Type: Jobs  
• Required: No  
• Answer Type: Integer

**Q\_3077**

Net New Job Information 2 Year 5 -  
• Question Type: Jobs  
• Required: No  
• Answer Type: Integer

**Q\_3078**

Net New Job Information (Enter Cumulatively) Job Type/Category  
• Question Type: Jobs  
• Required: No  
• Answer Type: Short Answer

**Q\_3079**

Net New Job Information (Enter Cumulatively) 3 Avg Gross Wages/Job Type

- Question Type: Jobs
- Required: No
- Answer Type: Money

**Q\_3080**

Net New Job Information (Enter Cumulatively) 3 Year 1 -

- Question Type: Jobs
- Required: No
- Answer Type: Integer

**Q\_3081**

Net New Job Information (Enter Cumulatively) 3 Year 2 -

- Question Type: Jobs
- Required: No
- Answer Type: Integer

**Q\_3082**

Net New Job Information (Enter Cumulatively) 3 Year 3 -

- Question Type: Jobs
- Required: No
- Answer Type: Integer

**Q\_3083**

Net New Job Information (Enter Cumulatively) 3 Year 4 -

- Question Type: Jobs
- Required: No
- Answer Type: Integer

**Q\_3084**

Net New Job Information (Enter Cumulatively) 3 Year 5 -

- Question Type: Jobs
- Required: No
- Answer Type: Integer

**Q\_3086**

Net New Job Information (Enter Cumulatively) Job Type/Category

- Question Type: Jobs
- Required: No
- Answer Type: Short Answer

**Q\_3089**

Net New Job Information (Enter Cumulatively) 4 Avg Gross Wages/Job Type

- Question Type: Jobs
- Required: No
- Answer Type: Money

**Q\_3090**

Net New Job Information (Enter Cumulatively) 4 Year 1 -

- Question Type: Jobs
- Required: No
- Answer Type: Integer

**Q\_3091**

Net New Job Information (Enter Cumulatively) 4 Year 2 -

- Question Type: Jobs
- Required: No
- Answer Type: Integer

**Q\_3092**

Net New Job Information (Enter Cumulatively) 4 Year 3 -

- Question Type: Jobs
- Required: No
- Answer Type: Integer

**Q\_3093**

Net New Job Information (Enter Cumulatively) 4 Year 4 -

- Question Type: Jobs
- Required: No
- Answer Type: Integer

**Q\_3094**

Net New Job Information (Enter Cumulatively) 4 Year 5 -

- Question Type: Jobs
- Required: No
- Answer Type: Integer

**Q\_3095**

Net New Job Information (Enter Cumulatively) Job Type/Category

- Question Type: Jobs
- Required: No
- Answer Type: Short Answer

**Q\_3096**

Net New Job Information (Enter Cumulatively) 5 Avg Gross Wages/Job Type

- Question Type: Jobs
- Required: No
- Answer Type: Money

**Q\_3097**

Net New Job Information (Enter Cumulatively) 5 Year 1 -

- Question Type: Jobs
- Required: No
- Answer Type: Integer

**Q\_3098**

Net New Job Information (Enter Cumulatively) 5 Year 2 -

- Question Type: Jobs
- Required: No
- Answer Type: Integer

**Q\_3099**

Net New Job Information (Enter Cumulatively) 5 Year 3 -

- Question Type: Jobs
- Required: No
- Answer Type: Integer

**Q\_3100**

Net New Job Information (Enter Cumulatively) 5 Year 4 -

- Question Type: Jobs
- Required: No
- Answer Type: Integer

**Q\_3101**

Net New Job Information (Enter Cumulatively) 5 Year 5 -

- Question Header: NEW NET JOBS TO BE CREATED AS A RESULT OF THE PROJECT

In the Table below, provide a breakdown by general category/job type to indicate how many net new full-time employees/jobs the company will create cumulatively by year (using the definition in the question above) as a result of the project. The total in the bottom right-hand corner needs to equal the number in the subsequent question that follows this table.

1) Enter the type of job by general category.

2) Enter the average gross annual wages for each job type.

3) Enter CUMULATIVE job totals in the table below. Cumulative job totals mean that each subsequent year in the chart includes jobs created in all prior years. The job number in the bottom right-hand corner on the chart is the full number of jobs created over all five years and needs to equal the number in the subsequent question that follows this table. The number of net new jobs in each cell should be consistent with the definitions from the top of the page, where total new full-time employees/jobs are added to total new full-time equivalent employees/jobs to get the total number of net new jobs that can counted.

i.e. if there are 10 jobs being created each year for 5 years in one category, enter 10 for year 1; 20 for year 2; 30 for year 3; 40 for year 4; 50 for year 5.

If awarded, your company or entity will be held to the job creation commitments as outlined in the table below and question above. For grants, should you fail to meet the job creation commitments, recapture provisions may apply. For Excelsior, each years job commitment is evaluated separately to determine if tax credits can be issued.

Applicants should reach out to the regional office in which their project is located if they have any questions on how to fill this table out.

- Question Type: Jobs
- Required: No
- Answer Type: Integer

**Q\_7506**

Indicate how many net new full-time jobs the company will create over 5 years. Please ensure this number is the same as the cumulative total number of jobs you entered for year 5 from the Net New Job Information table above.

The number of net new jobs should be consistent with the definitions from the top of the page, where total new full-time employees/jobs are added to total new full-time equivalent employees/jobs to get the total number of net new jobs that can counted.

If awarded, your company or entity will be held to the job creation commitments as outlined in this question and table below. For grants, should you fail to meet the job creation commitments, recapture provisions may apply. For Excelsior, each years job commitment is evaluated separately to determine if tax credits can be issued.

- Question Type: Jobs
- Required: Yes
- Answer Type: Integer

**Q\_17898**

Indicate the average annual salary for the net new jobs created as a result of this project.

- Question Type: Jobs
- Required: Yes
- Answer Type: Money

**Q\_14397**

What percentage of the project's net-new employees will work remotely (in part or fully)?

If none, then enter 0(zero).

- Question Type: Jobs
- Required: Yes
- Answer Type: Integer

#### Q\_4167

Indicate the year employment begins under Year 1 for your project for the Net New Job table above.

- Question Type: Jobs
- Required: Yes
- Answer Type: Integer
- Question Requirements:

Please reference job definitions at the top of this page.

The base employment for Excelsior is calculated using the prior 4 quarters from when you are accepted into the program.

#### Q\_1415

Indicate what the average percentage is of the applicants' total employees' gross wages paid in benefits (exclude mandated benefits such as Federal Insurance Contributions Act (FICA), Medicare tax, unemployment insurance or workers' compensation insurance).

- Question Type: Jobs
- Required: Yes
- Answer Type: Integer

#### Q\_15730

Does the applicant own the property?

- Question Type: Attachment
- Required: Yes
- Answer Type: Single Choice Dropdown
- Choice Options: yes,no
- This is a conditional question.
  1. If **no** is selected then **Q\_17795** will be displayed

#### Q\_17795

Is the cost of acquisition of the property included in the budget?

- Question Type: Attachment
- Required: Yes
- Answer Type: Yes/No
- This is a conditional question.
  1. If **No** is selected then **Q\_17792** will be displayed
- This is a conditional question based on the answer to **Q\_15730**. This question displays when selecting the answer: "no"

#### Q\_17792

Does the applicant have site control or written consent from the ownership entity?

- Question Type: Attachment
- Required: Yes
- Answer Type: Yes/No
- This is a conditional question.
  1. If **No** is selected then **Q\_17896** will be displayed
  2. If **Yes** is selected then **Q\_17794** will be displayed
- This is a conditional question based on the answer to **Q\_17795**. This question displays when selecting the answer: "No"

#### Q\_17794

Please upload an explanation, including the name of the entity that owns the property/site, and proof of site control or written consent from the ownership entity.

- Question Type: Attachment
- Required: Yes
- Answer Type: Attachment
- This is a conditional question based on the answer to **Q\_17792**. This question displays when selecting the answer: "Yes"

#### Q\_17896

Please upload an explanation of how and when the applicant plans to have site control.

- Question Type: Attachment
- Required: Yes
- Answer Type: Attachment
- This is a conditional question based on the answer to **Q\_17792**. This question displays when selecting the answer: "No"

#### Q\_17161

Attach interim financial documents for 2025

For privately owned companies, IDAs, not-for-profits, educational institutions, start-ups, municipalities, or any business or organization other than publicly traded companies, please provide audited or reviewed financials, or compiled financials with signed tax returns, for 2025. For publicly traded companies, please provide Form 10-K for 2025.

- Question Type: Attachment
- Required: Yes
- Answer Type: Attachment
- Question Requirements:

Financial statements should be audited or reviewed. If the statements are only compiled, they must be accompanied by copies of signed Federal tax returns (3 yrs). Financial statements should be provided by the parent company or any individual owning 50% or greater of the Applicant) unless the Applicant prepares separate audited or reviewed financial statements or files separate tax returns from the parent.

For information about this requirement, contact your local ESD Regional Office. <https://esd.ny.gov/regions>

#### Q\_17160

Attach 2024 financial documents

For privately owned companies, IDAs, not-for-profits, educational institutions, start-ups, municipalities, or any business or organization other than publicly traded companies, please provide audited or reviewed financials, or compiled financials with signed tax returns, for 2024. For publicly traded companies, please provide Form 10-K for 2024.

- Question Type: Attachment
- Required: Yes
- Answer Type: Attachment
- Question Requirements:

Financial statements should be audited or reviewed. If the statements are only compiled, they must be accompanied by copies of signed Federal tax returns (3 yrs). Financial statements should be provided by the parent company or any individual owning 50%

or greater of the Applicant) unless the Applicant prepares separate audited or reviewed financial statements or files separate tax returns from the parent.

For information about this requirement, contact your local ESD Regional Office. <https://esd.ny.gov/regions>

If you are applying to the ONRAMP program, contact [workforce@esd.ny.gov](mailto:workforce@esd.ny.gov).

### Q\_15218

Attach 2023 financial documents

For privately owned companies, IDAs, not-for-profits, educational institutions, start-ups, municipalities, or any business or organization other than publicly traded companies, please provide audited or reviewed financials, or compiled financials with signed tax returns, for 2023. For publicly traded companies, please provide Form 10-K for 2023.

- Question Type: Attachment
- Required: Yes
- Answer Type: Attachment
- Question Requirements:

Financial statements should be audited or reviewed. If the statements are only compiled, they must be accompanied by copies of signed Federal tax returns (3 yrs). Financial statements should be provided by the parent company or any individual owning 50% or greater of the Applicant) unless the Applicant prepares separate audited or reviewed financial statements or files separate tax returns from the parent.

For information about this requirement, contact your local ESD Regional Office. <https://esd.ny.gov/regions>

If you are applying to the ONRAMP program, contact [workforce@esd.ny.gov](mailto:workforce@esd.ny.gov).

### Q\_13592

Attach 2022 financial documents

For privately owned companies, IDAs, not-for-profits, educational institutions, start-ups, municipalities, or any business or organization other than publicly traded companies, please provide audited or reviewed financials, or compiled financials with signed tax returns, for 2022. For publicly traded companies, please provide Form 10-K for 2022.

- Question Type: Attachment
- Required: Yes
- Answer Type: Attachment
- Question Requirements:

Financial statements should be audited or reviewed. If the statements are only compiled, they must be accompanied by copies of signed Federal tax returns (3 yrs). Financial statements should be provided by the parent company or any individual owning 50% or greater of the Applicant) unless the Applicant prepares separate audited or reviewed financial statements or files separate tax returns from the parent.

For information about this requirement, contact your local ESD Regional Office. <https://esd.ny.gov/regions>

If you are applying to the ONRAMP program, contact [workforce@esd.ny.gov](mailto:workforce@esd.ny.gov).

### Q\_6946

Please provide Letters of Support for your project (if applicable). All letters should be scanned into a single PDF file and their total size cannot exceed 30 Megabytes (MB).

- Question Type: Attachment
- Required: No
- Answer Type: Attachment

### Q\_2331

Attach an organizational chart along with a brief description of the ownership structure. Please specify the ownership of the applicant's business including the names of principal owners, include the percentage of ownership for each individual entity (if applicable), and if the company is a parent, subsidiary and/or affiliate of another company, please provide a description of the relationship. Additional financial information may be requested.

- Question Type: Attachment
- Required: Yes
- Answer Type: Attachment

### Q\_15868

Please upload any additional documents relevant to the application such as project renderings, an map of the proposed site, etc.

- Question Type: Attachment
- Required: No
- Answer Type: Attachment

### Q\_2332

Attach a short or long Environmental Assessment Form

Project review pursuant to the State Environmental Quality Review Act (SEQRA) must be completed prior to the award of any state funds. For projects classified as Type I or Unlisted actions, submit a short or long Environmental Assessment Form. See "View Help" for links to forms.

- Question Type: Attachment
- Required: No
- Answer Type: Attachment
- Question Requirements:

Information and forms can be found at <https://www.dec.ny.gov/permits/357.html>. If you are a not-for-profit, please complete and attach the following form: <https://parks.ny.gov/documents/grants/EnvironmentalReviewFormNotforProfits2021.pdf>

### Q\_2333

If review of the project has been completed pursuant to State Environmental Quality Review Act (SEQRA) or National Environmental Policy Act (NEPA), please submit the Negative Declaration or Findings Statement, or Finding of No Significant Impact or Record of Decision.

- Question Type: Attachment
- Required: No
- Answer Type: Attachment

### Q\_2165

For Start-up companies, in addition to the documents listed above provide: 1) Financial projections (5 years) 2) Personal financial statements from a personal guarantor(s) of the start-up company 3) Principal resumes

- Question Type: Attachment
- Required: No
- Answer Type: Attachment
- Question Requirements:

For information about this requirement, contact your local ESD Regional Office. <https://esd.ny.gov/regions>

### Q\_16310

Have any other entities completed a Climate Impact Statement or other similar form for this project?

• Question Header: CLIMATE IMPACT STATEMENT - PART A

The following questions make up part of the Climate Impact Statement and will assist Empire State Development in determining whether a proposed approval, undertaking, support, or funding for a project by ESD is consistent with the State's greenhouse gas emission reduction targets. Not all questions/answers may be relevant to all projects.

- Question Type: Attachment
- Required: Yes
- Answer Type: Yes/No
- This is a conditional question.
  1. If **No** is selected then **Q\_16312** will be displayed
  2. If **Yes** is selected then **Q\_16311** will be displayed
- Question Requirements:

For OSWD Pay for Performance Operating Grant applicants only: please be sure to answer "no" or "n/a" to CLCPA questions only, as these questions are not applicable to your program.

### Q\_16311

Attach a copy of the completed Climate Impact Statement or similar form.

- Question Type: Attachment
- Required: Yes
- Answer Type: Attachment
- This is a conditional question based on the answer to [Q\\_16310](#). This question displays when selecting the answer: "Yes"

### Q\_16312

Has an Environmental Impact Statement been prepared for this project?

- Question Type: Attachment
- Required: Yes
- Answer Type: Yes/No
- This is a conditional question.
  1. If **No** is selected then **Q\_16314** will be displayed
  2. If **Yes** is selected then **Q\_16313** will be displayed
- This is a conditional question based on the answer to [Q\\_16310](#). This question displays when selecting the answer: "No"

### Q\_16313

Attach copy of the Greenhouse Gas Emission section.

- Question Type: Attachment
- Required: Yes
- Answer Type: Attachment
- This is a conditional question based on the answer to [Q\\_16312](#). This question displays when selecting the answer: "Yes"

### Q\_16314

Have the greenhouse gas emissions expected from the project been quantified in any other way?

- Question Type: Attachment
- Required: Yes
- Answer Type: Yes/No
- This is a conditional question.
  1. If **Yes** is selected then **Q\_16315** will be displayed
- This is a conditional question based on the answer to [Q\\_16312](#). This question displays when selecting the answer: "No"

### Q\_16315

Attach copy of the supporting calculations and other material.

- Question Type: Attachment
- Required: Yes
- Answer Type: Attachment
- This is a conditional question based on the answer to [Q\\_16314](#). This question displays when selecting the answer: "Yes"

### Q\_15341

Is the applicant a city, town, or village that is applying for capital funding?

- Question Type: Standard Question
- Required: Yes
- Answer Type: Yes/No
- This is a conditional question.
  1. If **No** is selected then these questions will be displayed:
    - [Q\\_15278](#)
    - [Q\\_15274](#)
  2. If **Yes** is selected then **Q\_15342** will be displayed

### Q\_15342

Is the applicant already certified by NYS Homes and Community Renewal (HCR) as a Pro-Housing Community?

- Question Type: Standard Question
- Required: Yes
- Answer Type: Yes/No
- This is a conditional question.
  1. If **No** is selected then **Q\_15343** will be displayed
- This is a conditional question based on the answer to [Q\\_15341](#). This question displays when selecting the answer: "Yes"
- Question Requirements:

If you still have additional questions, please contact the [regional office](#) for the region in which your project is located to find out more.

### Q\_15343

Please explain if the applicant has already applied for pro-housing certification with HCR and if so, when the full application was submitted to HCR. If the applicant has not yet applied to become a pro-housing certified community, please explain when the applicant will apply.

Please note that city, town, and village applicants must already be certified by HCR as a pro-housing community at the time of application OR must apply to HCR to become a pro-housing community by July 31, 2025, in order to be considered for funding. While HCR accepts letters of intent, a full application submittal to HCR Pro-Housing Communities Program is required to be to HCR by July 31, 2025.

- Question Type: Standard Question
- Required: Yes
- Answer Type: Long Answer
- Characters: 0 - 600
- This is a conditional question based on the answer to [Q\\_15342](#). This question displays when selecting the answer: "No"

### Q\_17252

What does the project involve in terms of product(s) or service(s) that will be provided at the project location? Please explain and quantify how the capital investment for which you are seeking funding will make it possible to reach business or organization goals such as changes to efficiency, profitability, productivity, market share, product offerings, or other measurable results relevant to your goals for the product(s) and service(s) that are/will be provided.

- Question Type: Standard Question

- Required: Yes
- Answer Type: Long Rich Text (HTML)
- Characters: 0 - 1500

### Q\_13596

Does this project provide training and improvements to the workforce?

- Question Type: Standard Question
- Required: Yes
- Answer Type: Yes/No
- This is a conditional question.
  1. If **Yes** is selected then these questions will be displayed:
    - [Q\\_13597](#)
    - [Q\\_17223](#)
    - [Q\\_17225](#)
    - [Q\\_17226](#)

### Q\_17223

Will trainees earn any type of certification?

- Question Type: Standard Question
- Required: Yes
- Answer Type: Yes/No
- This is a conditional question.
  1. If **Yes** is selected then **Q\_17224** will be displayed
- This is a conditional question based on the answer to [Q\\_13596](#). This question displays when selecting the answer: "Yes"

### Q\_17224

Indicate the type of certification and length of time to earn, as well as another other relevant information about the certification program.

- Question Type: Standard Question
- Required: Yes
- Answer Type: Long Rich Text (HTML)
- Characters: 0 - 2000
- This is a conditional question based on the answer to [Q\\_17223](#). This question displays when selecting the answer: "Yes"

### Q\_17225

Will there will be assistance provided for job placement upon completion of training.

- Question Type: Standard Question
- Required: Yes
- Answer Type: Yes/No
- This is a conditional question.
  1. If **Yes** is selected then **Q\_13598** will be displayed
- This is a conditional question based on the answer to [Q\\_13596](#). This question displays when selecting the answer: "Yes"

### Q\_13598

Please indicate how assistance will be provided for job placement or advancement upon completion of training.

- Question Type: Standard Question
- Required: Yes
- Answer Type: Long Answer
- Characters: 0 - 2000
- This is a conditional question based on the answer to [Q\\_17225](#). This question displays when selecting the answer: "Yes"

### Q\_17226

Please indicated any other relevant information to show how the project provides training and improvements to the workforce.

- Question Type: Standard Question
- Required: Yes
- Answer Type: Long Rich Text (HTML)
- Characters: 0 - 2000
- This is a conditional question based on the answer to [Q\\_13596](#). This question displays when selecting the answer: "Yes"

### Q\_13628

Does your project focus on creating new jobs in New York State or retaining jobs that are otherwise in jeopardy? If yes, please ensure accurate job information is entered for questions relating to jobs on the Jobs tab.

- Question Header:

PROJECTS APPLYING FOR REGIONAL COUNCIL CAPITAL FUNDS ARE CATEGORIZED INTO ONE OR MORE OF THE FOLLOWING TOPICS:

- Business Investment
- Economic Growth
- Tourism
- Strategic Focus Area(s)

Please review the Regional Council Capital Funds Program Guidelines included in the 2025 Available Resources document on the [RED C website](#), for additional details on each category. All applicants are strongly encouraged to reach out to the [ESD regional office](#) in which the project is located prior to submission to discuss the project being proposed.

Applicants are reminded to request the minimum level of funding necessary to incentivize the project to occur and request the amount of funding needed to complete their project vs. the maximum amount they may be eligible for as the likelihood of receiving an award improves as the percentage of ESD assistance is reduced and private or other sources are better leveraged. Applicants applying for funding under the business investment, economic growth, or tourism program categories will generally not be considered for more than twenty percent (20%) of the financing for any particular project based on the eligible total project cost.

General Project Questions- Business Investment

- Question Type: Standard Question
- Required: Yes
- Answer Type: Yes/No

### Q\_13634

Does the project foster economic growth through cultural activity, higher education activity, agribusiness initiatives, other local or regional initiatives, improvements to facilities in distressed areas (census tracts), commercial revitalization activities in central business districts or commercial strips, or other types of projects that may not have direct job creation goals but do foster economic development within the area the project will occur? Please reference the program guidelines for more information on economic growth projects.

- Question Header: General Project Questions- Economic Growth
- Question Type: Standard Question
- Required: Yes
- Answer Type: Yes/No
- This is a conditional question.
  1. If **Yes** is selected then these questions will be displayed:
    - [Q\\_15374](#)
    - [Q\\_17238](#)

### Q\_15374

Does your project include a mixed-used property/building?

- Question Type: Standard Question
- Required: Yes
- Answer Type: Yes/No
- This is a conditional question.
  1. If Yes is selected then these questions will be displayed:
    - [Q\\_15379](#)
    - [Q\\_15380](#)
    - [Q\\_15381](#)
    - [Q\\_15382](#)
    - [Q\\_15383](#)
    - [Q\\_17227](#)
    - [Q\\_17228](#)
- This is a conditional question based on the answer to [Q\\_13634](#). This question displays when selecting the answer: "Yes"

### Q\_15379

What is the total project cost of only the commercial portion of the project?

- Question Type: Standard Question
- Required: Yes
- Answer Type: Money
- This is a conditional question based on the answer to [Q\\_15374](#). This question displays when selecting the answer: "Yes"

### Q\_17227

What is the total square footage of only the commercial portion of the project?

- Question Type: Standard Question
- Required: Yes
- Answer Type: Integer
- This is a conditional question based on the answer to [Q\\_15374](#). This question displays when selecting the answer: "Yes"

### Q\_15380

What is the total project cost of the entire project including the residential portion? Please note this total project cost should be equal to the total project cost that is included on the funding tab of this application and should tie out to the total project budget table.

- Question Type: Standard Question
- Required: Yes
- Answer Type: Money
- This is a conditional question based on the answer to [Q\\_15374](#). This question displays when selecting the answer: "Yes"

### Q\_17228

What is the total square footage of the entire project?

- Question Type: Standard Question
- Required: Yes
- Answer Type: Integer
- This is a conditional question based on the answer to [Q\\_15374](#). This question displays when selecting the answer: "Yes"

### Q\_15381

Indicate the number housing units to be included in the mixed-used building.

- Question Type: Standard Question
- Required: Yes
- Answer Type: Integer
- This is a conditional question based on the answer to [Q\\_15374](#). This question displays when selecting the answer: "Yes"

### Q\_15382

How many of the planned housing units are considered affordable?

Affordable housing in New York State is generally defined as:  
Housing that costs about one-third or less of household income.  
Housing that consumes less than 30 percent of household income.  
Units that were built with some form of government subsidy.  
Units that are limited to households making below certain income thresholds.

- Question Type: Standard Question
- Required: Yes
- Answer Type: Integer
- This is a conditional question based on the answer to [Q\\_15374](#). This question displays when selecting the answer: "Yes"

### Q\_15383

Please indicate the location of the building in reference to the downtown, other developments going on, etc.

- Question Type: Standard Question
- Required: Yes
- Answer Type: Short Answer
- This is a conditional question based on the answer to [Q\\_15374](#). This question displays when selecting the answer: "Yes"

### Q\_17238

Does your project support economic growth within a downtown area which fosters the principals of the Downtown Revitalization Initiative?

- Question Type: Standard Question
- Required: Yes
- Answer Type: Yes/No
- This is a conditional question.
  1. If Yes is selected then [Q\\_13631](#) will be displayed
- This is a conditional question based on the answer to [Q\\_13634](#). This question displays when selecting the answer: "Yes"

### Q\_13631

Please explain how the project will result in a benefit to the viability of the area it is located in and how it will lead to increased economic activity.

- Question Type: Standard Question
- Required: Yes
- Answer Type: Long Answer
- Characters: 0 - 1200
- This is a conditional question based on the answer to [Q\\_17238](#). This question displays when selecting the answer: "Yes"

### Q\_17221

Does the project expand, construct, restore or renovate New York State tourism destinations and attractions?

- Question Header: General Project Questions - Tourism
- Question Type: Standard Question
- Required: Yes
- Answer Type: Yes/No
- This is a conditional question.
  1. If Yes is selected then these questions will be displayed:
    - [Q\\_17222](#)
    - [Q\\_17239](#)

### Q\_17222

Describe how the project aligns with the tourism goals of the corresponding REDC's strategic plan and how it complements the state's tourism strategy as reflected through the work of I LOVE NY, increasing the perception and consideration of New York State, as a travel destination, increasing visitation and creating a positive economic impact.

Priority will be given to projects that show a potential economic impact that would lead to increases in number of visitors, visitor frequency, visitor spending and length of stay. Please include a description of the target market for this destination/attraction, the current tourism trends in support of this market and destination, and the potential demand for this type of project.

- Question Type: Standard Question
- Required: Yes
- Answer Type: Long Rich Text (HTML)
- Characters: 0 - 3000
- This is a conditional question based on the answer to [Q\\_17221](#). This question displays when selecting the answer: "Yes"

### Q\_17239

Please select the type of project that best describes the focus of your application. This is a single choice answer only.

- Question Type: Standard Question
- Required: Yes
- Answer Type: Single Choice Radio Button
- Choice Options: 1-Existing destination/attraction, 2-New destination/attraction, 3-Hotel
- This is a conditional question.
  1. If 1-Existing destination/attraction is selected then these questions will be displayed:
    - [Q\\_17240](#)
    - [Q\\_17241](#)
    - [Q\\_17242](#)
    - [Q\\_17243](#)
  2. If 2-New destination/attraction is selected then these questions will be displayed:
    - [Q\\_17244](#)
    - [Q\\_17245](#)
    - [Q\\_17246](#)
  3. If 3-Hotel is selected then these questions will be displayed:
    - [Q\\_17247](#)
    - [Q\\_17248](#)
    - [Q\\_17249](#)
    - [Q\\_17250](#)
- This is a conditional question based on the answer to [Q\\_17221](#). This question displays when selecting the answer: "Yes"

### Q\_17240

What is the annual number of visitors to this specific attraction/destination?

- Question Type: Standard Question
- Required: Yes
- Answer Type: Integer
- This is a conditional question based on the answer to [Q\\_17239](#). This question displays when selecting the answer: "1-Existing destination/attraction"

### Q\_17241

What is the expected increase in annual visitors to this specific destination/attraction as a result of the project?

- Question Type: Standard Question
- Required: Yes
- Answer Type: Integer
- This is a conditional question based on the answer to [Q\\_17239](#). This question displays when selecting the answer: "1-Existing destination/attraction"

### Q\_17242

Explain how this project will lead to increased visitors, visitor spending and/or increase the length of stays.

- Question Type: Standard Question
- Required: Yes
- Answer Type: Long Rich Text (HTML)
- Characters: 0 - 2000
- This is a conditional question based on the answer to [Q\\_17239](#). This question displays when selecting the answer: "1-Existing destination/attraction"

### Q\_17243

Are there any other destinations like this in the area and if so, please list them and describe.

- Question Type: Standard Question
- Required: Yes
- Answer Type: Long Rich Text (HTML)
- Characters: 0 - 2000
- This is a conditional question based on the answer to [Q\\_17239](#). This question displays when selecting the answer: "1-Existing destination/attraction"

### Q\_17244

What is the expected number of annual number of visitors for the new destination/attraction?

- Question Type: Standard Question
- Required: Yes
- Answer Type: Integer
- This is a conditional question based on the answer to [Q\\_17239](#). This question displays when selecting the answer: "2-New destination/attraction"

### Q\_17245

Please show the expected ROI (Return on Investment) and explain how this project will lead to increased room nights, visitor spending and/or increase the length of stays. For example, is this new attraction/destination building off any other local tourism initiatives or destinations? If so, please list them and explain.

Room nights refer to total nights a hotel has sold/sells vs. length of stay which refers to the average number of nights guest stay at a particular hotel.

- Question Type: Standard Question
- Required: Yes
- Answer Type: Long Rich Text (HTML)
- Characters: 0 - 2000
- This is a conditional question based on the answer to [Q\\_17239](#). This question displays when selecting the answer: "2-New destination/attraction"

### Q\_17246

Are there any other destinations like this in the area and if so, please list them and describe.

- Question Type: Standard Question
- Required: Yes
- Answer Type: Long Rich Text (HTML)
- Characters: 0 - 2000
- This is a conditional question based on the answer to [Q\\_17239](#). This question displays when selecting the answer: "2-New destination/attraction"

### Q\_17247

How many hotel rooms in total are planned for the project?

- Question Type: Standard Question
- Required: Yes
- Answer Type: Integer
- This is a conditional question based on the answer to [Q\\_17239](#). This question displays when selecting the answer: "3-Hotel"

### Q\_17248

What is the estimated cost of a 1-night stay for a single hotel room?

- Question Type: Standard Question
- Required: Yes
- Answer Type: Money
- This is a conditional question based on the answer to [Q\\_17239](#). This question displays when selecting the answer: "3-Hotel"

### Q\_17249

What is the number of new full time equivalent hotel jobs? (please be sure this correspond directly to what is being filled out on the jobs tab).

- Question Type: Standard Question
- Required: Yes
- Answer Type: Integer
- This is a conditional question based on the answer to [Q\\_17239](#). This question displays when selecting the answer: "3-Hotel"

### Q\_17250

Are there any other hotels in the area and if so, please list and describe them.

- Question Type: Standard Question
- Required: Yes
- Answer Type: Long Rich Text (HTML)
- Characters: 0 - 2000
- This is a conditional question based on the answer to [Q\\_17239](#). This question displays when selecting the answer: "3-Hotel"

### Q\_13632

Does your project support innovative public-private partnerships that leverage unique regional assets to advance the regions' economic development strategies? Innovative public-private partnerships are intended for higher education institutions with a focus on innovation and research partnerships.

If yes, please reach out to the regional office prior to submitting your application.

- Question Header: General Project Questions - Strategic Focus Areas - Public Private Partnerships
- Question Type: Standard Question
- Required: Yes
- Answer Type: Yes/No
- This is a conditional question.
  1. If Yes is selected then [Q\\_13901](#) will be displayed

### Q\_13901

Projects supporting innovative public-private partnerships may be considered for an enhanced incentive above the typical twenty percent of total project costs. Please explain how your project supports an innovative public-private partnership.

- Question Type: Standard Question
- Required: Yes
- Answer Type: Long Answer
- Characters: 0 - 2000
- This is a conditional question based on the answer to [Q\\_13632](#). This question displays when selecting the answer: "Yes"

### Q\_13636

Does your project encompass the Strategic Focus Area to improve access to high quality childcare?

- Question Header: General Project Questions - Strategic Focus Areas - Childcare
- Question Type: Standard Question
- Required: Yes
- Answer Type: Yes/No
- This is a conditional question.
  1. If Yes is selected then these questions will be displayed:
    - [Q\\_13594](#)
    - [Q\\_13595](#)
    - [Q\\_13610](#)
    - [Q\\_13518](#)
    - [Q\\_13520](#)
    - [Q\\_17230](#)
    - [Q\\_17231](#)
    - [Q\\_17232](#)

### Q\_13610

Please detail how the project will improve access to childcare. Please indicate the need in the community for a new or expanded childcare facility, whether the project is located in a childcare desert (Search the NYS Child Care Deserts Map below), the populations that will likely utilize the services, and any additional economic benefits that will occur from the project. Organizations may be considered for an enhanced incentive of up to forty percent of eligible total project costs for projects that will improve access to high quality childcare.

- Question Type: Standard Question
- Required: Yes
- Answer Type: Long Answer
- Characters: 0 - 800
- This is a conditional question based on the answer to [Q\\_13636](#). This question displays when selecting the answer: "Yes"

### Q\_17232

Is this an existing childcare which you will be expanding or a new childcare center?

- Question Type: Standard Question
- Required: Yes
- Answer Type: Single Choice Dropdown
- Choice Options: Existing Childcare Expansion, New Childcare Center

- This is a conditional question.
  1. If **Existing Childcare Expansion** is selected then these questions will be displayed:
    - [Q\\_17233](#)
    - [Q\\_17234](#)
  2. If **New Childcare Center** is selected then [Q\\_15521](#) will be displayed
- This is a conditional question based on the answer to [Q\\_13636](#). This question displays when selecting the answer: "Yes"

### Q\_17233

What is the square footage of the existing childcare facility only?

- Question Type: Standard Question
- Required: Yes
- Answer Type: Integer
- This is a conditional question based on the answer to [Q\\_17232](#). This question displays when selecting the answer: "Existing Childcare Expansion"

### Q\_17234

What is the square footage of the childcare expansion only? Please do not include the square footage of the existing facility's footprint.

- Question Type: Standard Question
- Required: Yes
- Answer Type: Integer
- This is a conditional question based on the answer to [Q\\_17232](#). This question displays when selecting the answer: "Existing Childcare Expansion"

### Q\_15521

Please indicate the total square footage of the new facility.

- Question Type: Standard Question
- Required: Yes
- Answer Type: Integer
- This is a conditional question based on the answer to [Q\\_17232](#). This question displays when selecting the answer: "New Childcare Center"

### Q\_13594

Please indicate the number of existing childcare spots.

- Question Type: Standard Question
- Required: Yes
- Answer Type: Integer
- This is a conditional question based on the answer to [Q\\_13636](#). This question displays when selecting the answer: "Yes"

### Q\_15518

How many of the existing childcare spots are considered market rate?

- Question Type: Standard Question
- Required: Yes
- Answer Type: Integer
- This is a conditional question based on the answer to [Q\\_13636](#). This question displays when selecting the answer: "Yes"

### Q\_13595

Please indicate the number of new childcare spots.

- Question Type: Standard Question
- Required: Yes
- Answer Type: Integer
- This is a conditional question based on the answer to [Q\\_13636](#). This question displays when selecting the answer: "Yes"

### Q\_15520

How many of the new childcare spots are considered market rate?

- Question Type: Standard Question
- Required: Yes
- Answer Type: Integer
- This is a conditional question based on the answer to [Q\\_13636](#). This question displays when selecting the answer: "Yes"

### Q\_17230

Childcare Spots Breakdown Existing Childcare Spots Affordable Spots Only (intended for families receiving assistance)

- Question Type: Standard Question
- Required: Yes
- Answer Type: Integer
- This is a conditional question based on the answer to [Q\\_13636](#). This question displays when selecting the answer: "Yes"

### Q\_17231

Childcare Spots Breakdown New Childcare Spots Affordable Spots Only (intended for families receiving assistance) New Spots

- Question Type: Standard Question
- Required: Yes
- Answer Type: Integer
- This is a conditional question based on the answer to [Q\\_13636](#). This question displays when selecting the answer: "Yes"

### Q\_13637

Is your organization a Not-for-Profit whose project will encompass the Strategic Focus Area of supporting economically distressed areas (census tracts) where investments are needed to spur economic growth?

- Question Header: General Project Questions - Strategic Focus Areas - Distressed Areas (Census Tracts)
- Question Type: Standard Question
- Required: Yes
- Answer Type: Yes/No
- This is a conditional question.
  1. If **Yes** is selected then these questions will be displayed:
    - [Q\\_13638](#)
    - [Q\\_13902](#)

### Q\_13902

Not-for-profit organizations may be considered for an enhanced incentive of up to forty percent of eligible total project costs for projects located in a severely distressed area (census tract) as defined by the criteria in the question requirements. While eligible for an

enhanced incentive, the potential for an award is not as of right solely because the project is located in a distressed area but rather will be dependent on the community benefits resulting from the project occurring. Using the map in the link below, is your project located in a severely distressed area (census tract)?

- Question Type: Standard Question
- Required: Yes
- Answer Type: Yes/No
- This is a conditional question.
  1. If **No** is selected then **Q\_13903** will be displayed
- This is a conditional question based on the answer to [Q\\_13637](#). This question displays when selecting the answer: "Yes"
- Question Requirements:

Economically distressed area (census tract) shall mean the following based on the census tract for where the project is located:

- Severely distressed areas (census tracts) shall have at least 25 households receiving public assistance income in the 2023 ACS 5-year estimate and meet at least five of the criteria listed below:
- Moderately distressed areas (census tracts) shall have at least 25 households receiving public assistance income in the 2023 ACS 5-year estimate and meet at least three of the criteria listed below:
- Slightly distressed areas (census tracts) shall have at least 100 households receiving public assistance income in the 2023 ACS 5-year estimate and meet at least two of the criteria listed below:
  - Population loss between the 2023 ACS 5-year estimate and the 2019 ACS 5-year estimate – an absolute loss in population.
  - Unemployment rate (2023 ACS 5-year estimate) higher than the State's rate.
  - Private sector employment growth rate (2023 ACS 5-year estimate) over the preceding 5 years was lower than the State's OR private sector employment (2023 ACS 5-year estimate) as a percentage of total employment was less than the State's.
  - Percentage of households receiving public assistance (2023 ACS 5-year estimate) was greater than the statewide percentage.
  - Poverty rate (2023 ACS 5-year estimate) was greater than the State's poverty rate.
  - Per Capita Income change (2023 ACS 5-year estimate) over the preceding five years was less than the growth in the consumer price index (CPI) for all urban consumers nationally OR per capita income was less than the State's per capita income.

### Q\_13903

If you are a not-for-profit and you believe your project is located in a severely distressed area (census tract) that is not listed as severely distressed, you may apply for an enhanced incentive but should discuss the project with the ESD Regional Office for where the project is located prior to finalizing your application.

Please explain why the area, for where the project will be located and benefits to occur, should be considered severely distressed and eligible for an enhanced incentive.

- Question Type: Standard Question
- Required: Yes
- Answer Type: Long Answer
- Characters: 0 - 2000
- This is a conditional question based on the answer to [Q\\_13902](#). This question displays when selecting the answer: "No"

### Q\_13638

Please detail the anticipated community benefit as a result of the project, the populations that will benefit from the project, a demonstrated need for the services of the project, community support for the project, and any additional economic benefits that will occur from the project.

- Question Type: Standard Question
- Required: Yes
- Answer Type: Long Answer
- Characters: 0 - 800
- This is a conditional question based on the answer to [Q\\_13637](#). This question displays when selecting the answer: "Yes"

### Q\_18006

Does the project include completing strategic development plans, feasibility studies, site assessments, or similar plans or studies?

- Question Type: Standard Question
- Required: Yes
- Answer Type: Yes/No
- This is a conditional question.
  1. If **Yes** is selected then these questions will be displayed:
    - [Q\\_18005](#)
    - [Q\\_18007](#)
    - [Q\\_18011](#)
    - [Q\\_18012](#)
    - [Q\\_18013](#)
    - [Q\\_18014](#)
    - [Q\\_18015](#)
    - [Q\\_18016](#)

### Q\_18005

Describe the Applicant's experience in completing strategic development plans, feasibility studies, site assessments, or similar plans or studies.

- Question Type: Standard Question
- Required: Yes
- Answer Type: Long Answer
- Characters: 1 - 2000
- This is a conditional question based on the answer to [Q\\_18006](#). This question displays when selecting the answer: "Yes"

### Q\_18007

Has an entity been selected to conduct the project yet?

- Question Type: Standard Question
- Required: Yes
- Answer Type: Yes/No
- This is a conditional question.
  1. If **No** is selected then **Q\_18008** will be displayed
  2. If **Yes** is selected then these questions will be displayed:
    - [Q\\_18009](#)
    - [Q\\_18010](#)
- This is a conditional question based on the answer to [Q\\_18006](#). This question displays when selecting the answer: "Yes"

### Q\_18008

How will the entity be selected? (For example, competitive, single source, sole source, etc. Please describe what you will be looking for in the contracted entity in terms of history, experience, similar projects, budget, etc.)

- Question Type: Standard Question
- Required: Yes
- Answer Type: Long Answer
- Characters: 0 - 1000
- This is a conditional question based on the answer to [Q\\_18007](#). This question displays when selecting the answer: "No"

### Q\_18009

What is the entity's legal name that is anticipated to conduct the project?

- Question Type: Standard Question
- Required: Yes
- Answer Type: Short Answer
- This is a conditional question based on the answer to [Q\\_18007](#). This question displays when selecting the answer: "Yes"

### Q\_18010

How was the entity selected?

- Question Type: Standard Question
- Required: Yes
- Answer Type: Long Answer
- Characters: 0 - 600
- This is a conditional question based on the answer to [Q\\_18007](#). This question displays when selecting the answer: "Yes"

### Q\_18011

Describe the capacity and experience of the entity that will be conducting the project. Include organization history, experience in completing activities similar to or related to the proposed project, and quality control measures that will be used.

- Question Type: Standard Question
- Required: Yes
- Answer Type: Long Answer
- Characters: 0 - 1500
- This is a conditional question based on the answer to [Q\\_18006](#). This question displays when selecting the answer: "Yes"

### Q\_18012

Describe how the project demonstrates broad community support and participation and ongoing collaboration with state, regional and local, public and private stakeholders. In your description please include information on how other entities will be engaged in the development and/or implementation of the project, and how the community will be engaged in the planning process. Also provide detail on how your organization will promote and share information, tools or processes from the planning study, obtain public comments and educate the community on the contents of the plan.

- Question Header: General Project Questions
- Question Type: Standard Question
- Required: Yes
- Answer Type: Long Answer
- Characters: 1 - 2000
- This is a conditional question based on the answer to [Q\\_18006](#). This question displays when selecting the answer: "Yes"

### Q\_18013

Describe the project's consistency with existing local, county and regional plans such as a local or county master plan or comprehensive plan.

- Question Type: Standard Question
- Required: Yes
- Answer Type: Long Answer
- Characters: 1 - 1500
- This is a conditional question based on the answer to [Q\\_18006](#). This question displays when selecting the answer: "Yes"

### Q\_18014

Provide a brief work plan, including description of tasks undertaken by all involved entities and schedule for completion of each task.

- Question Type: Standard Question
- Required: Yes
- Answer Type: Long Answer
- Characters: 1 - 1500
- This is a conditional question based on the answer to [Q\\_18006](#). This question displays when selecting the answer: "Yes"

### Q\_18015

Identify potential future funding sources and the amount expected to be committed in order to implement the recommendations/findings from the plan or study.

- Question Type: Standard Question
- Required: Yes
- Answer Type: Long Answer
- Characters: 1 - 1500
- This is a conditional question based on the answer to [Q\\_18006](#). This question displays when selecting the answer: "Yes"

### Q\_18016

Using the map in the link below, please lookup the project address and select the applicable distress level for where the project is located in.

- Question Type: Standard Question
- Required: Yes
- Answer Type: Single Choice Dropdown
- Choice Options: Severely distressed, Moderately distressed, Slightly distressed, Not distressed
- This is a conditional question based on the answer to [Q\\_18006](#). This question displays when selecting the answer: "Yes"
- Question Requirements:

Economically distressed area shall mean the following based on the census tract for where the project is located:

1. Severely distressed areas (census tracts) shall have at least 25 households receiving public assistance income in the 2023 ACS 5-year estimate and meet at least five of the criteria listed below:
2. Moderately distressed areas (census tracts) shall have at least 25 households receiving public assistance income in the 2023 ACS 5-year estimate and meet at least three of the criteria listed below:
3. Slightly distressed area (census tracts) shall have at least 100 households receiving public assistance income in the 2022 ACS 5-year estimate and meet at least two of the criteria listed below:
  - Population loss between the 2023 ACS 5-year estimate and the 2019 ACS 5-year estimate – an absolute loss in population.
  - Unemployment rate (2023 ACS 5-year estimate) higher than the State's rate.
  - Private sector employment growth rate (2023 ACS 5-year estimate) over the preceding 5 years was lower than the State's OR private sector employment (2023 ACS 5-year estimate) as a percentage of total employment was less than the State's.
  - Percentage of households receiving public assistance (2023 ACS 5-year estimate) was greater than the statewide percentage.
  - Poverty rate (2023 ACS 5-year estimate) was greater than the State's poverty rate.
  - Per Capita Income change (2023 ACS 5-year estimate) over the preceding five years was less than the growth in the consumer price index (CPI) for all urban consumers nationally OR per capita income was less than the State's per capita income.

### Q\_3134

A third party completing this application is required to disclose their name, company and contact name. Is a third party completing this application?

- Question Header: Company/Applicant Questions
- Question Type: Standard Question
- Required: Yes
- Answer Type: Yes/No
- This is a conditional question.
  1. If Yes is selected then [Q\\_3136](#) will be displayed

### Q\_3136

Provide the third party name and contact info.

- Question Type: Standard Question
- Required: Yes
- Answer Type: Long Answer
- Characters: 0 - 100
- This is a conditional question based on the answer to [Q\\_3134](#). This question displays when selecting the answer: "Yes"

### Q\_1339

Applicant website

- Question Type: Standard Question
- Required: No
- Answer Type: URL

### Q\_595

Indicate the principal North American Industrial Classification System (NAICS) Code of the Company.

- Question Type: Standard Question
- Required: Yes
- Answer Type: Integer
- Question Requirements:

Please reference the most recent NAICS code file: [NAICS](#)

#### Q\_1142

Indicate the Primary North American Industrial Classification System (NAICS) Code associated with the activity of the business at the project location.

- Question Type: Standard Question
- Required: Yes
- Answer Type: Integer
- Question Requirements:

For help determining the NAICS Code, click [HERE](#)

#### Q\_5590

Please provide a concise narrative describing the applicant's history and current operations. Include information about company/organization size, products, services, market share, position within the industry, competitors and the year in which the company was formed, etc.

- Question Type: Standard Question
- Required: Yes
- Answer Type: Long Answer
- Characters: 1 - 1000

#### Q\_12654

Has the applicant applied for and/or been awarded funding from other New York State or local entities for this project?

- Question Type: Standard Question
- Required: Yes
- Answer Type: Yes/No
- This is a conditional question.
  1. If Yes is selected then these questions will be displayed:
    - [Q\\_12655](#)
    - [Q\\_13264](#)

#### Q\_12655

Please detail the funding applied to for this project and the entity administering the funding. Do not include funding that has been awarded.

- Question Type: Standard Question
- Required: Yes
- Answer Type: Long Answer
- Characters: 1 - 850
- This is a conditional question based on the answer to [Q\\_12654](#). This question displays when selecting the answer: "Yes"

#### Q\_13264

Please detail the funding awarded to this project and the entity administering the funding.

- Question Type: Standard Question
- Required: Yes
- Answer Type: Long Answer
- Characters: 0 - 850
- This is a conditional question based on the answer to [Q\\_12654](#). This question displays when selecting the answer: "Yes"

#### Q\_13265

Has the applicant applied to and/or been awarded funding from a local IDA?

- Question Type: Standard Question
- Required: Yes
- Answer Type: Yes/No
- This is a conditional question.
  1. If Yes is selected then these questions will be displayed:
    - [Q\\_13266](#)
    - [Q\\_13267](#)

#### Q\_13266

Please detail the funding applied for this project and the entity administering the funding. Do not include funding that has been awarded.

- Question Type: Standard Question
- Required: Yes
- Answer Type: Long Answer
- Characters: 0 - 850
- This is a conditional question based on the answer to [Q\\_13265](#). This question displays when selecting the answer: "Yes"

#### Q\_13267

Please detail the funding awarded to this project and the entity administering the funding.

- Question Type: Standard Question
- Required: Yes
- Answer Type: Long Answer
- Characters: 0 - 850
- This is a conditional question based on the answer to [Q\\_13265](#). This question displays when selecting the answer: "Yes"

#### Q\_12656

Has this project received offers or is it seeking funding opportunities from other states beside New York?

- Question Type: Standard Question
- Required: Yes
- Answer Type: Yes/No
- This is a conditional question.
  1. If Yes is selected then [Q\\_12657](#) will be displayed

#### Q\_12657

Please provide detail on other sites and amount/status of incentive offer.

- Question Type: Standard Question
- Required: Yes
- Answer Type: Long Answer
- Characters: 1 - 850
- This is a conditional question based on the answer to [Q\\_12656](#). This question displays when selecting the answer: "Yes"

### Q\_5719

Does the project site involve or is it substantially contiguous to a property listed or recommended for listing in the NY State or National Registers of Historic Places? Consult the [National Register Information System](#) to find out if a property is listed. Or use the [Cultural Resource Information System \(CRIS\) tool](#).

See question requirements for more information on SHPO consultation.

- Question Header: Environmental and Historic Preservation Questions
- Question Type: Standard Question
- Required: Yes
- Answer Type: Single Choice Radio Button
- Choice Options: Yes, No, N/A
- This is a conditional question.
  1. If Yes is selected then [Q\\_5721](#) will be displayed
- Question Requirements:

If "yes", the project requires consultation with the [State Historic Preservation Office \(SHPO\)](#).

### Q\_5721

Please identify the name of the resource, explain the status of the SHPO consultation, and if consultation is complete, please provide a link to SHPO's Letter of Determination of No Adverse Effect or Letter of Resolution to Mitigate Adverse Effect.

- Question Header: Environmental and Historic Preservation Questions
- Question Type: Standard Question
- Required: Yes
- Answer Type: Long Answer
- Characters: 1 - 600
- This is a conditional question based on the answer to [Q\\_5719](#). This question displays when selecting the answer: "Yes"

### Q\_5720

Is the project site wholly or partially included within an identified archeologically sensitive area?

Consult the [National Register Information System](#) to find out if a property is listed. Or use the [Cultural Resource Information System \(CRIS\) tool](#) to identify archeologically sensitive areas. See question requirements for more information on SHPO consultation.

- Question Header: Environmental and Historic Preservation Questions
- Question Type: Standard Question
- Required: Yes
- Answer Type: Single Choice Radio Button
- Choice Options: Yes, No, N/A
- This is a conditional question.
  1. If Yes is selected then [Q\\_5722](#) will be displayed
- Question Requirements:

If "yes", the project requires consultation with the [State Historic Preservation Office \(SHPO\)](#).

### Q\_5722

Please list the geographic information for the archeologically sensitive areas, explain the status of SHPO consultation, and if consultation is complete, please provide a link to SHPO's Letter of Determination of No Adverse Effect or Letter of Resolution to Mitigate Adverse Effect.

- Question Header: Environmental and Historic Preservation Questions
- Question Type: Standard Question
- Required: Yes
- Answer Type: Long Answer
- Characters: 1 - 600
- This is a conditional question based on the answer to [Q\\_5720](#). This question displays when selecting the answer: "Yes"

### Q\_6069

Does your application contain 1) trade secrets, (2) information that, if disclosed, would cause substantial injury to the competitive position of your organization, or (3) critical infrastructure information? (All efforts should be made to provide such Information in the questions marked as "restricted.")

- Question Header: Other Project Questions
- Question Type: Standard Question
- Required: Yes
- Answer Type: Yes/No
- This is a conditional question.
  1. If Yes is selected then [Q\\_6070](#) will be displayed
- Question Requirements:

Applications to the State, including their accompanying documents, are subject to the Freedom of Information Law (FOIL) found in [Article 6 of the N.Y. Public Officer Law](#). FOIL provides that certain records are exempt from disclosure, including those that contain (1) trade secrets, (2) information that, if disclosed, would cause substantial injury to the competitive position of your organization, or (3) critical infrastructure information. Records may be redacted to protect only the portions of documents that fall within a FOIL exemption. An entire document may not be withheld if only a portion of the document is exempt from disclosure. Blanket assertions that information is a trade secret, confidential, or proprietary are insufficient to justify withholding information under FOIL. The identified information will be reviewed and a determination will be made as to whether the information is exempt from disclosure under FOIL. The State's determination may be appealed pursuant to POL §89(5)(c). Pursuant to POL §87(2)(b), the State will redact information that "if disclosed would constitute an unwarranted invasion of personal privacy."

### Q\_6070

Please identify the Question # and specific language for those portions of your application and accompanying documents you believe fall under these exemptions, and provide a detailed justification for the exemption from disclosure. See 'Click Here for Question Requirements' for formatting and additional information.

- Question Header: Other Project Questions
- Question Type: Standard Question
- Required: Yes
- Answer Type: Long Answer
- Characters: 0 -
- This is a conditional question based on the answer to [Q\\_6069](#). This question displays when selecting the answer: "Yes"
- Question Requirements:

Examples:

Q\_1234 - The information provided in Line 6 includes the vendor, equipment specifications and a cost structure that will give "John Smith's Manufacturing Inc." a competitive disadvantage if our competitors were to find out the specifications of the machines used to manufacture our product.

Q\_4321 (Attachment) – Page 3, lines 6, 7, and 8, contains specifics of our manufacturing process that are proprietary and if revealed would put "John Smith's Manufacturing Inc." at a competitive disadvantage.

### Q\_3012

Qualified Investments Land Acquisition Year 1

- Question Type: Standard Question
- Required: Yes
- Answer Type: Money
- Default Answer: 0
- Question Requirements:
  - \* Eligible investment for ESD Grant Funds; generally not eligible investment for Excelsior Jobs Program.

### **Q\_3006**

Qualified Investments -Building Acquisition Year 1

- Question Type: Standard Question
- Required: Yes
- Answer Type: Money
- Default Answer: 0
- Question Requirements:

Excelsior Jobs Program: Qualified investments are tangible personal property, including a building or structural component of a building, owned by the applicant that is depreciable per IRC Section 164, has a useful life of 4 years or more, is acquired by purchase as defined in IRC Section 179(d), is located in NYS, and is placed in service on or after the date certified eligible for the Excelsior Jobs Program.

### **Q\_3014**

Qualified Investments Demolition & environmental remediation Year 1

- Question Type: Standard Question
- Required: Yes
- Answer Type: Money
- Default Answer: 0

### **Q\_3007**

Qualified Investments Building Renovation Year 1

- Question Type: Standard Question
- Required: Yes
- Answer Type: Money
- Default Answer: 0

### **Q\_3008**

Qualified Investments New Construction Year 1

- Question Type: Standard Question
- Required: Yes
- Answer Type: Money
- Default Answer: 0

### **Q\_3009**

Qualified Investments Production Machinery & Equipment Year 1

- Question Type: Standard Question
- Required: Yes
- Answer Type: Money
- Default Answer: 0

### **Q\_3010**

Qualified Investments Furniture, fixtures & equipment Year 1

- Question Type: Standard Question
- Required: Yes
- Answer Type: Money
- Default Answer: 0

### **Q\_3015**

Qualified Investments Soft Costs Year 1

- Question Type: Standard Question
- Required: Yes
- Answer Type: Money
- Default Answer: 0

### **Q\_3024**

Qualified Investments Land Acquisition \* Year 2

- Question Type: Standard Question
- Required: Yes
- Answer Type: Money
- Default Answer: 0

### **Q\_3017**

Qualified Investments Building Acquisition Year 2

- Question Type: Standard Question
- Required: Yes
- Answer Type: Money
- Default Answer: 0

### **Q\_3027**

Qualified Investments Demolition Year 2

- Question Type: Standard Question
- Required: Yes
- Answer Type: Money
- Default Answer: 0

### **Q\_3018**

Qualified Investments Building Renovation Year 2

- Question Type: Standard Question
- Required: Yes
- Answer Type: Money
- Default Answer: 0

**Q\_3019**

Qualified Investments New Construction Year 2

- Question Type: Standard Question
- Required: Yes
- Answer Type: Money
- Default Answer: 0

**Q\_3020**

Qualified Investments Production Machinery Year 2

- Question Type: Standard Question
- Required: Yes
- Answer Type: Money
- Default Answer: 0

**Q\_3022**

Qualified Investments Furniture, fixtures Year 2

- Question Type: Standard Question
- Required: Yes
- Answer Type: Money
- Default Answer: 0

**Q\_3028**

Qualified Investments Soft Costs Year 2

- Question Type: Standard Question
- Required: Yes
- Answer Type: Money
- Default Answer: 0

**Q\_3036**

Qualified Investments Land Acquisition \* Year 3

- Question Type: Standard Question
- Required: Yes
- Answer Type: Money
- Default Answer: 0

**Q\_3030**

Qualified Investments Building Acquisition Year 3

- Question Type: Standard Question
- Required: Yes
- Answer Type: Money
- Default Answer: 0

**Q\_3039**

Qualified Investments Demolition Year 3

- Question Type: Standard Question
- Required: Yes
- Answer Type: Money
- Default Answer: 0

**Q\_3031**

Qualified Investments Building Renovation Year 3

- Question Type: Standard Question
- Required: Yes
- Answer Type: Money
- Default Answer: 0

**Q\_3032**

Qualified Investments New Construction Year 3

- Question Type: Standard Question
- Required: Yes
- Answer Type: Money
- Default Answer: 0

**Q\_3033**

Qualified Investments Production Machinery Year 3

- Question Type: Standard Question
- Required: Yes
- Answer Type: Money
- Default Answer: 0

**Q\_3034**

Qualified Investments Furniture, fixtures Year 3

- Question Type: Standard Question
- Required: Yes

- Answer Type: Money
- Default Answer: 0

### Q\_3040

Qualified Investments Soft Costs Year 3

- Question Type: Standard Question
- Required: Yes
- Answer Type: Money
- Default Answer: 0

### Q\_3048

Qualified Investments Land Acquisition \* Year 4

- Question Type: Standard Question
- Required: Yes
- Answer Type: Money
- Default Answer: 0

### Q\_3042

Qualified Investments Building Acquisition year 4

- Question Type: Standard Question
- Required: Yes
- Answer Type: Money
- Default Answer: 0

### Q\_3050

Qualified Investments Demolition Year 4

- Question Type: Standard Question
- Required: Yes
- Answer Type: Money
- Default Answer: 0

### Q\_3043

Qualified Investments Building Renovation Year 4

- Question Type: Standard Question
- Required: Yes
- Answer Type: Money
- Default Answer: 0

### Q\_3044

Qualified Investments New Construction Year 4

- Question Type: Standard Question
- Required: Yes
- Answer Type: Money
- Default Answer: 0

### Q\_3045

Qualified Investments Production Machinery Year 4

- Question Type: Standard Question
- Required: Yes
- Answer Type: Money
- Default Answer: 0

### Q\_3046

Qualified Investments Furniture, fixtures Year 4

- Question Type: Standard Question
- Required: Yes
- Answer Type: Money
- Default Answer: 0

### Q\_3051

Qualified Investments Soft Costs Year 4

- Question Type: Standard Question
- Required: Yes
- Answer Type: Money
- Default Answer: 0

### Q\_3059

Qualified Investments Land Acquisition \* Year 5

- Question Type: Standard Question
- Required: Yes
- Answer Type: Money
- Default Answer: 0

### Q\_3053

Qualified Investments Building Acquisition

- Question Type: Standard Question
- Required: Yes
- Answer Type: Money
- Default Answer: 0

### Q\_3061

Qualified Investments Demolition Year 5

- Question Type: Standard Question
- Required: Yes
- Answer Type: Money
- Default Answer: 0

### Q\_3054

Qualified Investments Building Renovation Year 5

- Question Type: Standard Question
- Required: Yes
- Answer Type: Money
- Default Answer: 0

### Q\_3055

Qualified Investments New Construction Year 5

- Question Type: Standard Question
- Required: Yes
- Answer Type: Money
- Default Answer: 0

### Q\_3056

Qualified Investments Production Machinery Year 5

- Question Type: Standard Question
- Required: Yes
- Answer Type: Money
- Default Answer: 0

### Q\_3057

Qualified Investments Furniture, fixtures Year 5

- Question Type: Standard Question
- Required: Yes
- Answer Type: Money
- Default Answer: 0

### Q\_3062

Qualified Investments Soft Costs Year 5

- Question Header: QUALIFIED INVESTMENT TABLE INSTRUCTIONS

Please enter the annual anticipated Project Costs based on the year that the investment will be made. These Project Costs should match the information in the Program Budget section.

Please note:

1. Anything marked with an asterisks (\*) is typically considered an eligible investment for ESD Regional Council Capital Funds but generally not an eligible investment for the Excelsior Jobs Program.

- Question Type: Standard Question
- Required: Yes
- Answer Type: Money
- Default Answer: 0

### Q\_1409

What is the first project year? (e.g. the year equipment will be ordered or when first expenditures are expected to be made)

- Question Type: Standard Question
- Required: Yes
- Answer Type: Short Answer

### Q\_15921

Is there any additional information you wish to provide that is relevant to your organization or project to be considered? If so, please provide.

- Question Type: Standard Question
- Required: No
- Answer Type: Long Answer
- Characters: 0 - 600

### Q\_14398

Do you wish to learn more about incentives for establishing or expanding childcare services on site or nearby?

- Question Type: Standard Question
- Required: Yes
- Answer Type: Yes/No

### Q\_14323

Does your company take advantage of or is considering taking advantage of employer provided childcare federal and state credits?

- Question Header: Responses to the following two questions will not affect potential ESD incentives, but rather are intended to inform New York State strategy as we try to improve access to affordable childcare for your workers and all others in New York.
- Question Type: Standard Question
- Required: Yes
- Answer Type: Yes/No

### Q\_14324

Does your company offer or is your company considering offering dependent care flexible spending accounts?

- Question Header: Responses to the following two questions will not affect potential ESD incentives, but rather are intended to inform New York State strategy as we try to improve access to affordable childcare for your workers and all others in New York.
- Question Type: Standard Question
- Required: Yes
- Answer Type: Yes/No

## Q\_16316

Is the project located in or within a half mile of a Disadvantaged Community as identified by the Disadvantaged Communities map maintained by NYSERDA?

• Question Header: CLIMATE IMPACT STATEMENT

The following questions make up the remainder of the Climate Impact Statement and will assist Empire State Development in determining whether a proposed approval, undertaking, support, or funding for a project by ESD is consistent with the State's greenhouse gas emission reduction targets. Not all questions/answers may be relevant to all projects.

- Question Type: Standard Question
- Required: Yes
- Answer Type: Yes/No

## Q\_16372

Does the project involve new building construction or renovation of an existing building?

You should select 'Yes' only if the project involves new building construction or the renovation of an existing building. If you answer 'Yes' to less than 4 questions in this section (Part B - The Building), not including this question, and are awarded, you may be contacted to incorporate greenhouse gas mitigation measures into your project.

- Question Header: CLIMATE IMPACT STATEMENT - Part B - The Building
- Not all questions/answers may be relevant to all projects.

Please note that if you are required to complete this section (Part B- The Building) and are awarded, if you answer 'Yes' to less than 4 of the questions in this section (Part B - The Building), you will be contacted to incorporate greenhouse gas mitigation measures into your project.

- Question Type: Standard Question
- Required: Yes
- Answer Type: Yes/No
- This is a conditional question.

1. If YES is selected then these questions will be displayed:

- [Q\\_16317](#)
- [Q\\_16319](#)
- [Q\\_16321](#)
- [Q\\_16323](#)
- [Q\\_16325](#)
- [Q\\_16327](#)
- [Q\\_16329](#)
- [Q\\_16331](#)
- [Q\\_16333](#)
- [Q\\_16335](#)
- [Q\\_16337](#)
- [Q\\_16339](#)
- [Q\\_16341](#)
- [Q\\_16343](#)
- [Q\\_16345](#)
- [Q\\_16347](#)
- [Q\\_16350](#)

- Question Requirements:

**Sample GHG Mitigation Measures\***

**Building Design and Operation Measures.**

- Design an energy efficient building envelop to reduce cooling/heating requirements
- Install high-efficiency HVAC systems
- Construct green roofs
- Eliminate or reduce use of refrigerants in HVAC systems
- Use high-albedo roofing materials
- Maximize interior daylighting
- Reduce energy demand using peak shaving or load shifting strategies
- Incorporate window glazing to optimize daylighting, heat loss and solar heat gain
- Incorporate super insulation to minimize heat loss
- Incorporate motion sensors and lighting and climate control
- Use efficient, directed exterior lighting
- Use water conserving fixtures that exceed building code requirements
- Re-use gray water and/or collect and re-use rainwater
- Provide for storage and collection of recyclables (including paper, corrugated cardboard, glass, plastic and metals) in building design
- Re-use building materials and products
- Use building materials with recycled content
- Use building materials that are extracted and/or manufactured within the region
- Use rapidly renewable building materials
- Use wood that is locally produced and/or certified in accordance with the Sustainable Forestry Initiative or the Forestry Stewardship Council's Principles and Criteria
- Conduct 3rd party building commissioning to ensure energy performance (e.g. LEED)
- Track energy performance of building and develop strategy to maintain efficiency
- Provide construction and design guidelines to facilitate sustainable design for build-out by tenants

**Efficiency Measures for On-site GHG Sources.**

- Use energy efficient boilers, heaters, furnaces, incinerators, or generators
- Use process design efficiency for industrial process sources
- Incorporate co-firing of biomass or use of bio-fuels
- Collect biogas and use for power generation
- Use biodiesel or bioheat for heating fuel or in vehicles/equipment
- Incorporate on-site renewable energy sources into project, such as wind or solar
- Incorporate combined heat and power (CHP) technologies
- Pursue carbon collection, capture, and reuse or sequestration Site

**Selection and Design Measures.**

- Provide access to public transportation
- Minimize energy use through building orientation
- Select brownfields or greyfields for redevelopment to minimize vegetation/forest loss
- Incorporate mixed-use design to promote short commutes for employment and shopping
- Provide permanent protection for open space on the project site
- Manage forested areas for carbon sequestration
- Select site with potential for carbon sequestration (for large CO2 generators)
- Conserve and restore natural areas on-site
- Minimize building footprint
- Design project to support alternative transportation (walking and bicycling)
- Use low impact development for stormwater design
- Design water efficient landscaping

**Transportation Measures.**

- Locate new buildings in or near areas designated for transit-oriented development (TOD)
- Incorporate TOD principles in employee and customer activity patterns
- Purchase alternative fuel and/or fuel efficient vehicles for fleet, including the range of maintenance and operation vehicles used on-site.
- Incorporate idling reduction policies
- Join or form a Transportation Management Association
- Provide new transit service or support extension/expansion of existing transit (buses, trains, shuttles, water transportation)
- Support expansion of parking at Park-n-Ride Lots and/or transit stations
- Develop or support multi-use paths to and through site
- Size parking capacity to meet, but not exceed, local parking requirements and, where possible, seek reductions in parking supply through special permits or waivers
- Pursue opportunities to minimize parking supply through shared or banked parking
- Develop a parking management program to minimize parking requirements such as parking cash-out, parking charges, preferential carpool or vanpool parking, limiting parking available to employees
- Develop and implement a marketing/information program that includes posting and distribution of ride sharing transit information
- Subsidize transit passes
- Provide for the use of pre-tax dollars for non-single occupancy vehicle commuting costs
- Reduce employee trips during peak periods through alternative work schedules, telecommuting and/or flex-time
- Provide a guaranteed ride home program
- Provide on-site amenities such as banks, dry cleaning, food service, childcare
- Provide bicycle storage and showers/changing rooms
- Roadway improvements to improve traffic flow
- Traffic signalization and coordination to improve traffic flow and support pedestrian and bicycle safety

**Waste Reduction or Management Measures.**

- For landfills, recover organics from waste streams, enhance landfill gas collection, use flaring, or use landfill gas for energy production
- Utilize composting
- Promote and facilitate recycling
- Incorporate internal environmental accounting practices to promote waste reduction

\* Sample GHG mitigation measures compiled by DEC as a menu of possible items to implement. Many of these options are already in regular use and have successfully been deployed to reduce energy use, energy costs, and GHG emissions

## Q\_16317

Is the project an adaptive reuse of an existing building?

- Question Type: Standard Question
- Required: Yes
- Answer Type: Single Choice Dropdown
- Choice Options: YES, NO, N/A
- This is a conditional question.

1. If **NO** is selected then **Q\_16373** will be displayed
  2. If **YES** is selected then **Q\_16318** will be displayed
- This is a conditional question based on the answer to [Q\\_16372](#). This question displays when selecting the answer: "YES"

### Q\_16318

Explain briefly:

- Question Type: Standard Question
- Required: Yes
- Answer Type: Short Answer
- This is a conditional question based on the answer to [Q\\_16317](#). This question displays when selecting the answer: "YES"

### Q\_16373

Explain briefly:

- Question Type: Standard Question
- Required: Yes
- Answer Type: Short Answer
- This is a conditional question based on the answer to [Q\\_16317](#). This question displays when selecting the answer: "NO"

### Q\_16319

Will the project reuse building materials?

- Question Type: Standard Question
- Required: Yes
- Answer Type: Single Choice Dropdown
- Choice Options: YES, NO, N/A
- This is a conditional question.
  1. If **NO** is selected then **Q\_16374** will be displayed
  2. If **YES** is selected then **Q\_16320** will be displayed
- This is a conditional question based on the answer to [Q\\_16372](#). This question displays when selecting the answer: "YES"

### Q\_16320

Explain briefly:

- Question Type: Standard Question
- Required: Yes
- Answer Type: Short Answer
- This is a conditional question based on the answer to [Q\\_16319](#). This question displays when selecting the answer: "YES"

### Q\_16374

Explain briefly:

- Question Type: Standard Question
- Required: Yes
- Answer Type: Short Answer
- This is a conditional question based on the answer to [Q\\_16319](#). This question displays when selecting the answer: "NO"

### Q\_16321

Will the project recycle rehabbed building materials?

- Question Type: Standard Question
- Required: Yes
- Answer Type: Single Choice Dropdown
- Choice Options: YES, NO, N/A
- This is a conditional question.
  1. If **NO** is selected then **Q\_16375** will be displayed
  2. If **YES** is selected then **Q\_16322** will be displayed
- This is a conditional question based on the answer to [Q\\_16372](#). This question displays when selecting the answer: "YES"

### Q\_16322

Explain briefly:

- Question Type: Standard Question
- Required: Yes
- Answer Type: Short Answer
- This is a conditional question based on the answer to [Q\\_16321](#). This question displays when selecting the answer: "YES"

### Q\_16375

Explain briefly:

- Question Type: Standard Question
- Required: Yes
- Answer Type: Short Answer
- This is a conditional question based on the answer to [Q\\_16321](#). This question displays when selecting the answer: "NO"

### Q\_16323

Will the project incorporate low-carbon building materials into construction?

Some examples of low-carbon materials and products in the market include low-carbon bricks, concrete, tiles and recycled metals.

- Question Type: Standard Question
- Required: Yes
- Answer Type: Single Choice Dropdown
- Choice Options: YES, NO, N/A
- This is a conditional question.
  1. If **NO** is selected then **Q\_16376** will be displayed
  2. If **YES** is selected then **Q\_16324** will be displayed
- This is a conditional question based on the answer to [Q\\_16372](#). This question displays when selecting the answer: "YES"

### Q\_16324

Explain briefly:

- Question Type: Standard Question
- Required: Yes
- Answer Type: Short Answer
- This is a conditional question based on the answer to [Q\\_16323](#). This question displays when selecting the answer: "YES"

### Q\_16376

Explain briefly:

- Question Type: Standard Question
- Required: Yes
- Answer Type: Short Answer
- This is a conditional question based on the answer to [Q\\_16323](#). This question displays when selecting the answer: "NO"

### Q\_16325

Will the project utilize an energy efficient building envelope or improve building weatherization through, for example, insulation, air sealing, and/or replacing poorly performing windows and doors?

- Question Type: Standard Question
- Required: Yes
- Answer Type: Single Choice Dropdown
- Choice Options: YES, NO, N/A
- This is a conditional question.
  1. If **NO** is selected then [Q\\_16377](#) will be displayed
  2. If **YES** is selected then [Q\\_16326](#) will be displayed
- This is a conditional question based on the answer to [Q\\_16372](#). This question displays when selecting the answer: "YES"

### Q\_16326

Explain briefly:

- Question Type: Standard Question
- Required: Yes
- Answer Type: Short Answer
- This is a conditional question based on the answer to [Q\\_16325](#). This question displays when selecting the answer: "YES"

### Q\_16377

Explain briefly:

- Question Type: Standard Question
- Required: Yes
- Answer Type: Short Answer
- This is a conditional question based on the answer to [Q\\_16325](#). This question displays when selecting the answer: "NO"

### Q\_16327

Will the project adopt measures to avoid, reduce or re-use waste?

- Question Type: Standard Question
- Required: Yes
- Answer Type: Single Choice Dropdown
- Choice Options: YES, NO, N/A
- This is a conditional question.
  1. If **NO** is selected then [Q\\_16378](#) will be displayed
  2. If **YES** is selected then [Q\\_16328](#) will be displayed
- This is a conditional question based on the answer to [Q\\_16372](#). This question displays when selecting the answer: "YES"

### Q\_16328

Explain briefly:

- Question Type: Standard Question
- Required: Yes
- Answer Type: Short Answer
- This is a conditional question based on the answer to [Q\\_16327](#). This question displays when selecting the answer: "YES"

### Q\_16378

Explain briefly:

- Question Type: Standard Question
- Required: Yes
- Answer Type: Short Answer
- This is a conditional question based on the answer to [Q\\_16327](#). This question displays when selecting the answer: "NO"

### Q\_16329

Will the project utilize climate friendly refrigerants (those with a Global Warming Potential of less than 10) instead of hydrofluorocarbons?

- Question Type: Standard Question
- Required: Yes
- Answer Type: Single Choice Dropdown
- Choice Options: YES, NO, N/A
- This is a conditional question.
  1. If **NO** is selected then [Q\\_16379](#) will be displayed
  2. If **YES** is selected then [Q\\_16330](#) will be displayed
- This is a conditional question based on the answer to [Q\\_16372](#). This question displays when selecting the answer: "YES"

### Q\_16330

Explain briefly:

- Question Type: Standard Question
- Required: Yes
- Answer Type: Short Answer
- This is a conditional question based on the answer to [Q\\_16329](#). This question displays when selecting the answer: "YES"

### Q\_16379

Explain briefly:

- Question Type: Standard Question
- Required: Yes
- Answer Type: Short Answer
- This is a conditional question based on the answer to [Q\\_16329](#). This question displays when selecting the answer: "NO"

### Q\_16331

Will the project incorporate energy efficiency measures such as smart thermostats, energy efficient lighting, or heat pumps for space heating and cooling?

- Question Type: Standard Question
- Required: Yes
- Answer Type: Single Choice Dropdown
- Choice Options: YES, NO, N/A
- This is a conditional question.
  1. If **NO** is selected then **Q\_16380** will be displayed
  2. If **YES** is selected then **Q\_16338** will be displayed
- This is a conditional question based on the answer to [Q\\_16372](#). This question displays when selecting the answer: "YES"

#### Q\_16338

Explain briefly:

- Question Type: Standard Question
- Required: Yes
- Answer Type: Short Answer
- This is a conditional question based on the answer to [Q\\_16331](#). This question displays when selecting the answer: "YES"

#### Q\_16380

Explain briefly:

- Question Type: Standard Question
- Required: Yes
- Answer Type: Short Answer
- This is a conditional question based on the answer to [Q\\_16331](#). This question displays when selecting the answer: "NO"

#### Q\_16339

Will the project make use of thermal energy networks, such as those that utilize, for example, ground-source heat pumps, waste heat, or industrial process heat to provide space heating, cooling and/or domestic hot water?

- Question Type: Standard Question
- Required: Yes
- Answer Type: Single Choice Dropdown
- Choice Options: YES, NO, N/A
- This is a conditional question.
  1. If **NO** is selected then **Q\_16381** will be displayed
  2. If **YES** is selected then **Q\_16340** will be displayed
- This is a conditional question based on the answer to [Q\\_16372](#). This question displays when selecting the answer: "YES"

#### Q\_16340

Explain briefly:

- Question Type: Standard Question
- Required: Yes
- Answer Type: Short Answer
- This is a conditional question based on the answer to [Q\\_16339](#). This question displays when selecting the answer: "YES"

#### Q\_16381

Explain briefly:

- Question Type: Standard Question
- Required: Yes
- Answer Type: Short Answer
- This is a conditional question based on the answer to [Q\\_16339](#). This question displays when selecting the answer: "NO"

#### Q\_16341

Will the project incorporate infrastructure for charging/fueling zero-emission vehicles?

- Question Type: Standard Question
- Required: Yes
- Answer Type: Single Choice Dropdown
- Choice Options: YES, NO, N/A
- This is a conditional question.
  1. If **NO** is selected then **Q\_16382** will be displayed
  2. If **YES** is selected then **Q\_16342** will be displayed
- This is a conditional question based on the answer to [Q\\_16372](#). This question displays when selecting the answer: "YES"

#### Q\_16342

Explain briefly:

- Question Type: Standard Question
- Required: Yes
- Answer Type: Short Answer
- This is a conditional question based on the answer to [Q\\_16341](#). This question displays when selecting the answer: "YES"

#### Q\_16382

Explain briefly:

- Question Type: Standard Question
- Required: Yes
- Answer Type: Short Answer
- This is a conditional question based on the answer to [Q\\_16341](#). This question displays when selecting the answer: "NO"

#### Q\_16343

Will the project install on-site renewable energy systems and/or on-site battery storage systems to contribute to the project's energy needs?

- Question Type: Standard Question
- Required: Yes
- Answer Type: Single Choice Dropdown
- Choice Options: YES, NO, N/A
- This is a conditional question.
  1. If **NO** is selected then **Q\_16383** will be displayed
  2. If **YES** is selected then **Q\_16344** will be displayed
- This is a conditional question based on the answer to [Q\\_16372](#). This question displays when selecting the answer: "YES"

#### Q\_16344

Explain briefly:

- Question Type: Standard Question
- Required: Yes
- Answer Type: Short Answer
- This is a conditional question based on the answer to [Q\\_16343](#). This question displays when selecting the answer: "YES"

### Q\_16383

Explain briefly:

- Question Type: Standard Question
- Required: Yes
- Answer Type: Short Answer
- This is a conditional question based on the answer to [Q\\_16343](#). This question displays when selecting the answer: "NO"

### Q\_16345

Will the project utilize renewable energy for at least 50% of its electricity?

- Question Type: Standard Question
- Required: Yes
- Answer Type: Single Choice Dropdown
- Choice Options: YES, NO, N/A
- This is a conditional question.
  1. If **NO** is selected then [Q\\_16384](#) will be displayed
  2. If **YES** is selected then [Q\\_16346](#) will be displayed
- This is a conditional question based on the answer to [Q\\_16372](#). This question displays when selecting the answer: "YES"

### Q\_16346

Explain briefly:

- Question Type: Standard Question
- Required: Yes
- Answer Type: Short Answer
- This is a conditional question based on the answer to [Q\\_16345](#). This question displays when selecting the answer: "YES"

### Q\_16384

Explain briefly:

- Question Type: Standard Question
- Required: Yes
- Answer Type: Short Answer
- This is a conditional question based on the answer to [Q\\_16345](#). This question displays when selecting the answer: "NO"

### Q\_16347

Will the building systems be 100% electrified?

- Question Type: Standard Question
- Required: Yes
- Answer Type: Single Choice Dropdown
- Choice Options: YES, NO, N/A
- This is a conditional question.
  1. If **NO** is selected then [Q\\_16390](#) will be displayed
  2. If **YES** is selected then [Q\\_16348](#) will be displayed
- This is a conditional question based on the answer to [Q\\_16372](#). This question displays when selecting the answer: "YES"

### Q\_16348

Explain briefly:

- Question Type: Standard Question
- Required: Yes
- Answer Type: Short Answer
- This is a conditional question based on the answer to [Q\\_16347](#). This question displays when selecting the answer: "YES"

### Q\_16390

Explain briefly:

- Question Type: Standard Question
- Required: Yes
- Answer Type: Short Answer
- This is a conditional question based on the answer to [Q\\_16347](#). This question displays when selecting the answer: "NO"

### Q\_16350

Are there any renewable energy or energy efficiency improvements being made to the building as part of the project that are not reflected in the items above?

- Question Type: Standard Question
- Required: Yes
- Answer Type: Single Choice Dropdown
- Choice Options: YES, NO, N/A
- This is a conditional question.
  1. If **NO** is selected then [Q\\_16385](#) will be displayed
  2. If **YES** is selected then [Q\\_16351](#) will be displayed
- This is a conditional question based on the answer to [Q\\_16372](#). This question displays when selecting the answer: "YES"

### Q\_16351

Explain briefly:

- Question Type: Standard Question
- Required: Yes
- Answer Type: Short Answer
- This is a conditional question based on the answer to [Q\\_16350](#). This question displays when selecting the answer: "YES"

### Q\_16385

Explain briefly:

- Question Type: Standard Question
- Required: Yes
- Answer Type: Short Answer
- This is a conditional question based on the answer to [Q\\_16350](#). This question displays when selecting the answer: "NO"

### Q\_16363

Does the project involve the installation, expansion or renovation of a manufacturing process?

- Question Header: CLIMATE IMPACT STATEMENT - Part C -The Manufacturing Process  
Not all questions/answers may be relevant to all projects.
- \*The information in this section (Part C- The Manufacturing Process) is being collected for awareness and informational purposes only at this time.
- Question Type: Standard Question
- Required: Yes
- Answer Type: Yes/No
- This is a conditional question.
  1. If **Yes** is selected then these questions will be displayed:
    - [Q\\_16352](#)
    - [Q\\_16354](#)
    - [Q\\_16357](#)
    - [Q\\_16359](#)
    - [Q\\_16361](#)

### Q\_16352

Will the project use innovative measures to reduce emissions such as low-carbon thermal solutions or carbon capture, storage, and utilization?

- Question Type: Standard Question
- Required: Yes
- Answer Type: Single Choice Dropdown
- Choice Options: YES, NO, N/A
- This is a conditional question.
  1. If **NO** is selected then [Q\\_16386](#) will be displayed
  2. If **YES** is selected then [Q\\_16353](#) will be displayed
- This is a conditional question based on the answer to [Q\\_16363](#). This question displays when selecting the answer: "Yes"

### Q\_16353

Explain briefly:

- Question Type: Standard Question
- Required: Yes
- Answer Type: Short Answer
- This is a conditional question based on the answer to [Q\\_16352](#). This question displays when selecting the answer: "YES"

### Q\_16386

Explain briefly:

- Question Type: Standard Question
- Required: Yes
- Answer Type: Short Answer
- This is a conditional question based on the answer to [Q\\_16352](#). This question displays when selecting the answer: "NO"

### Q\_16354

Will the project use cleaner fuels, such as hydrogen formed without greenhouse gas emissions through the use of electrolysis powered by renewable electricity, to replace or displace the use of fossil fuels?

- Question Type: Standard Question
- Required: Yes
- Answer Type: Single Choice Dropdown
- Choice Options: YES, NO, N/A
- This is a conditional question.
  1. If **NO** is selected then [Q\\_16356](#) will be displayed
  2. If **YES** is selected then [Q\\_16355](#) will be displayed
- This is a conditional question based on the answer to [Q\\_16363](#). This question displays when selecting the answer: "Yes"

### Q\_16355

Explain briefly:

- Question Type: Standard Question
- Required: Yes
- Answer Type: Short Answer
- This is a conditional question based on the answer to [Q\\_16354](#). This question displays when selecting the answer: "YES"

### Q\_16356

Please identify the fuels and systems that will be employed in the manufacturing process and explain why cleaner fuels or electrification will not be used.

- Question Type: Standard Question
- Required: Yes
- Answer Type: Short Answer
- This is a conditional question based on the answer to [Q\\_16354](#). This question displays when selecting the answer: "NO"

### Q\_16357

Will the project incorporate mitigation measures for process emissions?

- Question Type: Standard Question
- Required: Yes
- Answer Type: Single Choice Dropdown
- Choice Options: YES, NO, N/A
- This is a conditional question.
  1. If **NO** is selected then [Q\\_16387](#) will be displayed
  2. If **YES** is selected then [Q\\_16358](#) will be displayed
- This is a conditional question based on the answer to [Q\\_16363](#). This question displays when selecting the answer: "Yes"

### Q\_16358

Explain briefly:

- Question Type: Standard Question
- Required: Yes
- Answer Type: Short Answer
- This is a conditional question based on the answer to [Q\\_16357](#). This question displays when selecting the answer: "YES"

### Q\_16387

Explain briefly:

- Question Type: Standard Question

- Required: Yes
- Answer Type: Short Answer
- This is a conditional question based on the answer to [Q\\_16357](#). This question displays when selecting the answer: "NO"

### Q\_16359

Will the project use best available control technology for mitigating and controlling greenhouse gas emissions?

- Question Type: Standard Question
- Required: Yes
- Answer Type: Single Choice Dropdown
- Choice Options: YES, NO, N/A
- This is a conditional question.
  1. If NO is selected then [Q\\_16388](#) will be displayed
  2. If YES is selected then [Q\\_16360](#) will be displayed
- This is a conditional question based on the answer to [Q\\_16363](#). This question displays when selecting the answer: "Yes"

### Q\_16360

Explain briefly:

- Question Type: Standard Question
- Required: Yes
- Answer Type: Short Answer
- This is a conditional question based on the answer to [Q\\_16359](#). This question displays when selecting the answer: "YES"

### Q\_16388

Explain briefly:

- Question Type: Standard Question
- Required: Yes
- Answer Type: Short Answer
- This is a conditional question based on the answer to [Q\\_16359](#). This question displays when selecting the answer: "NO"

### Q\_16361

Are there any renewable energy or energy efficiency improvements being made to the manufacturing process as part of the project that are not reflected in the items above?

- Question Type: Standard Question
- Required: Yes
- Answer Type: Single Choice Dropdown
- Choice Options: YES, NO, N/A
- This is a conditional question.
  1. If NO is selected then [Q\\_16389](#) will be displayed
  2. If YES is selected then [Q\\_16362](#) will be displayed
- This is a conditional question based on the answer to [Q\\_16363](#). This question displays when selecting the answer: "Yes"

### Q\_16362

Explain briefly:

- Question Type: Standard Question
- Required: Yes
- Answer Type: Short Answer
- This is a conditional question based on the answer to [Q\\_16361](#). This question displays when selecting the answer: "YES"

### Q\_16389

Explain briefly:

- Question Type: Standard Question
- Required: Yes
- Answer Type: Short Answer
- This is a conditional question based on the answer to [Q\\_16361](#). This question displays when selecting the answer: "NO"

### Q\_13611

The NYS Smart Growth Public Infrastructure Policy Act requires that a public infrastructure project meet the relevant smart growth criterion to the extent practicable. Public Infrastructure projects consist of construction or reconstruction of transportation, sewer, wastewater treatment, water, education, housing and other publicly supported infrastructure. Does the proposed project build new public infrastructure, expand public infrastructure or use, maintain, or improve existing public infrastructure?

If you are maintaining or improving existing public infrastructure, please answer "YES". If you are building new public infrastructure or expanding public infrastructure, please answer "YES".

If this is not applicable to your project, answer "NO".

- Question Header: Smart Growth Questions
- Question Type: Smart Growth
- Required: Yes
- Answer Type: Yes/No
- This is a conditional question.
  1. If Yes is selected then these questions will be displayed:
    - [Q\\_13612](#)
    - [Q\\_13613](#)
    - [Q\\_13614](#)
    - [Q\\_13615](#)
    - [Q\\_13616](#)
    - [Q\\_13617](#)
    - [Q\\_13618](#)
    - [Q\\_13619](#)
    - [Q\\_13620](#)
    - [Q\\_13621](#)
    - [Q\\_13622](#)
    - [Q\\_13623](#)

### Q\_13613

Please explain the need to build new infrastructure instead of using or improving existing infrastructure. If n/a, please type N/A.

- Question Header: Smart Growth Questions
- Question Type: Smart Growth
- Required: Yes
- Answer Type: Long Answer
- Characters: 0 - 1000
- This is a conditional question based on the answer to [Q\\_13611](#). This question displays when selecting the answer: "Yes"

### Q\_13612

Explain how the proposed project will use, maintain, or improve existing infrastructure.

- Question Header: Smart Growth Questions
- Question Type: Smart Growth

- Required: Yes
- Answer Type: Short Answer
- This is a conditional question based on the answer to [Q\\_13611](#). This question displays when selecting the answer: "Yes"

### Q\_13614

Please explain how the proposed project is located in a municipal center.

- Question Header: Smart Growth Questions
- Question Type: Smart Growth
- Required: Yes
- Answer Type: Short Answer
- This is a conditional question based on the answer to [Q\\_13611](#). This question displays when selecting the answer: "Yes"
- Question Requirements:

Municipal Centers are areas of concentrated and mixed land use that serve as centers of various activities (civic, commercial, recreational, and residential, among others). Specific examples include Central Business Districts; Brownfield Opportunity Areas (BOAs); Downtowns in Local Waterfront Revitalization Program (LWRP) Areas; Transit-Oriented Development, Environmental Justice Areas and Hardship Areas; in many instances, an entire city, village or hamlet can be considered a municipal center. This definition can include development "adjacent to municipal centers" and a "future municipal center" – an area planned and zoned to be a municipal center.

For specific guidance on rail/port, aviation, and other transportation projects please refer to [Smart Growth Public Infrastructure Policy Act](#)

### Q\_13615

Please explain how the proposed project is located in a developed area or an area designated for concentrated infill development in a municipally approved comprehensive land use plan, local waterfront revitalization plan and/or brownfield opportunity area plan. Specifically, explain how your project advances infill development or redevelopment in existing developed areas consistent with an approved plan. Infill development includes redevelopment, rehabilitation and new development between existing buildings on vacant or under-utilized sites.

- Question Header: Smart Growth Questions
- Question Type: Smart Growth
- Required: Yes
- Answer Type: Short Answer
- This is a conditional question based on the answer to [Q\\_13611](#). This question displays when selecting the answer: "Yes"

### Q\_13616

Please explain how the proposed project will protect, preserve and enhance the State's resources, including agricultural land, forests, surface and groundwater, air quality, recreation and open space, scenic areas, and significant historic and archeological resources. Beyond simply avoiding or minimizing negative environmental impacts, please indicate the resources that may be impacted by your project and how your project will preserve and enhance these resources.

- Question Header: Smart Growth Questions
- Question Type: Smart Growth
- Required: Yes
- Answer Type: Short Answer
- This is a conditional question based on the answer to [Q\\_13611](#). This question displays when selecting the answer: "Yes"

### Q\_13617

Please explain how the proposed project will foster mixed land uses and compact development, downtown revitalization, Brownfield redevelopment, the enhancement of beauty in public spaces, the diversity and affordability of housing in proximity to places of employment, recreation and commercial development and the integration of all income and age groups. Specifically, explain how your project advances these objectives and improves the quality of life in your community.

- Question Header: Smart Growth Questions
- Question Type: Smart Growth
- Required: Yes
- Answer Type: Short Answer
- This is a conditional question based on the answer to [Q\\_13611](#). This question displays when selecting the answer: "Yes"

### Q\_13618

Please explain how the proposed project will provide mobility through transportation choices including improved public transportation and reduced automobile dependency. There are many alternatives to automobile transportation. Please explain how your project provides or complements alternatives to automobile travel such as bikes, pedestrians, public transit, air travel or rail travel.

- Question Header: Smart Growth Questions
- Question Type: Smart Growth
- Required: Yes
- Answer Type: Short Answer
- This is a conditional question based on the answer to [Q\\_13611](#). This question displays when selecting the answer: "Yes"

### Q\_13619

Please explain how the proposed project will involve coordination between state and local government and inter-municipal and regional planning. Identify any interaction between the applicant and any municipal and county governments, planning boards, regional planning associations or similar organizations. Document any outreach by the applicant to these organizations regarding the project and any relevant correspondence.

- Question Header: Smart Growth Questions
- Question Type: Smart Growth
- Required: Yes
- Answer Type: Short Answer
- This is a conditional question based on the answer to [Q\\_13611](#). This question displays when selecting the answer: "Yes"

### Q\_13620

Please explain how the proposed project will involve participation in community-based planning and collaboration. Specifically, explain how the project results from an inclusive, multi-stakeholder (including traditionally underserved populations) process of community-based planning and collaboration. To assist with your explanation, identify any affected community groups or organizations with an interest in the proposed project and if the planning process involved outreach to citizens and stakeholders at all stages of development of the project.

- Question Header: Smart Growth Questions
- Question Type: Smart Growth
- Required: Yes
- Answer Type: Short Answer
- This is a conditional question based on the answer to [Q\\_13611](#). This question displays when selecting the answer: "Yes"

### Q\_13621

Please explain how the proposed project will ensure predictability in building and land use codes. Provide any additional relevant information.

- Question Header: Smart Growth Questions
- Question Type: Smart Growth
- Required: Yes
- Answer Type: Short Answer
- This is a conditional question based on the answer to [Q\\_13611](#). This question displays when selecting the answer: "Yes"

### Q\_13622

Please explain how the proposed project will promote sustainability by strengthening existing and creating new communities which reduce greenhouse gas emissions and do not compromise the needs of future generations, by among other means encouraging broad based public involvement in developing and implementing a community plan and ensuring the governance structure is adequate to sustain its implementation. Specifically, explain how your project promotes sustainability. For example, does your project include buildings and plans that seek to minimize consumption of fossil fuels (coal, petroleum), reduce water usage / consumption, and encourage the use of renewable energy (wind, solar, and geo-thermal).

- Question Header: Smart Growth Questions
- Question Type: Smart Growth
- Required: Yes
- Answer Type: Short Answer
- This is a conditional question based on the answer to [Q\\_13611](#). This question displays when selecting the answer: "Yes"

### Q\_13623

Please explain how the proposed project will mitigate future physical climate risk due to sea-level rise, and/or storm surges and/or flooding, based on available data predicting the likelihood of future extreme weather events, including hazard risk analysis data.

Specifically, explain how your project demonstrates that future physical climate risk due to sea-level rise, storm surge and flooding have been considered. For example, have you demonstrated consideration of the flood risk applicable to your specific structure type? Explain how the siting and design have evaluated flood-risk considerations, including, but not limited to, human health and safety, environmental effects, cost, funding-source requirements, feasibility and community impact.

For information on the State Climate Impacts Assessment visit:

<https://nysclimateimpacts.org/about-the-assessment/what-is-the-assessment/>

For information on implementation of the Community Risk and Resiliency Act visit:

<https://dec.ny.gov/environmental-protection/climate-change/new-york-response/crra>

- Question Header: Smart Growth Questions
- Question Type: Smart Growth
- Required: Yes
- Answer Type: Short Answer
- This is a conditional question based on the answer to [Q\\_13611](#). This question displays when selecting the answer: "Yes"
- Question Requirements:

### Q\_1038

By entering your name in the box below, you certify that you are authorized on behalf of the applicant and its governing body to submit this application. You further certify that all of the information contained in this Application and in all statements, data and supporting documents which have been made or furnished for the purpose of receiving assistance for the project described in this application, are true, correct and complete to the best of your knowledge and belief. You acknowledge that offering a written instrument knowing that the written instrument contains a false statement or false information, with the intent to defraud the State or any political subdivision, public authority or public benefit corporation of the State, with the knowledge or belief that it will be filed with or recorded by the State or any political subdivision, public authority or public benefit corporation of the State, constitutes a crime under New York State Law.

- Question Type: Certification
- Required: Yes
- Answer Type: Short Answer

### Q\_2365

By entering your name in the box below, you are acknowledging that ESD's Contractor & Supplier Diversity policy will apply to this project. You are further acknowledging that you are aware of ESD's agency-wide Minority and Women Business Enterprise ("MWBE") utilization goal of 30% and ESD's agency wide Service-Disabled Veteran-Owned Business ("SDVOB") utilization goal of 6%. Please note that each project will be assigned an individual contract-specific goal, which may be higher or lower than the 30% and 6%, respectively. Furthermore, you understand that, should this project receive a funding award, the Applicant shall be required to use good faith efforts to achieve the prescribed MWBE and SDVOB goals assigned to this project and failure to attain MWBE and SDVOB goals could result in grant amount being reduced.

- Question Type: Certification
- Required: Yes
- Answer Type: Short Answer

### Q\_4182

By entering your name in the box below, you certify and agree that you are aware that your award will be reduced in proportion to the reduction of jobs and/or total project costs. Furthermore, you understand that, should this project receive a funding award, the Applicant will maintain such records and take such actions necessary to demonstrate such compliance throughout the completion of the project.

- Question Type: Certification
- Required: Yes
- Answer Type: Short Answer

### Q\_7341

By entering your name in the box below, you certify, under penalty of perjury, that the information given herein is true and correct in all respects for the company or organization applying for funding (the "Company"), presently and for the past five years: -the Company is not a party to any litigation or any litigation is not pending or anticipated that could have an adverse material effect on the company's financial condition;

-the Company does not have any contingent liabilities that could have a material effect on its solvency;

-the Company, its affiliates or any member of its management or any other concern with which such members of management have been officers or directors, have never been involved in bankruptcy, creditor's rights, or receivership proceedings or sought protection from creditors;

-the Company is not delinquent on any of its state, federal or local tax obligations;

-No principal, officer of the Company, owner or majority stockholder of any firm or corporation, or member of the management has been charged or convicted of a misdemeanor or felony, indicted, granted immunity, convicted of a crime or subject to a judgment, or the subject of an investigation, whether open or closed, by any government entity for a civil or criminal violation for: (i) any business-related activity including, but not limited to, fraud, coercion, extortion, bribe or bribe receiving, giving or accepting unlawful gratuities, immigration or tax fraud, racketeering, mail fraud, wire fraud, price fixing or collusive bidding; or (ii) any crime, whether or not business related, where the underlying conduct relates to truthfulness, including but not limited to, the filing of false documents or false sworn statements, perjury or larceny;

-the Company or any of the Company's affiliates, principal owners or Officers has not received a violation of State Labor Law deemed "willful";

-the Company or any of its affiliates has never been cited for a violation of State, Federal, or local laws or regulations with respect to labor practices, hazardous wastes, environmental pollution or other operating practices;

-there are not any outstanding judgments or liens pending against the Company other than liens in the normal course of business.

-the Company or any of its affiliates, principal owners or officers the company has not been the subject of any judgments, injunctions, or liens including, but not limited to, judgments based on taxes owed, fines and penalties assessed by any governmental agency, or elected official against the Company.

- the Company or any of its affiliates, principal owners or officers the company has not been investigated by any governmental agency, including, but not limited to, federal, state and local regulatory agencies

-the Company or any of its affiliates, principal owners or officers the company has not been debarred from entering into any government contract; been found non-responsible on any government contract; been declared in default or terminated for cause on any government contract; been determined to be ineligible to bid or propose on any contract; been suspended from bidding on any government contract; received an overall unsatisfactory performance rating from any government agency on any contract; agree to a voluntary exclusion from bidding or contracting on a government contract.

- the Company or any of its affiliates, principal owners or officers the company has not failed to file any of the required forms with any government entity regulating the Company. By entering your name in the box below, you agree to allow the Department of Taxation to share the Company tax information with ESD. By entering your name in the box below, you agree to allow the Department of Labor to share tax and employer information with ESD. Note: If any of the statements above are not true, in addition to entering your name, also include an explanation in the box below, indicating which issue you are addressing.

- Question Type: Certification
- Required: Yes
- Answer Type: Short Answer