

# Program Questions: Long Island Achieve Program

## Q\_3116

Have any of the expenses for this project (or, in the case of a multi-phase project, the phase of the project for which funds are being requested) been incurred or are expected to be incurred prior to an award of funding?

## Q\_3118

Does the proposed project budget include a 10% cash equity contribution from the Applicant?

## Q\_18180

Type of Applicant (select one)

Applicants will first select a single applicant type from the categories below and then a subtype based on their initial selection. Applicants should review the selections below which provides a list of subtypes by main applicant type.

1. For Profit entity options:

Limited Liability Corporation (LLC)

Limited Liability Partnership (LLP)

Sole Proprietorship

S Corporation

C Corporation

Limited Partnership (LP)

Other- applicant will be required to list their other for-profit designation.

2. Not-for profit entity options:

501(c)(1) Any corporation that is organized under an act of Congress that is exempt from federal income tax;

501(c)(2) Corporations that hold a title of property for exempt organizations;

501(c)(3) Corporations/funds/foundations that operate for religious/ charitable/ scientific/ literary/

educational purposes;  
501(c)(4) Nonprofit organizations that promote social welfare;  
501(c)(5) Labor, agricultural, or horticultural associations;  
501(c)(6) Business leagues/chambers of commerce/etc. that are not organized for profit;  
501(c)(7) Recreational organizations; and  
Other- applicant will be required to list their other not-for-profit designation.

3. Government entity options:

Federal  
State  
County  
City  
Town  
Village  
Tribal  
School District  
County or Town Improvement District  
District Corporation  
Public Authority  
Business Improvement District  
Fire District  
Board of Cooperative Education Services (BOCES)  
Public Library  
Association Library  
Other- applicant will be required to list their other government designation.

- Choice Options: For-Profit, Not-for-Profit, Government

## Q\_12626

Does the project align with the Regional Economic Development Council's Strategic Plan?

- This is a conditional question.
  1. If **Yes** is selected then **Q\_12627** will be displayed

## Q\_12627

Explain how the project aligns with the Regional Economic Development Council's Strategic Plan.

- This is a conditional question based on the answer to [Q\\_12626](#). This question displays when selecting the answer: "**Yes**"

## Q\_16509

Current State of Project Development (i.e. planning, preliminary engineering, final design, etc. You may enter N/A for non-project related applications)

## Q\_18182

Is this project subject to National Environmental Policy Act (NEPA)?

## Q\_549

Type of Applicant (select one)

Applicants will first select a single applicant type from the categories below and then a subtype based on their initial selection. Applicants should review the selections below which provides a list of subtypes by main applicant type.

1. For Profit entity options:

Limited Liability Corporation (LLC)

Limited Liability Partnership (LLP)

Sole Proprietorship

S Corporation

C Corporation

Limited Partnership (LP)

Other- applicant will be required to list their other for-profit designation.

2. Not-for profit entity options:

501(c)(1) Any corporation that is organized under an act of Congress that is exempt from federal income tax;

501(c)(2) Corporations that hold a title of property for exempt organizations;

501(c)(3) Corporations/funds/foundations that operate for religious/ charitable/ scientific/ literary/ educational purposes;

501(c)(4) Nonprofit organizations that promote social welfare;

501(c)(5) Labor, agricultural, or horticultural associations;

501(c)(6) Business leagues/chambers of commerce/etc. that are not organized for profit;

501(c)(7) Recreational organizations; and

Other- applicant will be required to list their other not-for-profit designation.

3. Government entity options:

Federal

State

County  
City  
Town  
Village  
Tribal  
School District  
County or Town Improvement District  
District Corporation  
Public Authority  
Business Improvement District  
Fire District  
Board of Cooperative Education Services (BOCES)  
Public Library  
Association Library  
Other- applicant will be required to list their other government designation.

- Choice Options: For-Profit, Not-for-Profit, Government
- This is a conditional question.
  1. If **For-Profit** is selected then **Q\_15475** will be displayed
  2. If **Government** is selected then **Q\_15478** will be displayed
  3. If **Not-for-Profit** is selected then **Q\_15477** will be displayed

## Q\_15475

Select the for-profit entity of the applicant applying for funding:

- Choice Options: Limited Liability Corporation (LLC), Limited Liability Partnership (LLP), Sole Proprietorship, S Corporation, C Corporation, Limited Partnership (LP), Other
- This is a conditional question.
  1. If **Other** is selected then **Q\_15483** will be displayed
- This is a conditional question based on the answer to [Q\\_549](#). This question displays when selecting the answer: "**For-Profit**"

## Q\_15483

Enter the applicant's 'Other' for-profit entity designation.

- This is a conditional question based on the answer to [Q\\_15475](#). This question displays when selecting the answer: "**Other**"

## Q\_15477

Select the not-for-profit entity of the applicant applying for funding:

- Choice Options: 501(c)(1) Any corporation that is organized under an act of Congress that is exempt from federal income tax, 501(c)(2) Corporations that hold a title of property for exempt organizations, 501(c)(3) Corporations/funds/foundations that operate for religious/charitable/scientific/literary/educational purposes, 501(c)(4) Nonprofit organizations that promote social welfare, 501(c)(5) Labor/agricultural/horticultural associations, 501(c)(6) Business leagues/chambers of commerce/etc. that are not organized for profit, 501(c)(7) Recreational organizations, Other
- This is a conditional question.
  1. If **Other** is selected then **Q\_15484** will be displayed
- This is a conditional question based on the answer to [Q\\_549](#). This question displays when selecting the answer: "**Not-for-Profit**"

## Q\_15484

Enter the applicant's 'Other' not-for-profit entity designation.

- This is a conditional question based on the answer to [Q\\_15477](#). This question displays when selecting the answer: "**Other**"

## Q\_15478

Select the government entity of the applicant applying for funding:

- Choice Options: Federal, State, County, City, Town, Village, Tribal, School District, County or Town Improvement District, District Corporation, Public Authority, Business Improvement District, Fire District, Board of Cooperative Education Services (BOCES), Public Library, Association Library, Other
- This is a conditional question.
  1. If **Other** is selected then **Q\_15485** will be displayed
- This is a conditional question based on the answer to [Q\\_549](#). This question displays when selecting the answer: "**Government**"

## Q\_15485

Enter the applicant's 'Other' government entity designation.

- This is a conditional question based on the answer to [Q\\_15478](#). This question displays when selecting the answer: "**Other**"

## Q\_12603

Is the applicant a DBA?

- This is a conditional question.
  1. If **Yes** is selected then **Q\_550** will be displayed

## Q\_550

What is the applicant's DBA name?

- This is a conditional question based on the answer to [Q\\_12603](#). This question displays when selecting the answer: "**Yes**"

## Q\_556

Select an applicant ID type from the list below that you normally use to identify your organization on application forms.

- Choice Options: Charity Reg #, Duns Number, Federal Tax ID Number, NYS Unemployment Insurance Tax Number, NYS Vendor Identification Number (SFS), Employee Identification Number (EIN), Unique Entity Identifier (UEI)

## Q\_2655

Based on your selection from the previous question, enter the associated ID number.

## Q\_969

If you are a business, have you been certified as a New York State Minority or Women-owned Business Enterprise (MWBE)?

- Choice Options: Yes, No, N/A

## **Q\_546**

Organization Legal Name

## **Q\_5416**

Applicant First Name

## **Q\_5417**

Applicant Last Name

## **Q\_551**

Applicant Street Address

## **Q\_552**

Applicant City

## **Q\_553**

Applicant State

## **Q\_554**

Applicant ZIP Code. (please use ZIP+4 if known)

## **Q\_651**

Applicant Telephone Number (please include area code)

## **Q\_555**

Applicant Email Address

## **Q\_5257**

Contact Salutation

- Choice Options: Mr., Mrs., Ms., Dr.

## **Q\_547**

Contact First Name

## **Q\_1049**

Contact Last Name

## **Q\_1050**

Contact Title

## **Q\_5490**

Primary Organization

## **Q\_3688**

Contact Street Address

## **Q\_3689**

Contact City

## **Q\_3690**

Contact State

## **Q\_3691**

Contact ZIP Code (please use ZIP+4 if known)

## **Q\_562**

Primary Contact Phone Number. (please include area code)

## **Q\_3692**

Contact Email

## **Q\_5475**

Contract Salutation

- Choice Options: Mr., Mrs., Ms., Dr.

## **Q\_5476**

Contract First

## **Q\_5477**

Contract Last

**Q\_5478**

Contract Title

**Q\_5491**

Authorized Organization

**Q\_5479**

Contract Street

**Q\_5480**

Contract City

**Q\_5481**

Contract State

**Q\_5482**

Contract Zip (please use ZIP+4 if known)

## **Q\_5483**

Contract Phone (please include area code)

## **Q\_5484**

Contract Email

## **Q\_5493**

Additional Salutation

- Choice Options: Mr., Mrs., Ms., Dr.

## **Q\_1052**

Additional Project Contact First Name

## **Q\_970**

Additional Project Contact Last Name

## **Q\_1051**

Additional Contact Title

## **Q\_5492**

Additional Organization

## **Q\_3693**

Additional Contact Street Address

## **Q\_3694**

Additional Contact City

## **Q\_3695**

Additional Contact State

## **Q\_3696**

Additional Contact ZIP (please use ZIP+4 if known)

## Q\_3697

Additional Contact Telephone Number (please include area code)

## Q\_561

Additional Contact Email Address

## Q\_4199

Please select the primary sector or characterization that best defines this project.

- Choice Options: Agriculture, Arts/Culture/Cultural Institutions, Biomedical/Medical, Community Development, Education/College/University, Energy, Environment, Financial Services, Food/Beverage, Healthcare, Historic Preservation, Hospitality, Housing, Industrial/Manufacturing, Information Technology Services/Communications, Infrastructure, Municipal/Government, Office, Recreation, Research & Development, Tourism/Travel, Transportation, Water/Wastewater/Sewer, Waterfront Revitalization, Workforce Development, Business Development, Technology Commercialization, Semiconductors/Semiconductor Supply Chain

## Q\_4198

Please select the secondary sector or characterization that best defines this project.

- Choice Options: Agriculture, Arts/Culture/Cultural Institutions, Biomedical/Medical, Community Development, Education/College/University, Energy, Environment, Financial Services, Food/Beverage, Healthcare, Historic Preservation, Hospitality, Housing, Industrial/Manufacturing, Information Technology Services/Communications, Infrastructure, Municipal/Government, Office, Research & Development, Tourism/Travel, Transportation, Water/Wastewater/Sewer, Waterfront Revitalization, Workforce Development, Business Development, Technology Commercialization, Semiconductors/Semiconductor Supply Chain

## **Q\_575**

Project Description. Concisely describe the project, indicating the location, what will be planned, designed, acquired, and/or constructed, the issues/opportunities to be addressed, and expected outcomes and deliverables. Additional details will be collected later in the application process.

## **Q\_976**

Statement of need: Provide a brief summary of the need for the project in the geographic area proposed and the project's financing needs, including funding gaps of the proposed project.

## **Q\_929**

Current State of Project Development (i.e. planning, preliminary engineering, final design, etc. You may enter N/A for non-project related applications)

## **Q\_975**

Estimated Project Timeline: include project start/completion dates, estimates for design, permitting and construction or other major steps.

## **Q\_580**

Provide a list of all federal, state, and local reviews, approvals, or permits needed or completed, including the dates when they are expected to be completed or were completed. If Not Applicable, indicate "NA".

## Q\_12606

Does this project require State and/or Federal Environmental Review?

- This is a conditional question.
  1. If **Yes** is selected then these questions will be displayed:
    - [Q\\_2364](#)
    - [Q\\_12607](#)

## Q\_2364

What is the status of State and/or Federal Environmental Review?

- This is a conditional question based on the answer to [Q\\_12606](#). This question displays when selecting the answer: "**Yes**"

## Q\_12607

Please indicate the lead agency (if applicable).

- This is a conditional question based on the answer to [Q\\_12606](#). This question displays when selecting the answer: "**Yes**"

## Q\_12604

Has a National Environmental Policy Act (NEPA) Record of Decision been issued?

- This is a conditional question.
  1. If **Yes** is selected then **Q\_1054** will be displayed

## Q\_1054

Please explain decision and include date of Record of Decision.

- This is a conditional question based on the answer to [Q\\_12604](#). This question displays when selecting the answer: "**Yes**"

## Q\_12625

Has the applicant or project been awarded funding in prior CFA rounds?

- This is a conditional question.
  1. If **Yes** is selected then **Q\_2362** will be displayed

## Q\_2362

What were the CFA numbers for which funding was awarded? (separate multiple CFA numbers with commas)

- This is a conditional question based on the answer to [Q\\_12625](#). This question displays when selecting the answer: "**Yes**"

## Q\_4160

For each program to which you are applying under the CFA, explain your strategy for proceeding if the full amount of requested funding, required matching funds, and temporary financing are not secured as expected, or committed sources become unavailable. This explanation must address any proposed project phases, and both CFA and non-CFA sources of funds.

## Q\_17170

List all entities and their EIN that the employment is being reported to DOL under.  
ALL NYS Locations

## Q\_17171

List all entities and their EIN that the employment is being reported to DOL under.

Project location only

## **Q\_17162**

A. Total Employees / Jobs  
(this should add up to the sum of Total Full Time Employees + Total Part Time Employees + Total Contract Employees)

## **Q\_17163**

A. Total Employees / Jobs (Project Location Only)  
(this should add up to the sum of Total Full Time Employees + Total Part Time Employees + Total Contract Employees)

## **Q\_17164**

B. Total Full Time Employees / Jobs Only  
All NYS Locations

## **Q\_17165**

B. Total Full Time Employees / Jobs Only  
Project Location Only

## **Q\_14039**

C. Total Part-time Employees/Jobs Only

## **Q\_14040**

C. Total Part-time Employees/Jobs Only Project Location Only

## **Q\_1365**

D. Total Contract Employees/Jobs Only ALL NYS Locations

## **Q\_1366**

D. Total Contract Employees/Jobs Only Project Location Only

## **Q\_17166**

E. Total Full Time Equivalentents (FTEs)  
(this should be calculated from part-time employees only (C) using the FTE definition from the top of the page)  
ALL NYS Locations

## **Q\_17167**

E. Total Full Time Equivalentents (FTEs)  
(this should be calculated from part-time employees only (C) using the FTE definition from the top of the page)  
Project location only

## **Q\_2195**

F. Total Full Time Employees + Total FTEs (B+E) All NYS LOCATIONS.

## **Q\_2196**

F. Total Full Time Employees + Total FTEs (B+E) PROJECT LOCATION Only

## **Q\_2199**

G. Average Annual Wage (Full Time Employees and FTEs) All NYS Locations as of the date this application is finalized

## **Q\_1186**

G. Average Annual Wage (Full Time Employees and FTEs) Project Location Only as of the date this application is finalized.

## **Q\_1196**

H. At Risk Positions (From Existing Full Time Employees and FTEs)  
How many of the existing jobs at the project location(s) are at risk if the project does not go forward.

## **Q\_17168**

I. Average Annual Wage of at Risk Employees/Jobs (Full Time Employees and FTEs)  
project location only

## **Q\_17169**

J. Percentage of Employees that are residents of New York State  
ALL NYS locations

## **Q\_2175**

J. Percentage of Employees that are residents of New York State Project Location Only

## **Q\_2372**

K. Construction Jobs: Estimate the number of construction jobs to be created by the proposed project.

## **Q\_2178**

Will any other non-construction jobs result from the project? If so, please estimate how many jobs, explain how these jobs will be created, and explain the method used to determine the job number estimate.

For example: This could include jobs created by tenants at an incubator or mixed-use development or as a result of the creation of a job training center.

## **Q\_3064**

Net New Job Information (Enter Cumulatively) 1. Enter the type of job by general category.  
2. Enter the gross annual wages for each job type.  
3. List the number of net new jobs. Please enter CUMULATIVE job totals, i.e. if there are 10 jobs being created each year for 5 years, enter as 10, 20, 30, 40, 50.

Please note that if you are completing the URI Phase 1 - Intent to Propose, you are not required to complete the table below at this time as this information will be available to enter in during phase 2 of the application.

## **Q\_3065**

Net New Job Information

## **Q\_3066**

Net New Job Information

## **Q\_3067**

Net New Job Information

## **Q\_3068**

Net New Job Information

## **Q\_3069**

Net New Job Information

## **Q\_3070**

Net New Job Information

## **Q\_3071**

Net New Job Information Job Type/Category

## **Q\_3072**

Net New Job Information 2 Avg Gross Wages/Job Type

## **Q\_3073**

Net New Job Information 2 Year 1 -

## **Q\_3074**

Net New Job Information 2 Year 2 -

## **Q\_3075**

Net New Job Information 2 Year 3 -

## **Q\_3076**

Net New Job Information 2 Year 4 -

## **Q\_3077**

Net New Job Information 2 Year 5 -

## **Q\_3078**

Net New Job Information (Enter Cumulatively) Job Type/Category

## **Q\_3079**

Net New Job Information (Enter Cumulatively) 3 Avg Gross Wages/Job Type

## **Q\_3080**

Net New Job Information (Enter Cumulatively) 3 Year 1 -

## **Q\_3081**

Net New Job Information (Enter Cumulatively) 3 Year 2 -

## **Q\_3082**

Net New Job Information (Enter Cumulatively) 3 Year 3 -

## **Q\_3083**

Net New Job Information (Enter Cumulatively) 3 Year 4 -

## **Q\_3084**

Net New Job Information (Enter Cumulatively) 3 Year 5 -

## **Q\_3086**

Net New Job Information (Enter Cumulatively) Job Type/Category

## **Q\_3089**

Net New Job Information (Enter Cumulatively) 4 Avg Gross Wages/Job Type

## **Q\_3090**

Net New Job Information (Enter Cumulatively) 4 Year 1 -

## **Q\_3091**

Net New Job Information (Enter Cumulatively) 4 Year 2 -

## **Q\_3092**

Net New Job Information (Enter Cumulatively) 4 Year 3 -

## **Q\_3093**

Net New Job Information (Enter Cumulatively) 4 Year 4 -

## **Q\_3094**

Net New Job Information (Enter Cumulatively) 4 Year 5 -

## **Q\_3095**

Net New Job Information (Enter Cumulatively) Job Type/Category

## **Q\_3096**

Net New Job Information (Enter Cumulatively) 5 Avg Gross Wages/Job Type

## **Q\_3097**

Net New Job Information (Enter Cumulatively) 5 Year 1 -

## **Q\_3098**

Net New Job Information (Enter Cumulatively) 5 Year 2 -

## **Q\_3099**

Net New Job Information (Enter Cumulatively) 5 Year 3 -

## **Q\_3100**

Net New Job Information (Enter Cumulatively) 5 Year 4 -

## **Q\_3101**

Net New Job Information (Enter Cumulatively) 5 Year 5 -

## **Q\_7506**

Indicate how many net new full-time jobs the company will create over 5 years. Please ensure this number is the same as the cumulative total number of jobs you entered for year 5 from the Net New Job Information table above.

The number of net new jobs should be consistent with the definitions from the top of the page, where total new full-time employees/jobs are added to total new full-time equivalent employees/jobs to get the total number of net new jobs that can be counted.

If awarded, your company or entity will be held to the job creation commitments as outlined in this question and table below. For grants, should you fail to meet the job creation commitments, recapture provisions may apply. For Excelsior, each year's job commitment is evaluated separately to determine if tax credits can be issued.

## **Q\_17898**

Indicate the average annual salary for the net new jobs created as a result of this project.

## **Q\_14397**

What percentage of the project's net-new employees will work remotely (in part or fully)?  
If none, then enter 0(zero).

## **Q\_4167**

Indicate the year employment begins under Year 1 for your project for the Net New Job table above.

## **Q\_1415**

Indicate what the average percentage is of the applicants' total employees' gross wages paid in benefits (exclude mandated benefits such as Federal Insurance Contributions Act (FICA), Medicare tax, unemployment insurance or workers' compensation insurance).

## **Q\_16274**

For the developer, please provide audited or reviewed financials, or compiled financials with signed tax returns, for 2023. For publicly traded companies please provide Form 10-K for 2023.

For a start-up company with no operating history for this year, attach personal financial statements from a personal guarantor(s) of the start-up company for 2023.

## **Q\_18345**

For the developer, please provide audited or reviewed financials, or compiled financials with signed tax returns, for 2024. For publicly traded companies please provide Form 10-K for 2024.

For a start-up company with no operating history for this year, attach personal financial statements from a personal guarantor(s) of the start-up company for 2024.

## **Q\_18344**

For the developer, please provide audited or reviewed financials, or compiled financials with signed tax returns, for 2025. For publicly traded companies please provide Form 10-K for 2025.

For a start-up company with no operating history for this year, attach personal financial statements from a personal guarantor(s) of the start-up company for 2025.

## **Q\_18343**

For the developer, please provide interim financials for 2026, certified by a company officer. For publicly traded companies, please provide the most recent Form 10-Q.

For a start-up company with no operating history for this year, attach personal financial statements from a personal guarantor(s) of the start-up company for interim 2026.

## Q\_16271

Is the development company also the entity that will be providing the guarantee on the proposed ESD award?

- This is a conditional question.
  1. If **No** is selected then these questions will be displayed:
    - [Q\\_16269](#)
    - [Q\\_16276](#)
    - [Q\\_16277](#)
    - [Q\\_16278](#)
    - [Q\\_16279](#)

## Q\_16269

Please attach a list of affiliated corporate entities or individuals that will be providing the guarantee on the ESD award, if selected for award.

- This is a conditional question based on the answer to [Q\\_16271](#). This question displays when selecting the answer: "**No**"

## Q\_16276

For the corporate entities or individuals providing the guarantee, please provide audited or reviewed financials, or compiled financials with signed tax returns, for 2021. For publicly traded companies please provide Form 10-K for 2021.

For a start-up company with no operating history for this year, attach personal financial statements from a personal guarantor(s) of the start-up company for 2021.

- This is a conditional question based on the answer to [Q\\_16271](#). This question displays when selecting the answer: "**No**"

## Q\_16277

For the corporate entities or individuals providing the guarantee, please provide audited or reviewed financials, or compiled financials with signed tax returns, for 2022. For publicly traded companies please provide Form 10-K for 2022.

For a start-up company with no operating history for this year, attach personal financial statements from a personal guarantor(s) of the start-up company for 2022.

- This is a conditional question based on the answer to [Q\\_16271](#). This question displays when selecting the answer: "No"

## Q\_16278

For the corporate entities or individuals providing the guarantee, please provide audited or reviewed financials, or compiled financials with signed tax returns, for 2023. For publicly traded companies please provide Form 10-K for 2023.

For a start-up company with no operating history for this year, attach personal financial statements from a personal guarantor(s) of the start-up company for 2023.

- This is a conditional question based on the answer to [Q\\_16271](#). This question displays when selecting the answer: "No"

## Q\_16279

For the corporate entities or individuals providing the guarantee, please provide interim financials for 2024, certified by a company officer. For publicly traded companies, please provide the most recent Form 10-Q.

For a start-up company with no operating history for this year, attach personal financial statements from a personal guarantor(s) of the start-up company for interim 2024.

- This is a conditional question based on the answer to [Q\\_16271](#). This question displays when selecting the answer: "No"

## Q\_6946

Please provide Letters of Support for your project (if applicable). All letters should be scanned into a single PDF file and their total size cannot exceed 30 Megabytes (MB).

## **Q\_2332**

Attach a short or long Environmental Assessment Form

Project review pursuant to the State Environmental Quality Review Act (SEQRA) must be completed prior to the award of any state funds. For projects classified as Type I or Unlisted actions, submit a short or long Environmental Assessment Form. See "View Help" for links to forms.

## **Q\_2333**

If review of the project has been completed pursuant to State Environmental Quality Review Act (SEQRA) or National Environmental Policy Act (NEPA), please submit the Negative Declaration or Findings Statement, or Finding of No Significant Impact or Record of Decision.

## **Q\_16262**

Pro Forma Workbook – Please email [nys-longisland@esd.ny.gov](mailto:nys-longisland@esd.ny.gov) requesting a standard pro forma template. Please populate the model with the details of the project and attach a completed template to this question. Let us know if you encounter any issues. Please populate the sources with all planned permanent financing sources.

## **Q\_16264**

Equity Breakdown – Sources of equity funds, terms and conditions with partners, and/or proof of sufficient cash on hand

## Q\_16265

Term Sheets – Please provide signed term sheets for any debt facilities that will serve as permanent funding sources on the project.

## Q\_16267

Ownership Chart – Please provide a chart that clarifies the structure of all stakeholding entities involved in this transaction/development, including all ownership percentages.

## Q\_14645

For all organizations and entities, provide financial projections for 10 years.

## Q\_14774

Please attach bios and resumes for all principals in the project in PDF format.

## Q\_15341

Is the applicant a city, town, or village that is applying for capital funding?

- This is a conditional question.
  1. If **No** is selected then these questions will be displayed:
    - [Q\\_15728](#)
    - [Q\\_15774](#)
  2. If **Yes** is selected then **Q\_15342** will be displayed

## Q\_14623

Is the applicant organization a subsidiary?

- This is a conditional question.
  1. If **Yes** is selected then these questions will be displayed:
    - [Q\\_14625](#)
    - [Q\\_14626](#)
    - [Q\\_14627](#)
    - [Q\\_14628](#)
    - [Q\\_14629](#)

## Q\_14625

Parent Company name:

- This is a conditional question based on the answer to [Q\\_14623](#). This question displays when selecting the answer: "**Yes**"

## Q\_14626

Parent Company Street Address:

- This is a conditional question based on the answer to [Q\\_14623](#). This question displays when selecting the answer: "**Yes**"

## Q\_14627

Parent Company City:

- This is a conditional question based on the answer to [Q\\_14623](#). This question displays when selecting the answer: "**Yes**"

## Q\_14628

Parent Company Zip:

- This is a conditional question based on the answer to [Q\\_14623](#). This question displays when selecting the answer: "Yes"

## Q\_14629

Parent Company County:

- This is a conditional question based on the answer to [Q\\_14623](#). This question displays when selecting the answer: "Yes"

## Q\_14630

Is the applicant applying on behalf of another organization or entity that will be the recipient of, or that will benefit from, the award if funds are awarded to the project?

- This is a conditional question.
  1. If **Yes** is selected then these questions will be displayed:
    - [Q\\_14631](#)
    - [Q\\_14632](#)
    - [Q\\_14634](#)
    - [Q\\_14635](#)
    - [Q\\_14636](#)
    - [Q\\_14637](#)
    - [Q\\_14638](#)
    - [Q\\_14639](#)
    - [Q\\_14640](#)
    - [Q\\_14641](#)
    - [Q\\_14642](#)
    - [Q\\_14643](#)
    - [Q\\_14644](#)
    - [Q\\_14645](#)

## Q\_14631

Beneficiary Legal Organization Name:

- This is a conditional question based on the answer to [Q\\_14630](#). This question displays when selecting the answer: "Yes"

## Q\_14632

Is the beneficiary a DBA?

- This is a conditional question.
  1. If **Yes** is selected then **Q\_14633** will be displayed
- This is a conditional question based on the answer to [Q\\_14630](#). This question displays when selecting the answer: "Yes"

## Q\_14633

Beneficiary DBA Name:

- This is a conditional question based on the answer to [Q\\_14632](#). This question displays when selecting the answer: "Yes"

## Q\_14634

Beneficiary Street Address:

- This is a conditional question based on the answer to [Q\\_14630](#). This question displays when selecting the answer: "Yes"

## Q\_14635

Beneficiary City:

- This is a conditional question based on the answer to [Q\\_14630](#). This question displays when selecting the answer: "Yes"

## Q\_14636

Beneficiary Zip:

- This is a conditional question based on the answer to [Q\\_14630](#). This question displays when selecting the answer: "Yes"

## Q\_14637

Beneficiary County:

- This is a conditional question based on the answer to [Q\\_14630](#). This question displays when selecting the answer: "Yes"

## Q\_14638

Beneficiary Contact First Name:

- This is a conditional question based on the answer to [Q\\_14630](#). This question displays when selecting the answer: "Yes"

## Q\_14639

Beneficiary Contact Last Name:

- This is a conditional question based on the answer to [Q\\_14630](#). This question displays when selecting the answer: "Yes"

## Q\_14640

Beneficiary Contact Job Title:

- This is a conditional question based on the answer to [Q\\_14630](#). This question displays when selecting the answer: "Yes"

## Q\_14641

Beneficiary Contact Phone:

- This is a conditional question based on the answer to [Q\\_14630](#). This question displays when selecting the answer: "Yes"

## Q\_14642

Beneficiary Contact Email:

- This is a conditional question based on the answer to [Q\\_14630](#). This question displays when selecting the answer: "Yes"

## Q\_14643

Select an applicant ID type from the list below that you normally use to identify your organization on application forms.

- Choice Options: Charity Reg #, Duns Number, Federal Tax ID Number, NYS Unemployment Insurance Tax Number, NYS Vendor Identification Number (SFS), Employee Identification Number (EIN), Unique Entity Identifier (UEI)

## Q\_14644

Based on your selection from the previous question, enter the associated ID number.

## Q\_14646

Is the Beneficiary company a subsidiary?

- This is a conditional question.

1. If **Yes** is selected then these questions will be displayed:

- [Q\\_14647](#)
- [Q\\_14648](#)
- [Q\\_14649](#)
- [Q\\_14650](#)
- [Q\\_14651](#)

## Q\_14647

Beneficiary Parent Company Name:

- This is a conditional question based on the answer to [Q\\_14646](#). This question displays when selecting the answer: "**Yes**"

## Q\_14648

Beneficiary Parent Company Street Address:

- This is a conditional question based on the answer to [Q\\_14646](#). This question displays when selecting the answer: "**Yes**"

## Q\_14649

Beneficiary Parent Company City:

- This is a conditional question based on the answer to [Q\\_14646](#). This question displays when selecting the answer: "**Yes**"

## Q\_14650

Beneficiary Parent Company Zip:

- This is a conditional question based on the answer to [Q\\_14646](#). This question displays when selecting the answer: "**Yes**"

## Q\_14651

Beneficiary Parent Company County:

- This is a conditional question based on the answer to [Q\\_14646](#). This question displays when selecting the answer: "Yes"

## Q\_18373

Will the funds be used by the recipient (applicant) to create a program to disburse funds to sub-recipients?

- This is a conditional question.
  1. If **Yes** is selected then **Q\_18374** will be displayed

## Q\_18374

Please describe in detail the sub-recipient organizations, anticipated services to be rendered and anticipated disbursement amounts.

- This is a conditional question based on the answer to [Q\\_18373](#). This question displays when selecting the answer: "Yes"

## Q\_14653

Please select the type of business that will enter into a contract, if funding is awarded.

- Choice Options: Sole Proprietorship, Limited Liability Company, Partnership, Subchapter S Corporation, Business Corporation, Not-for-Profit Corporation, Local Development Corporation, Industrial Development Agency, Municipality, Other Public Entity

## Q\_14654

Is the organization or entity New York State certified as minority-owned or woman-owned?

- This is a conditional question.
  1. If **Yes** is selected then **Q\_14655** will be displayed

## **Q\_14655**

Please enter the New York State certification number.

- This is a conditional question based on the answer to [Q\\_14654](#). This question displays when selecting the answer: "**Yes**"

## **Q\_14656**

Is the organization a manufacturer?

## **Q\_14657**

Provide all the North American Industrial Classification (NAICS) numbers used to classify each type of the organization's business activity. If more than one NAICS code, separate by commas.

## **Q\_14658**

Describe in detail the qualifications of the organization to undertake this project, including the organization's experience with projects of similar size and scope.

## **Q\_12654**

Has the applicant applied for and/or been awarded funding from other New York State or local entities for this project?

- This is a conditional question.
  1. If **Yes** is selected then these questions will be displayed:
    - [Q\\_12655](#)
    - [Q\\_13264](#)

## Q\_12655

Please detail the funding applied to for this project and the entity administering the funding. Do not include funding that has been awarded.

- This is a conditional question based on the answer to [Q\\_12654](#). This question displays when selecting the answer: "**Yes**"

## Q\_13264

Please detail the funding awarded to this project and the entity administering the funding.

- This is a conditional question based on the answer to [Q\\_12654](#). This question displays when selecting the answer: "**Yes**"

## Q\_13265

Has the applicant applied to and/or been awarded funding from a local IDA?

- This is a conditional question.
  1. If **Yes** is selected then these questions will be displayed:
    - [Q\\_13266](#)
    - [Q\\_13267](#)

## Q\_13266

Please detail the funding applied for this project and the entity administering the funding. Do not include funding that has been awarded.

- This is a conditional question based on the answer to [Q\\_13265](#). This question displays when selecting the answer: "**Yes**"

## Q\_13267

Please detail the funding awarded to this project and the entity administering the funding.

- This is a conditional question based on the answer to [Q\\_13265](#). This question displays when selecting the answer: "Yes"

## Q\_1409

What is the first project year? (e.g. the year equipment will be ordered or when first expenditures are expected to be made)

## Q\_5719

Does the project site involve or is it substantially contiguous to a property listed or recommended for listing in the NY State or National Registers of Historic Places? Consult the [National Register Information System](#) to find out if a property is listed. Or use the [Cultural Resource Information System \(CRIS\) tool](#).

See question requirements for more information on SHPO consultation.

- Choice Options: Yes, No, N/A
- This is a conditional question.
  1. If **Yes** is selected then **Q\_5721** will be displayed

## Q\_5721

Please identify the name of the resource, explain the status of the SHPO consultation, and if consultation is complete, please provide a link to SHPO's Letter of Determination of No Adverse Effect or Letter of Resolution to Mitigate Adverse Effect.

- This is a conditional question based on the answer to [Q\\_5719](#). This question displays when selecting the answer: "Yes"

## Q\_14680

Does the project site contain or is it substantially contiguous to buildings that are more than 50 years old and/or buildings/lands that are known to be historically, architecturally, or culturally significant?

## Q\_5720

Is the project site wholly or partially included within an identified archeologically sensitive area? Consult the [National Register Information System](#) to find out if a property is listed. Or use the [Cultural Resource Information System \(CRIS\) tool](#) to identify archeologically sensitive areas. See question requirements for more information on SHPO consultation.

- Choice Options: Yes, No, N/A
- This is a conditional question.
  1. If **Yes** is selected then **Q\_5722** will be displayed

## Q\_5722

Please list the geographic information for the archeologically sensitive areas, explain the status of SHPO consultation, and if consultation is complete, please provide a link to SHPO's Letter of Determination of No Adverse Effect or Letter of Resolution to Mitigate Adverse Effect.

- This is a conditional question based on the answer to [Q\\_5720](#). This question displays when selecting the answer: "**Yes**"

## Q\_6069

Does your application contain 1) trade secrets, (2) information that, if disclosed, would cause substantial injury to the competitive position of your organization, or (3) critical infrastructure information? (All efforts should be made to provide such Information in the questions marked as "restricted.")

- This is a conditional question.
  1. If **Yes** is selected then **Q\_6070** will be displayed

## **Q\_6070**

Please identify the Question # and specific language for those portions of your application and accompanying documents you believe fall under these exemptions, and provide a detailed justification for the exemption from disclosure. See 'Click Here for Question Requirements' for formatting and additional information.

- This is a conditional question based on the answer to [Q\\_6069](#). This question displays when selecting the answer: "Yes"

## **Q\_3006**

Qualified Investments -Building Acquisition Year 1

## **Q\_3007**

Qualified Investments Building Renovation Year 1

## **Q\_3008**

Qualified Investments New Construction Year 1

## **Q\_3009**

Qualified Investments Production Machinery & Equipment Year 1

## **Q\_3010**

Qualified Investments Furniture, fixtures & equipment Year 1

## **Q\_3012**

Qualified Investments Land Acquisition Year 1

## **Q\_3014**

Qualified Investments Demolition & environmental remediation Year 1

## **Q\_3015**

Qualified Investments Soft Costs Year 1

## **Q\_3017**

Qualified Investments Building Acquisition Year 2

## **Q\_3018**

Qualified Investments Building Renovation Year 2

## **Q\_3019**

Qualified Investments New Construction Year 2

## **Q\_3020**

Qualified Investments Production Machinery Year 2

## **Q\_3022**

Qualified Investments Furniture, fixtures Year 2

## **Q\_3024**

Qualified Investments Land Acquisition \* Year 2

## **Q\_3027**

Qualified Investments Demolition Year 2

## **Q\_3028**

Qualified Investments Soft Costs Year 2

## **Q\_3030**

Qualified Investments Building Acquisition Year 3

## **Q\_3031**

Qualified Investments Building Renovation Year 3

## **Q\_3032**

Qualified Investments New Construction Year 3

## **Q\_3033**

Qualified Investments Production Machinery Year 3

## **Q\_3034**

Qualified Investments Furniture, fixtures Year 3

## **Q\_3036**

Qualified Investments Land Acquisition \* Year 3

## **Q\_3039**

Qualified Investments Demolition Year 3

## **Q\_3040**

Qualified Investments Soft Costs Year 3

## **Q\_3042**

Qualified Investments Building Acquisition year 4

## **Q\_3043**

Qualified Investments Building Renovation Year 4

## **Q\_3044**

Qualified Investments New Construction Year 4

## **Q\_3045**

Qualified Investments Production Machinery Year 4

## **Q\_3046**

Qualified Investments Furniture, fixtures Year 4

## **Q\_3048**

Qualified Investments Land Acquisition \* Year 4

## **Q\_3050**

Qualified Investments Demolition Year 4

## **Q\_3051**

Qualified Investments Soft Costs Year 4

## **Q\_3053**

Qualified Investments Building Acquisition

## **Q\_3054**

Qualified Investments Building Renovation Year 5

## **Q\_3055**

Qualified Investments New Construction Year 5

## **Q\_3056**

Qualified Investments Production Machinery Year 5

## **Q\_3057**

Qualified Investments Furniture, fixtures Year 5

## **Q\_3059**

Qualified Investments Land Acquisition \* Year 5

## **Q\_3061**

Qualified Investments Demolition Year 5

## **Q\_3062**

**Q\_18387**

Salaries - Year 1

**Q\_18388**

Salaries - Year 2

**Q\_18389**

Salaries - Year 3

**Q\_18390**

Salaries - Year 4

**Q\_18414**

Salaries - Year 5

**Q\_18392**

Consulting Fees - Year 1

## **Q\_18400**

Consulting Fees - Year 2

## **Q\_18399**

Consulting Fees - Year 3

## **Q\_18397**

Consulting Fees - Year 4

## **Q\_18421**

Consulting Fees - Year 5

## **Q\_18423**

Marketing - Year 1

## **Q\_18425**

Marketing - Year 2

## **Q\_18426**

Marketing - Year 3

## **Q\_18428**

Marketing - Year 4

## **Q\_18427**

Marketing - Year 5

## **Q\_18429**

Lease Expenses - Year 1

## **Q\_18430**

Lease Expenses - Year 2

## **Q\_18431**

Lease Expenses - Year 3

## **Q\_18432**

Lease Expenses - Year 4

## **Q\_18433**

Lease Expenses - Year 5

## **Q\_18434**

Other Facility Operational Costs - Year 1

## **Q\_18435**

Other Facility Operational Costs - Year 2

## **Q\_18436**

Other Facility Operational Costs - Year 3

## **Q\_18437**

Other Facility Operational Costs - Year 4

## **Q\_18438**

Other Facility Operational Costs - Year 5

## **Q\_18439**

Programming/Training - Year 1

## **Q\_18440**

Programming/Training - Year 2

## **Q\_18441**

Programming/Training - Year 3

## **Q\_18442**

Programming/Training - Year 4

## **Q\_18443**

Programming/Training - Year 5

## **Q\_18444**

Other - Year 1

## **Q\_18445**

Other - Year 2

## **Q\_18446**

Other - Year 3

## **Q\_18447**

Other - Year 4

## **Q\_18448**

Other - Year 5

## Q\_18449

Did you enter a dollar values greater than \$0 for the "Other" row in the above "Working Capital Investments" table?

- This is a conditional question.
  1. If **Yes** is selected then **Q\_18450** will be displayed

## Q\_18450

Please specify the "Other" costs

- This is a conditional question based on the answer to [Q\\_18449](#). This question displays when selecting the answer: "**Yes**"

## Q\_13611

The NYS Smart Growth Public Infrastructure Policy Act requires that a public infrastructure project meet the relevant smart growth criterion to the extent practicable. Public Infrastructure projects consist of construction or reconstruction of transportation, sewer, wastewater treatment, water, education, housing and other publicly supported infrastructure. Does the proposed project build new public infrastructure, expand public infrastructure or use, maintain, or improve existing public infrastructure?

If you are maintaining or improving existing public infrastructure, please answer "YES". If you are building new public infrastructure or expanding public infrastructure, please answer "YES".

If this this is not applicable to your project, answer "NO".

- This is a conditional question.
  1. If **Yes** is selected then these questions will be displayed:
    - [Q\\_13612](#)
    - [Q\\_13613](#)
    - [Q\\_13614](#)
    - [Q\\_13615](#)
    - [Q\\_13616](#)
    - [Q\\_13617](#)
    - [Q\\_13618](#)
    - [Q\\_13619](#)

- [Q\\_13620](#)
- [Q\\_13621](#)
- [Q\\_13622](#)
- [Q\\_13623](#)

## Q\_13613

Please explain the need to build new infrastructure instead of using or improving existing infrastructure. If n/a, please type N/A.

- This is a conditional question based on the answer to [Q\\_13611](#). This question displays when selecting the answer: "Yes"

## Q\_13612

Explain how the proposed project will use, maintain, or improve existing infrastructure.

- This is a conditional question based on the answer to [Q\\_13611](#). This question displays when selecting the answer: "Yes"

## Q\_13614

Please explain how the proposed project is located in a municipal center.

- This is a conditional question based on the answer to [Q\\_13611](#). This question displays when selecting the answer: "Yes"

## Q\_13615

Please explain how the proposed project is located in a developed area or an area designated for concentrated infill development in a municipally approved comprehensive land use plan, local waterfront revitalization plan and/or brownfield opportunity area plan. Specifically, explain how your project advances infill development or redevelopment in existing developed areas consistent with an approved plan. Infill development includes redevelopment, rehabilitation and new development between existing buildings on vacant or under-utilized sites.

- This is a conditional question based on the answer to [Q\\_13611](#). This question displays when selecting the answer: "Yes"

## Q\_13616

Please explain how the proposed project will protect, preserve and enhance the State's resources, including agricultural land, forests, surface and groundwater, air quality, recreation and open space, scenic areas, and significant historic and archeological resources. Beyond simply avoiding or minimizing negative environmental impacts, please indicate the resources that may be impacted by your project and how your project will preserve and enhance these resources.

- This is a conditional question based on the answer to [Q\\_13611](#). This question displays when selecting the answer: "Yes"

## Q\_13617

Please explain how the proposed project will foster mixed land uses and compact development, downtown revitalization, Brownfield redevelopment, the enhancement of beauty in public spaces, the diversity and affordability of housing in proximity to places of employment, recreation and commercial development and the integration of all income and age groups. Specifically, explain how your project advances these objectives and improves the quality of life in your community.

- This is a conditional question based on the answer to [Q\\_13611](#). This question displays when selecting the answer: "Yes"

## Q\_13618

Please explain how the proposed project will provide mobility through transportation choices including improved public transportation and reduced automobile dependency. There are many alternatives to automobile transportation. Please explain how your project provides or complements alternatives to automobile travel such as bikes, pedestrians, public transit, air travel or rail travel.

- This is a conditional question based on the answer to [Q\\_13611](#). This question displays when selecting the answer: "Yes"

## Q\_13619

Please explain how the proposed project will involve coordination between state and local government and inter-municipal and regional planning. Identify any interaction between the applicant and any municipal and county governments, planning boards, regional planning associations or similar organizations. Document any outreach by the applicant to these organizations regarding the project and any relevant correspondence.

- This is a conditional question based on the answer to [Q\\_13611](#). This question displays when selecting the answer: "Yes"

## Q\_13620

Please explain how the proposed project will involve participation in community-based planning and collaboration. Specifically, explain how the project results from an inclusive, multi-stakeholder (including traditionally underserved populations) process of community-based planning and collaboration. To assist with your explanation, identify any affected community groups or organizations with an interest in the proposed project and if the planning process involved outreach to citizens and stakeholders at all stages of development of the project.

- This is a conditional question based on the answer to [Q\\_13611](#). This question displays when selecting the answer: "Yes"

## Q\_13621

Please explain how the proposed project will ensure predictability in building and land use codes. Provide any additional relevant information.

- This is a conditional question based on the answer to [Q\\_13611](#). This question displays when selecting the answer: "Yes"

## Q\_13622

Please explain how the proposed project will promote sustainability by strengthening existing and creating new communities which reduce greenhouse gas emissions and do not compromise the needs of future generations, by among other means encouraging broad based public involvement in developing and implementing a community plan and ensuring the governance structure is adequate to sustain its implementation. Specifically, explain how your project promotes sustainability. For example, does your project include buildings and plans that seek to minimize consumption of fossil fuels (coal, petroleum), reduce water usage / consumption, and encourage the use of renewable energy (wind, solar, and geo-thermal).

- This is a conditional question based on the answer to [Q\\_13611](#). This question displays when selecting the answer: "Yes"

## Q\_13623

Please explain how the proposed project will mitigate future physical climate risk due to sea-level rise, and/or storm surges and/or flooding, based on available data predicting the likelihood of future extreme weather events, including hazard risk analysis data.

Specifically, explain how your project demonstrates that future physical climate risk due to sea-level rise, storm surge and flooding have been considered. For example, have you demonstrated consideration of the flood risk applicable to your specific structure type? Explain how the siting and design have evaluated flood-risk considerations, including, but not limited to, human health and safety, environmental effects, cost, funding-source requirements, feasibility and community impact.

For information on the State Climate Impacts Assessment visit:

<https://nysclimateimpacts.org/about-the-assessment/what-is-the-assessment/>

For information on implementation of the Community Risk and Resiliency Act visit:

<https://dec.ny.gov/environmental-protection/climate-change/new-york-response/crra>

- This is a conditional question based on the answer to [Q\\_13611](#). This question displays when selecting the answer: "Yes"

## Q\_1038

By entering your name in the box below, you certify that you are authorized on behalf of the applicant and its governing body to submit this application. You further certify that all of the information contained in this Application and in all statements, data and supporting documents which have been made or furnished for the purpose of receiving assistance for the project described in this application, are true, correct and complete to the best of your knowledge and belief. You acknowledge that offering a written instrument knowing that the written instrument contains a false statement or false information, with the intent to defraud the State or any political subdivision, public authority or public benefit corporation of the State, with the knowledge or belief that it will be filed with or recorded by the State or any political subdivision, public authority or public benefit corporation of the State, constitutes a crime under New York State Law.

## Q\_18242

By entering your name in the box below, you are acknowledging that ESD's Contractor & Supplier Diversity policy will apply to this project Pursuant to New York State Executive Law Articles 15-A and Article 3 of New York State Veterans' Services Law, ESD recognizes its obligation under the law to promote opportunities for maximum feasible participation of certified New York State minority and women-owned business enterprises (MWBEs) and service-disabled veteran-owned businesses (SDVOBs) in the performance of ESD projects. The Recipient shall be required to use Good Faith Efforts (pursuant to 5 NYCRR §142.8 and 9 NYCRR § 252.2(n) to achieve MWBE and SDVOB Participation. In addition, ESD's MWBE and SDVOB Participation/Equal Employment Opportunity Policy will apply to this program. Specific goals related to the total value of ESD's funding will be established on a grant-by grant basis. ESD has an agency-wide goal of 30% for MWBE participation, 15% for New York State-certified Minority-owned Business Enterprise ("MBE") participation and 15% for New York State-certified Women-owned Business Enterprise ("WBE") participation (based on the current availability of MBEs and WBEs). ESD has an agency-wide goal of 6% for SDVOB participation, based on the current availability of qualified SDVOBs.

## Q\_15809

By entering your name in the box below, you certify and agree that you are aware that your award will be reduced in proportion to the reduction of jobs and/or total project costs, and not meeting and maintaining affordable housing requirements. Furthermore, you understand that, should this project receive a funding award, the Applicant will maintain such records and take such actions necessary to demonstrate such compliance throughout the completion of the project.

## Q\_7341

By entering your name in the box below, you certify, under penalty of perjury, that the information given herein is true and correct in all respects for the company or organization applying for funding (the "Company"), presently and for the past five years: -the Company is not a party to any litigation or any litigation is not pending or anticipated that could have an adverse material effect on the company's financial condition;

-the Company does not have any contingent liabilities that could have a material effect on its solvency;

-the Company, its affiliates or any member of its management or any other concern with which such members of management have been officers or directors, have never been involved in bankruptcy, creditor's rights, or receivership proceedings or sought protection from creditors;

-the Company is not delinquent on any of its state, federal or local tax obligations;

-No principal, officer of the Company, owner or majority stockholder of any firm or corporation, or member of the management has been charged or convicted of a misdemeanor or felony, indicted, granted immunity, convicted of a crime or subject to a judgment, or the subject of an investigation, whether open or closed, by any government entity for a civil or criminal violation for: (i) any business-related activity including, but not limited to, fraud, coercion, extortion, bribe or bribe receiving, giving or accepting unlawful gratuities, immigration or tax fraud, racketeering, mail fraud, wire fraud, price fixing or collusive bidding; or (ii) any crime, whether or not business related, where the underlying conduct relates to truthfulness, including but not limited to, the filing of false documents or false sworn statements, perjury or larceny;

-the Company or any of the Company's affiliates, principal owners or Officers has not received a violation of State Labor Law deemed "willful";

-the Company or any of its affiliates has never been cited for a violation of State, Federal, or local laws or regulations with respect to labor practices, hazardous wastes, environmental pollution or other operating practices;

-there are not any outstanding judgments or liens pending against the Company other than liens in the normal course of business.

-the Company or any of its affiliates, principal owners or officers the company has not been the subject of any judgments, injunctions, or liens including, but not limited to, judgments based on taxes owed, fines and penalties assessed by any governmental agency, or elected official against the Company.

- the Company or any of its affiliates, principal owners or officers the company has not been investigated by any governmental agency, including, but not limited to, federal, state and local regulatory agencies

-the Company or any of its affiliates, principal owners or officers the company has not been debarred from entering into any government contract; been found non-responsible on any government contract; been declared in default or terminated for cause on any government contract; been determined to be ineligible to bid or propose on any contract; been suspended from bidding on any government contract; received an overall unsatisfactory performance rating from any government agency on any contract; agree to a voluntary exclusion from bidding or contracting on a government contract.

- the Company or any of its affiliates, principal owners or officers the company has not failed to file any of the required forms with any government entity regulating the Company. By entering your name in the box below, you agree to allow the Department of Taxation to share the Company tax information with ESD. By entering your name in the box below, you agree to allow the Department of Labor to share tax and employer information with ESD. Note: If any of the statements above are not true, in addition to entering your name, also include an explanation in the box below, indicating which issue you are addressing.

**Q\_18346**

By entering your name in the box below, you acknowledge that you will pay a 1% commitment fee to ESD and be responsible for out-of-pocket costs at the time of board approval.