

Program Questions: Rochester-Monroe Transformation Initiative

Q_19164

Are you submitting an application seeking funding through the Rochester-Monroe Transformation Initiative (RMTI) program? Eligible applicants must have site ownership/control OR written consent from the ownership entity consenting to the application.

- Question Type: Threshold
- Required: Yes
- Answer Type: Yes/No

Q_565

Project City

- Question Type: Location
- Required: Yes
- Answer Type: Short Answer

Q_972

Project county or counties.

- Question Type: Location
- Required: Yes
- Answer Type: NYS County Multiple Choice

Q_1034

Project ZIP Code. (please use ZIP+4 if known)

- Question Type: Location
- Required: Yes
- Answer Type: Zip Code
- Question Requirements:

To locate a Zip Code, visit: <https://tools.usps.com/zip-code-lookup.htm>

Q_928

Project Street Address: Please input the project street address (**Street Number and Street Name only**).

If the project has multiple locations, please input the primary street address of the project. If the project does not have a definite street address, please input the approximate street address of the project (Street Number and Street Name only).

- Question Type: Location
- Required: Yes
- Answer Type: Short Answer

Q_568

Project State

- Question Type: Location
- Required: Yes
- Answer Type: Single Choice Dropdown
- Choice Options: AA,AL,AK,AZ,AR,CA,CO,CT,DE,FL,GA,HI,ID,IL,IN,IA,KS,KY,LA,ME,MD,MA,MI,MN,MS,MO,MT,NE,NV,NH,NJ,NM,NY,NC,ND,OH,OK,OR,PA,RI,SC,SD,TN,TX,UT,VT,VA,WA,WV,WI,WY,AS,DC,FM,GU,MH,MP,PW,PR,VI
- Default Answer: AA

Q_572

Project Latitude (This question's value will be filled automatically, based on the project address, when the application is finalized.)

- Question Type: Location
- Required: No
- Answer Type: Decimal

Q_573

Project Longitude (This question's value will be filled automatically, based on the project address, when the application is finalized.)

- Question Type: Location
- Required: No
- Answer Type: Decimal

Q_3527

US Congressional District where the project is located. (This question's value will be filled automatically, based on the project address, when the application is finalized.)

- Question Type: Location
- Required: No
- Answer Type: Single Choice Dropdown
- Choice Options: 1,2,3,4,5,6,7,8,9,10,11,12,13,14,15,16,17,18,19,20,21,22,23,24,25,26,27
- Question Requirements:

To determine the US Congressional District, click [HERE](#)

Q_184

NYS Assembly District where the project is located. (This question's value will be filled automatically, based on the project address, when the application is finalized.)

- Question Type: Location
- Required: No
- Answer Type: Integer

Q_190

NY Senate District where the project is located. (This question's value will be filled automatically, based on the project address, when the application is finalized.)

- Question Type: Location
- Required: No
- Answer Type: Integer

Q_19029

Organization Legal Name

- Question Header: Applicant Information
- Question Type: Basic
- Required: Yes
- Answer Type: Short Answer
- Question Requirements:

If applying in the name of a business please type in the name as it appears on your business papers. If applying as an individual insert your name here.

Q_12626

Does the project align with the Regional Economic Development Council's Strategic Plan?

- Question Type: Basic
- Required: Yes
- Answer Type: Yes/No
- This is a conditional question.
 1. If **Yes** is selected then **Q_12627** will be displayed

Q_12627

Explain how the project aligns with the Regional Economic Development Council's Strategic Plan.

- Question Type: Basic
- Required: Yes
- Answer Type: Long Rich Text (HTML)
- Characters: any
- This is a conditional question based on the answer to [Q_12626](#). This question displays when selecting the answer: "Yes"
- Question Requirements:

Describe how the proposed project advances the REDC/URI plan for the region, specifically linking the project to the region's goals, strategies, and targeted industry clusters. A response to this question should directly and specifically link the project to a section or sections of REDC/URI plan for the region.

Q_12625

Has the applicant or project been awarded funding in prior CFA rounds?

- Question Header: Prior CFA Funding
- Question Type: Basic
- Required: Yes
- Answer Type: Yes/No
- This is a conditional question.
 1. If **Yes** is selected then **Q_2362** will be displayed

Q_2362

What were the CFA numbers for which funding was awarded? (separate multiple CFA numbers with commas)

- Question Type: Basic
- Required: No
- Answer Type: Short Answer
- This is a conditional question based on the answer to [Q_12625](#). This question displays when selecting the answer: "Yes"

Q_4160

For each program to which you are applying under the CFA, explain your strategy for proceeding if the full amount of requested funding, required matching funds, and temporary financing are not secured as expected, or committed sources become unavailable. This explanation must address any proposed project phases, and both CFA and non-CFA sources of funds.

- Question Type: Basic
- Required: Yes
- Answer Type: Long Rich Text (HTML)
- Characters: 1 - 5000

Q_549

Type of Applicant (select one)

Applicants will first select a single applicant type from the categories below and then a subtype based on their initial selection. Applicants should review the selections below which provides a list of subtypes by main applicant type.

1. For Profit entity options:

Limited Liability Corporation (LLC)
 Limited Liability Partnership (LLP)
 Sole Proprietorship
 S Corporation
 C Corporation
 Limited Partnership (LP)

Other- applicant will be required to list their other for-profit designation.

2. Not-for profit entity options:

501(c)(1) Any corporation that is organized under an act of Congress that is exempt from federal income tax;
 501(c)(2) Corporations that hold a title of property for exempt organizations;
 501(c)(3) Corporations/funds/foundations that operate for religious/ charitable/ scientific/ literary/ educational purposes;
 501(c)(4) Nonprofit organizations that promote social welfare;
 501(c)(5) Labor, agricultural, or horticultural associations;
 501(c)(6) Business leagues/chambers of commerce/etc. that are not organized for profit;
 501(c)(7) Recreational organizations; and
 Other- applicant will be required to list their other not-for-profit designation.

3. Government entity options:

Federal
 State
 County
 City
 Town
 Village
 Tribal
 School District
 County or Town Improvement District
 District Corporation
 Public Authority
 Business Improvement District
 Fire District
 Board of Cooperative Education Services (BOCES)
 Public Library
 Association Library
 Other- applicant will be required to list their other government designation.

- Question Type: Basic
- Required: Yes
- Answer Type: Single Choice Dropdown
- Choice Options: For-Profit, Not-for-Profit, Government
- This is a conditional question.

1. If **For-Profit** is selected then **Q_15475** will be displayed
2. If **Government** is selected then **Q_15478** will be displayed
3. If **Not-for-Profit** is selected then **Q_15477** will be displayed

Q_15475

Select the for-profit entity of the applicant applying for funding:

- Question Type: Basic
- Required: Yes
- Answer Type: Single Choice Radio Button
- Choice Options: Limited Liability Corporation (LLC), Limited Liability Partnership (LLP), Sole Proprietorship, S Corporation, C Corporation, Limited Partnership (LP), Other
- This is a conditional question.
 1. If **Other** is selected then **Q_15483** will be displayed
- This is a conditional question based on the answer to [Q_549](#). This question displays when selecting the answer: "**For-Profit**"

Q_15483

Enter the applicant's 'Other' for-profit entity designation.

- Question Type: Basic
- Required: Yes
- Answer Type: Short Answer
- This is a conditional question based on the answer to [Q_15475](#). This question displays when selecting the answer: "**Other**"

Q_15477

Select the not-for-profit entity of the applicant applying for funding:

- Question Type: Basic
- Required: Yes
- Answer Type: Single Choice Radio Button
- Choice Options: 501(c)(1) Any corporation that is organized under an act of Congress that is exempt from federal income tax, 501(c)(2) Corporations that hold a title of property for exempt organizations, 501(c)(3) Corporations/funds/foundations that operate for religious/charitable/scientific/literary/educational purposes, 501(c)(4) Nonprofit organizations that promote social welfare, 501(c)(5) Labor/agricultural/horticultural associations, 501(c)(6) Business leagues/chambers of commerce/etc. that are not organized for profit, 501(c)(7) Recreational organizations, Other
- This is a conditional question.
 1. If **Other** is selected then **Q_15484** will be displayed
- This is a conditional question based on the answer to [Q_549](#). This question displays when selecting the answer: "**Not-for-Profit**"

Q_15484

Enter the applicant's 'Other' not-for-profit entity designation.

- Question Type: Basic
- Required: Yes
- Answer Type: Short Answer
- This is a conditional question based on the answer to [Q_15477](#). This question displays when selecting the answer: "**Other**"

Q_15478

Select the government entity of the applicant applying for funding:

- Question Type: Basic
- Required: Yes
- Answer Type: Single Choice Radio Button
- Choice Options: Federal, State, County, City, Town, Village, Tribal, School District, County or Town Improvement District, District Corporation, Public Authority, Business Improvement District, Fire District, Board of Cooperative Education Services (BOCES), Public Library, Association Library, Other
- This is a conditional question.
 1. If **Other** is selected then **Q_15485** will be displayed
- This is a conditional question based on the answer to [Q_549](#). This question displays when selecting the answer: "**Government**"

Q_15485

Enter the applicant's 'Other' government entity designation.

- Question Type: Basic
- Required: Yes
- Answer Type: Short Answer
- This is a conditional question based on the answer to [Q_15478](#). This question displays when selecting the answer: "**Other**"

Q_12603

Is the applicant a DBA?

- Question Type: Basic
- Required: Yes
- Answer Type: Yes/No
- This is a conditional question.
 1. If **Yes** is selected then **Q_550** will be displayed

Q_550

What is the applicant's DBA name?

- Question Type: Basic
- Required: Yes
- Answer Type: Short Answer
- This is a conditional question based on the answer to [Q_12603](#). This question displays when selecting the answer: "**Yes**"

Q_556

Select an applicant ID type from the list below that you normally use to identify your organization on application forms.

- Question Type: Basic
- Required: Yes
- Answer Type: Single Choice Radio Button
- Choice Options: Charity Reg #, Duns Number, Federal Tax ID Number, NYS Unemployment Insurance Tax Number, NYS Vendor Identification Number (SFS), Employee Identification Number (EIN), Unique Entity Identifier (UEI)
- Question Requirements:

Applicants will be required to provide the specified ID number upon request by the funding agencies.

Q_2655

Based on your selection from the previous question, enter the associated ID number.

- Question Type: Basic
- Required: Yes

- Answer Type: Short Answer

Q_969

If you are a business, have you been certified as a New York State Minority or Women-owned Business Enterprise (MWBE)?

- Question Type: Basic
- Required: Yes
- Answer Type: Single Choice Radio Button
- Choice Options: Yes, No, N/A

Q_546

Organization Legal Name

- Question Header: Applicant Information
- Question Type: Basic
- Required: Yes
- Answer Type: Short Answer
- Question Requirements:

If applying in the name of a business please type in the name as it appears on your business papers. If applying as an individual insert your name here.

Q_5416

Applicant First Name

- Question Type: Basic
- Required: Yes
- Answer Type: Short Answer

Q_5417

Applicant Last Name

- Question Type: Basic
- Required: Yes
- Answer Type: Short Answer

Q_551

Applicant Street Address

- Question Type: Basic
- Required: Yes
- Answer Type: Short Answer

Q_552

Applicant City

- Question Type: Basic
- Required: Yes
- Answer Type: Short Answer

Q_553

Applicant State

- Question Type: Basic
- Required: Yes
- Answer Type: State Dropdown

Q_554

Applicant ZIP Code. (please use ZIP+4 if known)

- Question Type: Basic
- Required: Yes
- Answer Type: Zip Code
- Question Requirements:

To look up a zip code, click [HERE](#).

Q_651

Applicant Telephone Number (please include area code)

- Question Type: Basic
- Required: Yes
- Answer Type: Phone

Q_555

Applicant Email Address

- Question Type: Basic
- Required: Yes
- Answer Type: Email

Q_5257

Contact Salutation

- Question Type: Basic
- Required: No
- Answer Type: Single Choice Dropdown
- Choice Options: Mr., Mrs., Ms., Dr.

Q_547

Contact First Name

- Question Type: Basic
- Required: Yes
- Answer Type: Short Answer

Q_1049

Contact Last Name

- Question Type: Basic
- Required: Yes
- Answer Type: Short Answer

Q_1050

Contact Title

- Question Type: Basic
- Required: No
- Answer Type: Short Answer

Q_5490

Primary Organization

- Question Type: Basic
- Required: No
- Answer Type: Short Answer

Q_3688

Contact Street Address

- Question Type: Basic
- Required: Yes
- Answer Type: Short Answer

Q_3689

Contact City

- Question Type: Basic
- Required: Yes
- Answer Type: Short Answer

Q_3690

Contact State

- Question Type: Basic
- Required: Yes
- Answer Type: State Dropdown

Q_3691

Contact ZIP Code (please use ZIP+4 if known)

- Question Type: Basic
- Required: Yes
- Answer Type: Zip Code

Q_562

Primary Contact Phone Number. (please include area code)

- Question Type: Basic
- Required: Yes
- Answer Type: Phone

Q_3692

Contact Email

- Question Type: Basic
- Required: Yes
- Answer Type: Email

Q_5475

Contract Salutation

- Question Type: Basic
- Required: No
- Answer Type: Single Choice Dropdown
- Choice Options: Mr., Mrs., Ms., Dr.

Q_5476

Contract First

- Question Type: Basic
- Required: Yes
- Answer Type: Short Answer

Q_5477

Contract Last

- Question Type: Basic

- Required: Yes
- Answer Type: Short Answer

Q_5478

Contract Title

- Question Type: Basic
- Required: No
- Answer Type: Short Answer

Q_5491

Authorized Organization

- Question Type: Basic
- Required: No
- Answer Type: Short Answer

Q_5479

Contract Street

- Question Type: Basic
- Required: Yes
- Answer Type: Short Answer

Q_5480

Contract City

- Question Type: Basic
- Required: Yes
- Answer Type: Short Answer

Q_5481

Contract State

- Question Type: Basic
- Required: Yes
- Answer Type: State Dropdown

Q_5482

Contract Zip (please use ZIP+4 if known)

- Question Type: Basic
- Required: Yes
- Answer Type: Zip Code

Q_5483

Contract Phone (please include area code)

- Question Type: Basic
- Required: Yes
- Answer Type: Phone

Q_5484

Contract Email

- Question Type: Basic
- Required: Yes
- Answer Type: Email

Q_5493

Additional Salutation

- Question Type: Basic
- Required: No
- Answer Type: Single Choice Dropdown
- Choice Options: Mr., Mrs., Ms., Dr.

Q_1052

Additional Project Contact First Name

- Question Type: Basic
- Required: No
- Answer Type: Short Answer

Q_970

Additional Project Contact Last Name

- Question Type: Basic
- Required: No
- Answer Type: Short Answer

Q_1051

Additional Contact Title

- Question Type: Basic
- Required: No
- Answer Type: Short Answer

Q_5492

Additional Organization

- Question Type: Basic
- Required: No
- Answer Type: Short Answer

Q_3693

Additional Contact Street Address

- Question Type: Basic
- Required: No
- Answer Type: Short Answer

Q_3694

Additional Contact City

- Question Type: Basic
- Required: No
- Answer Type: Short Answer

Q_3695

Additional Contact State

- Question Type: Basic
- Required: No
- Answer Type: State Dropdown

Q_3696

Additional Contact ZIP (please use ZIP+4 if known)

- Question Type: Basic
- Required: No
- Answer Type: Zip Code

Q_3697

Additional Contact Telephone Number (please include area code)

- Question Type: Basic
- Required: No
- Answer Type: Phone

Q_561

Additional Contact Email Address

- Question Type: Basic
- Required: No
- Answer Type: Email

Q_4199

Please select the primary sector or characterization that best defines this project.

- Question Type: Basic
- Required: Yes
- Answer Type: Single Choice Dropdown
- Choice Options: Agriculture, Arts/Culture/Cultural Institutions, Biomedical/Medical, Community Development, Education/College/University, Energy, Environment, Financial Services, Food/Beverage, Healthcare, Historic Preservation, Hospitality, Housing, Industrial/Manufacturing, Information Technology Services/Communications, Infrastructure, Municipal/Government, Office, Recreation, Research & Development, Tourism/Travel, Transportation, Water/Wastewater/Sewer, Waterfront Revitalization, Workforce Development, Business Development, Technology Commercialization, Semiconductors/Semiconductor Supply Chain

Q_4198

Please select the secondary sector or characterization that best defines this project.

- Question Type: Basic
- Required: Yes
- Answer Type: Single Choice Dropdown
- Choice Options: Agriculture, Arts/Culture/Cultural Institutions, Biomedical/Medical, Community Development, Education/College/University, Energy, Environment, Financial Services, Food/Beverage, Healthcare, Historic Preservation, Hospitality, Housing, Industrial/Manufacturing, Information Technology Services/Communications, Infrastructure, Municipal/Government, Office, Research & Development, Tourism/Travel, Transportation, Water/Wastewater/Sewer, Waterfront Revitalization, Workforce Development, Business Development, Technology Commercialization, Semiconductors/Semiconductor Supply Chain

Q_575

Project Description. Concisely describe the project, indicating the location, what will be planned, designed, acquired, and/or constructed, the issues/opportunities to be addressed, and expected outcomes and deliverables. Additional details will be collected later in the application process.

- Question Header: Project Description
- Question Type: Basic
- Required: Yes
- Answer Type: Long Rich Text (HTML)
- Characters: 1 - 1200
- Question Requirements:

Please include details relevant to all programs on this application. Programs on this application are: {{program_list}}

Q_976

Statement of need: Provide a brief summary of the need for the project in the geographic area proposed and the project's financing needs, including funding gaps of the proposed project.

- Question Type: Basic
- Required: Yes
- Answer Type: Long Rich Text (HTML)
- Characters: 1 - 850
- Question Requirements:

Provide a brief summary of the need for the project in the geographic area proposed, the project's financing needs, including funding gaps and, where applicable, describe the additional short and long term jobs that will be created through the development of the proposed project.

Q_929

Current State of Project Development (i.e. planning, preliminary engineering, final design, etc. You may enter N/A for non-project related applications)

- Question Type: Basic
- Required: Yes
- Answer Type: Short Answer

Q_975

Estimated Project Timeline: include project start/completion dates, estimates for design, permitting and construction or other major steps.

- Question Type: Basic
- Required: Yes
- Answer Type: Long Rich Text (HTML)
- Characters: 1 - 850

Q_580

Provide a list of all federal, state, and local reviews, approvals, or permits needed or completed, including the dates when they are expected to be completed or were completed. If Not Applicable, indicate "NA".

- Question Type: Basic
- Required: Yes
- Answer Type: Long Answer
- Characters: 1 - 400

Q_12606

Does this project require State and/or Federal Environmental Review?

- Question Type: Basic
- Required: Yes
- Answer Type: Yes/No
- This is a conditional question.
 - 1. If Yes is selected then these questions will be displayed:
 - [Q_2364](#)
 - [Q_12607](#)

Q_2364

What is the status of State and/or Federal Environmental Review?

- Question Type: Basic
- Required: Yes
- Answer Type: Short Answer
- This is a conditional question based on the answer to [Q_12606](#). This question displays when selecting the answer: "Yes"

Q_12607

Please indicate the lead agency (if applicable).

- Question Type: Basic
- Required: Yes
- Answer Type: Short Answer
- This is a conditional question based on the answer to [Q_12606](#). This question displays when selecting the answer: "Yes"

Q_18182

Is this project subject to National Environmental Policy Act (NEPA)?

- Question Type: Basic
- Required: Yes
- Answer Type: Yes/No

Q_12604

Has a National Environmental Policy Act (NEPA) Record of Decision been issued?

- Question Type: Basic
- Required: Yes
- Answer Type: Yes/No
- This is a conditional question.
 - 1. If Yes is selected then [Q_1054](#) will be displayed

Q_1054

Please explain decision and include date of Record of Decision.

- Question Type: Basic
- Required: Yes
- Answer Type: Long Rich Text (HTML)
- Characters: 0 - 850
- This is a conditional question based on the answer to [Q_12604](#). This question displays when selecting the answer: "Yes"

Q_2199

G. Average Annual Wage (Full Time Employees and FTEs) All NYS Locations as of the date this application is finalized

- Question Type: Jobs
- Required: Yes
- Answer Type: Money

Q_2195

F. Total Full Time Employees + Total FTEs (B+E) All NYS LOCATIONS.

- Question Type: Jobs
- Required: Yes
- Answer Type: Integer
- Question Requirements:

A Full-time equivalent job equals any combination of two or more part-time jobs that, when combined together, constitute the equivalent of a job of at least 35 hours per week. NOTE: Your base employment for Excelsior is calculated using the prior 4 quarters from when you are accepted into the program.

Q_1365

D. Total Contract Employees/Jobs Only ALL NYS Locations

- Question Type: Jobs
- Required: Yes
- Answer Type: Integer
- Question Requirements:

A **Full-time Contract Employee** is a full-time private sector employee (or self-employed person) who is not on the Recipient's payroll but who works exclusively for the Recipient at the project location for a minimum of 35 hours per week for not less than four consecutive weeks, providing services that would otherwise be provided by a Full-time Permanent Employee. The position held by a Full-time Contract Employee must be a year-round position.

Q_2196

F. Total Full Time Employees + Total FTEs (B+E) PROJECT LOCATION Only

- Question Type: Jobs
- Required: Yes
- Answer Type: Integer
- Question Requirements:

NOTE: A full-time equivalent job equals any combination of two or more part-time jobs that, when combined together, constitute the equivalent of a job of at least 35 hours per week. Please note if any of these positions are contract employees and if so, how many of the total are contract employees.

Q_1186

G. Average Annual Wage (Full Time Employees and FTEs) Project Location Only as of the date this application is finalized.

- Question Type: Jobs
- Required: Yes
- Answer Type: Money

Q_1196

H. At Risk Positions (From Existing Full Time Employees and FTEs)

How many of the existing jobs at the project location(s) are at risk if the project does not go forward.

- Question Type: Jobs
- Required: Yes
- Answer Type: Integer
- Question Requirements:

"**At Risk**" shall mean a permanent Full-time employee position currently located in New York State that is found by ESD to be at risk of being lost or moved out of state based on compelling information provided by the applicant.

Q_2175

J. Percentage of Employees that are residents of New York State Project Location Only

- Question Type: Jobs
- Required: Yes
- Answer Type: Integer

Q_1366

D. Total Contract Employees/Jobs Only Project Location Only

- Question Type: Jobs
- Required: Yes
- Answer Type: Integer
- Question Requirements:

A **Full-time Contract Employee** is a full-time private sector employee (or self-employed person) who is not on the Recipient's payroll but who works exclusively for the Recipient at the project location for a minimum of 35 hours per week for not less than four consecutive weeks, providing services that would otherwise be provided by a Full-time Permanent Employee. The position held by a Full-time Contract Employee must be a year-round position.

Q_2372

K. Construction Jobs: Estimate the number of construction jobs to be created by the proposed project.

- Question Type: Jobs
- Required: Yes
- Answer Type: Integer
- Question Requirements:

K. Construction Jobs: Estimate the number of construction jobs to be created by the proposed project.

Q_3064

Net New Job Information (Enter Cumulatively) 1. Enter the type of job by general category.

2. Enter the gross annual wages for each job type.

3. List the number of net new jobs. Please enter CUMULATIVE job totals, i.e. if there are 10 jobs being created each year for 5 years, enter as 10, 20, 30, 40, 50.

Please note that if you are completing the URI Phase 1 - Intent to Propose, you are not required to complete the table below at this time as this information will be available to enter in during phase 2 of the application.

- Question Type: Jobs
- Required: No
- Answer Type: Short Answer

Q_3065

Net New Job Information

- Question Type: Jobs
- Required: No
- Answer Type: Money

Q_3066

Net New Job Information

- Question Type: Jobs
- Required: No
- Answer Type: Integer

Q_3067

Net New Job Information

- Question Type: Jobs
- Required: No
- Answer Type: Integer

Q_3068

Net New Job Information

- Question Type: Jobs
- Required: No
- Answer Type: Integer

Q_3069

Net New Job Information

- Question Type: Jobs
- Required: No
- Answer Type: Integer

Q_3070

Net New Job Information

- Question Type: Jobs
- Required: No
- Answer Type: Integer

Q_3071

Net New Job Information Job Type/Category

- Question Type: Jobs
- Required: No
- Answer Type: Short Answer

Q_3072

Net New Job Information 2 Avg Gross Wages/Job Type

- Question Type: Jobs
- Required: No
- Answer Type: Money

Q_3073

Net New Job Information 2 Year 1 -

- Question Type: Jobs
- Required: No
- Answer Type: Integer

Q_3074

Net New Job Information 2 Year 2 -

- Question Type: Jobs
- Required: No
- Answer Type: Integer

Q_3075

Net New Job Information 2 Year 3 -

- Question Type: Jobs
- Required: No
- Answer Type: Integer

Q_3076

Net New Job Information 2 Year 4 -

- Question Type: Jobs
- Required: No
- Answer Type: Integer

Q_3077

Net New Job Information 2 Year 5 -

- Question Type: Jobs
- Required: No
- Answer Type: Integer

Q_3078

Net New Job Information (Enter Cumulatively) Job Type/Category

- Question Type: Jobs
- Required: No
- Answer Type: Short Answer

Q_3079

Net New Job Information (Enter Cumulatively) 3 Avg Gross Wages/Job Type

- Question Type: Jobs
- Required: No
- Answer Type: Money

Q_3080

Net New Job Information (Enter Cumulatively) 3 Year 1 -

- Question Type: Jobs
- Required: No
- Answer Type: Integer

Q_3081

Net New Job Information (Enter Cumulatively) 3 Year 2 -

- Question Type: Jobs
- Required: No
- Answer Type: Integer

Q_3082

Net New Job Information (Enter Cumulatively) 3 Year 3 -

- Question Type: Jobs
- Required: No
- Answer Type: Integer

Q_3083

Net New Job Information (Enter Cumulatively) 3 Year 4 -

- Question Type: Jobs
- Required: No
- Answer Type: Integer

Q_3084

Net New Job Information (Enter Cumulatively) 3 Year 5 -

- Question Type: Jobs
- Required: No
- Answer Type: Integer

Q_3086

Net New Job Information (Enter Cumulatively) Job Type/Category

- Question Type: Jobs
- Required: No
- Answer Type: Short Answer

Q_3089

Net New Job Information (Enter Cumulatively) 4 Avg Gross Wages/Job Type

- Question Type: Jobs
- Required: No
- Answer Type: Money

Q_3090

Net New Job Information (Enter Cumulatively) 4 Year 1 -

- Question Type: Jobs
- Required: No
- Answer Type: Integer

Q_3091

Net New Job Information (Enter Cumulatively) 4 Year 2 -

- Question Type: Jobs
- Required: No
- Answer Type: Integer

Q_3092

Net New Job Information (Enter Cumulatively) 4 Year 3 -

- Question Type: Jobs
- Required: No
- Answer Type: Integer

Q_3093

Net New Job Information (Enter Cumulatively) 4 Year 4 -

- Question Type: Jobs
- Required: No
- Answer Type: Integer

Q_3094

Net New Job Information (Enter Cumulatively) 4 Year 5 -

- Question Type: Jobs
- Required: No
- Answer Type: Integer

Q_3095

Net New Job Information (Enter Cumulatively) Job Type/Category

- Question Type: Jobs
- Required: No
- Answer Type: Short Answer

Q_3096

Net New Job Information (Enter Cumulatively) 5 Avg Gross Wages/Job Type

- Question Type: Jobs
- Required: No
- Answer Type: Money

Q_3097

Net New Job Information (Enter Cumulatively) 5 Year 1 -

- Question Type: Jobs
- Required: No
- Answer Type: Integer

Q_3098

Net New Job Information (Enter Cumulatively) 5 Year 2 -

- Question Type: Jobs
- Required: No
- Answer Type: Integer

Q_3099

Net New Job Information (Enter Cumulatively) 5 Year 3 -

- Question Type: Jobs
- Required: No
- Answer Type: Integer

Q_3100

Net New Job Information (Enter Cumulatively) 5 Year 4 -

- Question Type: Jobs
- Required: No
- Answer Type: Integer

Q_3101

Net New Job Information (Enter Cumulatively) 5 Year 5 -

- Question Header: NEW NET JOBS TO BE CREATED AS A RESULT OF THE PROJECT

In the Table below, provide a breakdown by general category/job type to indicate how many net new full-time employees/jobs the company will create cumulatively by year (using the definition in the question above) as a result of the project. The total in the bottom right-hand corner needs to equal the number in the subsequent question that follows this table.

1) Enter the type of job by general category.

2) Enter the average gross annual wages for each job type.

3) Enter CUMULATIVE job totals in the table below. Cumulative job totals mean that each subsequent year in the chart includes jobs created in all prior years. The job number in the bottom right-hand corner on the chart is the full number of jobs created over all five years and needs to equal the number in the subsequent question that follows this table. The number of net new jobs in each cell should be consistent with the definitions from the top of the page, where total new full-time employees/jobs are added to total new full-time equivalent employees/jobs to get the total number of net new jobs that can counted.

i.e. if there are 10 jobs being created each year for 5 years in one category, enter 10 for year 1; 20 for year 2; 30 for year 3; 40 for year 4; 50 for year 5.

If awarded, your company or entity will be held to the job creation commitments as outlined in the table below and question above. For grants, should you fail to meet the job creation commitments, recapture provisions may apply. For Excelsior, each years job commitment is evaluated separately to determine if tax credits can be issued.

Applicants should reach out to the regional office in which their project is located if they have any questions on how to fill this table out.

- Question Type: Jobs
- Required: No
- Answer Type: Integer

Q_7506

Indicate how many net new full-time jobs the company will create over 5 years. Please ensure this number is the same as the cumulative total number of jobs you entered for year 5 from the Net New Job Information table above.

The number of net new jobs should be consistent with the definitions from the top of the page, where total new full-time employees/jobs are added to total new full-time equivalent employees/jobs to get the total number of net new jobs that can counted.

If awarded, your company or entity will be held to the job creation commitments as outlined in this question and table below. For grants, should you fail to meet the job creation commitments, recapture provisions may apply. For Excelsior, each years job commitment is evaluated separately to determine if tax credits can be issued.

- Question Type: Jobs
- Required: Yes
- Answer Type: Integer

Q_4167

Indicate the year employment begins under Year 1 for your project for the Net New Job table above.

- Question Type: Jobs
- Required: Yes
- Answer Type: Integer
- Question Requirements:

Please reference job definitions at the top of this page.

The base employment for Excelsior is calculated using the prior 4 quarters from when you are accepted into the program.

Q_17161

Attach interim financial documents for 2025

For privately owned companies, IDAs, not-for-profits, educational institutions, start-ups, municipalities, or any business or organization other than publicly traded companies, please provide audited or reviewed financials, or compiled financials with signed tax returns, for 2025. For publicly traded companies, please provide Form 10-K for 2025.

- Question Type: Attachment
- Required: Yes
- Answer Type: Attachment
- Question Requirements:

Financial statements should be audited or reviewed. If the statements are only compiled, they must be accompanied by copies of signed Federal tax returns (3 yrs). Financial statements should be provided by the parent company or any individual owning 50% or greater of the Applicant) unless the Applicant prepares separate audited or reviewed financial statements or files separate tax returns from the parent.

For information about this requirement, contact your local ESD Regional Office. <https://esd.ny.gov/regions>.

Q_17160

Attach 2024 financial documents

For privately owned companies, IDAs, not-for-profits, educational institutions, start-ups, municipalities, or any business or organization other than publicly traded companies, please provide audited or reviewed financials, or compiled financials with signed tax returns, for 2024. For publicly traded companies, please provide Form 10-K for 2024.

- Question Type: Attachment
- Required: Yes
- Answer Type: Attachment
- Question Requirements:

Financial statements should be audited or reviewed. If the statements are only compiled, they must be accompanied by copies of signed Federal tax returns (3 yrs). Financial statements should be provided by the parent company or any individual owning 50% or greater of the Applicant) unless the Applicant prepares separate audited or reviewed financial statements or files separate tax returns from the parent.

For information about this requirement, contact your local ESD Regional Office. <https://esd.ny.gov/regions>.

If you are applying to the ONRAMP program, contact workforce@esd.ny.gov.

Q_15218

Attach 2023 financial documents

For privately owned companies, IDAs, not-for-profits, educational institutions, start-ups, municipalities, or any business or organization other than publicly traded companies, please provide audited or reviewed financials, or compiled financials with signed tax returns, for 2023. For publicly traded companies, please provide Form 10-K for 2023.

- Question Type: Attachment
- Required: Yes
- Answer Type: Attachment
- Question Requirements:

Financial statements should be audited or reviewed. If the statements are only compiled, they must be accompanied by copies of signed Federal tax returns (3 yrs). Financial statements should be provided by the parent company or any individual owning 50% or greater of the Applicant) unless the Applicant prepares separate audited or reviewed financial statements or files separate tax returns from the parent.

For information about this requirement, contact your local ESD Regional Office. <https://esd.ny.gov/regions>.

If you are applying to the ONRAMP program, contact workforce@esd.ny.gov.

Q_13592

Attach 2022 financial documents

For privately owned companies, IDAs, not-for-profits, educational institutions, start-ups, municipalities, or any business or organization other than publicly traded companies, please provide audited or reviewed financials, or compiled financials with signed tax returns, for 2022. For publicly traded companies, please provide Form 10-K for 2022.

- Question Type: Attachment
- Required: Yes
- Answer Type: Attachment
- Question Requirements:

Financial statements should be audited or reviewed. If the statements are only compiled, they must be accompanied by copies of signed Federal tax returns (3 yrs). Financial statements should be provided by the parent company or any individual owning 50% or greater of the Applicant) unless the Applicant prepares separate audited or reviewed financial statements or files separate tax returns from the parent.

For information about this requirement, contact your local ESD Regional Office. <https://esd.ny.gov/regions>.

If you are applying to the ONRAMP program, contact workforce@esd.ny.gov.

Q_18539

If the project includes real estate acquisition, attach a current appraisal

- Question Type: Attachment
- Required: No
- Answer Type: Attachment

Q_18540

Attach any pro formas or projections available to demonstrate feasibility/sustainability

- Question Type: Attachment
- Required: No
- Answer Type: Attachment

Q_18541

Provide any available site plans or renderings

- Question Type: Attachment
- Required: No
- Answer Type: Attachment

Q_6946

Please provide Letters of Support for your project (if applicable). All letters should be scanned into a single PDF file and their total size cannot exceed 30 Megabytes (MB).

- Question Type: Attachment
- Required: No
- Answer Type: Attachment

Q_2165

For Start-up companies, in addition to the documents listed above provide: 1) Financial projections (5 years) 2) Personal financial statements from a personal guarantor(s) of the start-up company 3) Principal resumes

- Question Type: Attachment
- Required: No
- Answer Type: Attachment
- Question Requirements:

For information about this requirement, contact your local ESD Regional Office. <https://esd.ny.gov/regions>.

Q_2331

Attach an organizational chart along with a brief description of the ownership structure. Please specify the ownership of the applicant's business including the names of principal owners, include the percentage of ownership for each individual entity (if applicable), and if the company is a parent, subsidiary and/or affiliate of another company, please provide a description of the relationship. Additional financial information may be requested.

- Question Type: Attachment
- Required: Yes
- Answer Type: Attachment

Q_5689

Attach a short or long Environmental Assessment Form

Project review pursuant to the State Environmental Quality Review Act (SEQRA) must be completed prior to the award of any state funds. For projects classified as Type I or Unlisted actions, submit a short or long Environmental Assessment Form. See "Click Here for Question Requirements" for links to forms.

- Question Type: Attachment
- Required: No
- Answer Type: Attachment
- Question Requirements:

Information and forms can be found at <http://www.dec.ny.gov/permits/357.html> and <http://www.dec.ny.gov/permits/6191.html>.

Q_2333

If review of the project has been completed pursuant to State Environmental Quality Review Act (SEQRA) or National Environmental Policy Act (NEPA), please submit the Negative Declaration or Findings Statement, or Finding of No Significant Impact or Record of Decision.

- Question Type: Attachment
- Required: No
- Answer Type: Attachment

Q_16310

Have any other entities completed a Climate Impact Statement or other similar form for this project?

- Question Header: CLIMATE IMPACT STATEMENT - PART A
The following questions make up part of the Climate Impact Statement and will assist Empire State Development in determining whether a proposed approval, undertaking, support, or funding for a project by ESD is consistent with the State's greenhouse gas emission reduction targets. Not all questions/answers may be relevant to all projects.

- Question Type: Attachment
- Required: Yes
- Answer Type: Yes/No
- This is a conditional question.
 1. If **No** is selected then **Q_16312** will be displayed
 2. If **Yes** is selected then **Q_16311** will be displayed
- Question Requirements:

For OSD Pay for Performance Operating Grant applicants only: please be sure to answer "no" or "n/a" to CLCPA questions only, as these questions are not applicable to your program.

Q_16311

Attach a copy of the completed Climate Impact Statement or similar form.

- Question Type: Attachment
- Required: Yes
- Answer Type: Attachment
- This is a conditional question based on the answer to [Q_16310](#). This question displays when selecting the answer: "Yes"

Q_16312

Has an Environmental Impact Statement been prepared for this project?

- Question Type: Attachment
- Required: Yes
- Answer Type: Yes/No
- This is a conditional question.
 1. If **No** is selected then **Q_16314** will be displayed
 2. If **Yes** is selected then **Q_16313** will be displayed
- This is a conditional question based on the answer to [Q_16310](#). This question displays when selecting the answer: "No"

Q_16313

Attach copy of the Greenhouse Gas Emission section.

- Question Type: Attachment
- Required: Yes
- Answer Type: Attachment
- This is a conditional question based on the answer to [Q_16312](#). This question displays when selecting the answer: "Yes"

Q_16314

Have the greenhouse gas emissions expected from the project been quantified in any other way?

- Question Type: Attachment
- Required: Yes
- Answer Type: Yes/No
- This is a conditional question.
 1. If **Yes** is selected then **Q_16315** will be displayed
- This is a conditional question based on the answer to [Q_16312](#). This question displays when selecting the answer: "No"

Q_16315

Attach copy of the supporting calculations and other material.

- Question Type: Attachment
- Required: Yes
- Answer Type: Attachment
- This is a conditional question based on the answer to [Q_16314](#). This question displays when selecting the answer: "Yes"

Q_4276

Please describe the readiness of the project and identify when state funding would need to be made available.

- Question Type: Standard Question
- Required: Yes
- Answer Type: Short Answer
- Question Requirements:

As a part of developing comprehensive growth strategies, regions must demonstrate their readiness to implement the plan and take advantage of major state investment. Overall readiness will be considered, in addition to the readiness for large-scale capital projects. It is possible that a workforce development initiative will be ready earlier than a construction project involving more significant private sector investment. All projects submitted for consideration must work together to advance the region's long-term plan.

Q_19165

How does your project align with the stated goals of the Rochester-Monroe Transformation Initiative (RMTI)? (See RMTI information linked in question)

- Question Type: Standard Question
- Required: Yes
- Answer Type: Long Rich Text (HTML)
- Characters: any

Q_3175

Should the full amount of the Applicant's ESD funding request not be awarded, at what minimum level of ESD funding does the project become unfeasible?

- Question Type: Standard Question
- Required: Yes
- Answer Type: Long Answer
- Characters: 0 - 1500

Q_5590

Please provide a concise narrative describing the applicant's history and current operations. Include information about company/organization size, products, services, market share, position within the industry, competitors and the year in which the company was formed, etc.

- Question Type: Standard Question
- Required: Yes
- Answer Type: Long Answer
- Characters: 1 - 1000

Q_4277

Please identify the project partners including the project leader and others involved in the implementation of the project. See the help section for format.

- Question Type: Standard Question
- Required: Yes
- Answer Type: Long Answer
- Characters: 0 - 1000
- Question Requirements:

Lead Organization Name:

Project Leader Name (First/Last):

Description of Duties:

Partner Organization Name:

Partner Name (First/Last):

Description of Duties:

Partner Organization Name:

Partner Name (First/Last):

Description of Duties:

Partner Organization Name:

Partner Name (First/Last):

Description of Duties:

Partner Organization Name:

Partner Name (First/Last):

Description of Duties:

Q_1142

Indicate the Primary North American Industrial Classification System (NAICS) Code associated with the activity of the business at the project location.

- Question Type: Standard Question
- Required: Yes
- Answer Type: Integer
- Question Requirements:

For help determining the NAICS Code, click [HERE](#).

Q_3134

A third party completing this application is required to disclose their name, company and contact name. Is a third party completing this application?

- Question Header: Company/Applicant Questions
- Question Type: Standard Question
- Required: Yes
- Answer Type: Yes/No
- This is a conditional question.
 1. If Yes is selected then Q_3136 will be displayed

Q_3136

Provide the third party name and contact info.

- Question Type: Standard Question
- Required: Yes
- Answer Type: Long Answer
- Characters: 0 - 100
- This is a conditional question based on the answer to [Q_3134](#). This question displays when selecting the answer: "Yes"

Q_18457

Please enter the number of net new permanent private sector jobs that will be created.

- Question Type: Standard Question
- Required: Yes

- Answer Type: Integer

Q_4279

Please enter the number of retained jobs associated with the project.

- Question Type: Standard Question
- Required: Yes
- Answer Type: Integer

Q_1233

What tasks and steps need to be completed before the project can begin (e.g. obtaining permits, licenses, hiring staff, etc.)

- Question Type: Standard Question
- Required: Yes
- Answer Type: Long Answer
- Characters: any

Q_4281

Please enter the number of ancillary or other jobs associated with the project.

- Question Type: Standard Question
- Required: Yes
- Answer Type: Integer

Q_12654

Has the applicant applied for and/or been awarded funding from other New York State or local entities for this project?

- Question Type: Standard Question
- Required: Yes
- Answer Type: Yes/No
- This is a conditional question.
 - If **Yes** is selected then these questions will be displayed:
 - [Q_12655](#)
 - [Q_13264](#)

Q_12655

Please detail the funding applied to for this project and the entity administering the funding. Do not include funding that has been awarded.

- Question Type: Standard Question
- Required: Yes
- Answer Type: Long Answer
- Characters: 1 - 850
- This is a conditional question based on the answer to [Q_12654](#). This question displays when selecting the answer: "Yes"

Q_13264

Please detail the funding awarded to this project and the entity administering the funding.

- Question Type: Standard Question
- Required: Yes
- Answer Type: Long Answer
- Characters: 0 - 850
- This is a conditional question based on the answer to [Q_12654](#). This question displays when selecting the answer: "Yes"

Q_13265

Has the applicant applied to and/or been awarded funding from a local IDA?

- Question Type: Standard Question
- Required: Yes
- Answer Type: Yes/No
- This is a conditional question.
 - If **Yes** is selected then these questions will be displayed:
 - [Q_13266](#)
 - [Q_13267](#)

Q_13266

Please detail the funding applied for this project and the entity administering the funding. Do not include funding that has been awarded.

- Question Type: Standard Question
- Required: Yes
- Answer Type: Long Answer
- Characters: 0 - 850
- This is a conditional question based on the answer to [Q_13265](#). This question displays when selecting the answer: "Yes"

Q_13267

Please detail the funding awarded to this project and the entity administering the funding.

- Question Type: Standard Question
- Required: Yes
- Answer Type: Long Answer
- Characters: 0 - 850
- This is a conditional question based on the answer to [Q_13265](#). This question displays when selecting the answer: "Yes"

Q_6064

Qualified Investments Building Acquisition Year 1

- Question Type: Standard Question
- Required: No
- Answer Type: Money
- Question Requirements:

Qualified investments are tangible personal property, including a building or structural component of a building.

Please note that if you are completing the URI Phase 1 - Intent to Propose, you are not required to complete the table below at this time as this information will be available to enter in during phase 2 of the application.

Q_6065

Qualified Investments Building Acquisition Year 2

- Question Type: Standard Question
- Required: No
- Answer Type: Money

Q_6066

Qualified Investments Building Acquisition Year 3

- Question Type: Standard Question
- Required: No
- Answer Type: Money

Q_6067

Qualified Investments Building Acquisition Year 4

- Question Type: Standard Question
- Required: No
- Answer Type: Money

Q_6068

Qualified Investments Building Acquisition Year 5

- Question Type: Standard Question
- Required: No
- Answer Type: Money

Q_3007

Qualified Investments Building Renovation Year 1

- Question Type: Standard Question
- Required: Yes
- Answer Type: Money
- Default Answer: 0

Q_3008

Qualified Investments New Construction Year 1

- Question Type: Standard Question
- Required: Yes
- Answer Type: Money
- Default Answer: 0

Q_3009

Qualified Investments Production Machinery & Equipment Year 1

- Question Type: Standard Question
- Required: Yes
- Answer Type: Money
- Default Answer: 0

Q_3010

Qualified Investments Furniture, fixtures & equipment Year 1

- Question Type: Standard Question
- Required: Yes
- Answer Type: Money
- Default Answer: 0

Q_6056

Qualified Investments Year 1

- Question Type: Standard Question
- Required: No
- Answer Type: Money

Q_3014

Qualified Investments Demolition & environmental remediation Year 1

- Question Type: Standard Question
- Required: Yes
- Answer Type: Money
- Default Answer: 0

Q_3015

Qualified Investments Soft Costs Year 1

- Question Type: Standard Question
- Required: Yes
- Answer Type: Money
- Default Answer: 0

Q_3018

Qualified Investments Building Renovation Year 2

- Question Type: Standard Question
- Required: Yes
- Answer Type: Money
- Default Answer: 0

Q_3019

Qualified Investments New Construction Year 2

- Question Type: Standard Question
- Required: Yes
- Answer Type: Money
- Default Answer: 0

Q_3020

Qualified Investments Production Machinery Year 2

- Question Type: Standard Question
- Required: Yes
- Answer Type: Money
- Default Answer: 0

Q_3022

Qualified Investments Furniture, fixtures Year 2

- Question Type: Standard Question
- Required: Yes
- Answer Type: Money
- Default Answer: 0

Q_6057

Qualified Investments Land Acquisition* Year 2

- Question Type: Standard Question
- Required: No
- Answer Type: Money

Q_3027

Qualified Investments Demolition Year 2

- Question Type: Standard Question
- Required: Yes
- Answer Type: Money
- Default Answer: 0

Q_3028

Qualified Investments Soft Costs Year 2

- Question Type: Standard Question
- Required: Yes
- Answer Type: Money
- Default Answer: 0

Q_3031

Qualified Investments Building Renovation Year 3

- Question Type: Standard Question
- Required: Yes
- Answer Type: Money
- Default Answer: 0

Q_3032

Qualified Investments New Construction Year 3

- Question Type: Standard Question
- Required: Yes
- Answer Type: Money
- Default Answer: 0

Q_3033

Qualified Investments Production Machinery Year 3

- Question Type: Standard Question
- Required: Yes
- Answer Type: Money
- Default Answer: 0

Q_3034

Qualified Investments Furniture, fixtures Year 3

- Question Type: Standard Question
- Required: Yes
- Answer Type: Money
- Default Answer: 0

Q_6058

Qualified Investments Land Acquisition* Year 3

- Question Type: Standard Question
- Required: No
- Answer Type: Money

Q_3039

Qualified Investments Demolition Year 3

- Question Type: Standard Question
- Required: Yes
- Answer Type: Money
- Default Answer: 0

Q_3040

Qualified Investments Soft Costs Year 3

- Question Type: Standard Question
- Required: Yes
- Answer Type: Money
- Default Answer: 0

Q_3043

Qualified Investments Building Renovation Year 4

- Question Type: Standard Question
- Required: Yes
- Answer Type: Money
- Default Answer: 0

Q_3044

Qualified Investments New Construction Year 4

- Question Type: Standard Question
- Required: Yes
- Answer Type: Money
- Default Answer: 0

Q_3045

Qualified Investments Production Machinery Year 4

- Question Type: Standard Question
- Required: Yes
- Answer Type: Money
- Default Answer: 0

Q_3046

Qualified Investments Furniture, fixtures Year 4

- Question Type: Standard Question
- Required: Yes
- Answer Type: Money
- Default Answer: 0

Q_6059

Qualified Investments Land Acquisition* Year 4

- Question Type: Standard Question
- Required: No
- Answer Type: Money

Q_3050

Qualified Investments Demolition Year 4

- Question Type: Standard Question
- Required: Yes
- Answer Type: Money
- Default Answer: 0

Q_3051

Qualified Investments Soft Costs Year 4

- Question Type: Standard Question
- Required: Yes
- Answer Type: Money
- Default Answer: 0

Q_3054

Qualified Investments Building Renovation Year 5

- Question Type: Standard Question
- Required: Yes
- Answer Type: Money
- Default Answer: 0

Q_3055

Qualified Investments New Construction Year 5

- Question Type: Standard Question
- Required: Yes
- Answer Type: Money
- Default Answer: 0

Q_3056

Qualified Investments Production Machinery Year 5

- Question Type: Standard Question
- Required: Yes
- Answer Type: Money
- Default Answer: 0

Q_3057

Qualified Investments Furniture, fixtures Year 5

- Question Type: Standard Question
- Required: Yes
- Answer Type: Money
- Default Answer: 0

Q_6060

Qualified Investments Land Acquisition* Year 5

- Question Type: Standard Question
- Required: No
- Answer Type: Money

Q_3061

Qualified Investments Demolition Year 5

- Question Type: Standard Question
- Required: Yes
- Answer Type: Money
- Default Answer: 0

Q_3062

Qualified Investments Soft Costs Year 5

- Question Header: QUALIFIED INVESTMENT TABLE INSTRUCTIONS

Please enter the annual anticipated Project Costs based on the year that the investment will be made. These Project Costs should match the information in the Program Budget section.

Please note:

1. Anything marked with an asterisks (*) is typically considered an eligible investment for ESD Regional Council Capital Funds but generally not an eligible investment for the Excelsior Jobs Program.

- Question Type: Standard Question
- Required: Yes
- Answer Type: Money
- Default Answer: 0

Q_18458

Existing SqFt Housing

- Question Type: Standard Question
- Required: Yes
- Answer Type: Integer

Q_18461

Proposed SqFt Housing

- Question Type: Standard Question
- Required: Yes
- Answer Type: Integer

Q_18462

Existing Units Housing

- Question Type: Standard Question
- Required: Yes
- Answer Type: Integer

Q_18463

Proposed Units Housing

- Question Type: Standard Question
- Required: Yes
- Answer Type: Integer

Q_18464

Existing SqFt Commercial

- Question Type: Standard Question
- Required: Yes
- Answer Type: Integer

Q_18465

Proposed Sq Ft Commercial

- Question Type: Standard Question
- Required: Yes
- Answer Type: Integer

Q_18466

Existing Units Commercial

- Question Type: Standard Question
- Required: Yes
- Answer Type: Integer

Q_18467

Proposed Units Commercial

- Question Header: HOUSING & COMMERCIAL CAPACITY

Provide the square footage and number of units for the housing and commercial portions of your project.

Existing: The current capacity before the project begins.
Proposed: The new capacity being added by the project.

Note: Enter 0 if a field is not applicable or unknown.

- Question Type: Standard Question
- Required: Yes
- Answer Type: Integer

Q_18468

If any of the housing units are considered affordable, please explain (enter "N/A" if not applicable):

- Question Type: Standard Question
- Required: Yes
- Answer Type: Long Rich Text (HTML)
- Characters: any

Q_5719

Does the project site involve or is it substantially contiguous to a property listed or recommended for listing in the NY State or National Registers of Historic Places? Consult the [National Register Information System](#) to find out if a property is listed. Or use the [Cultural Resource Information System \(CRIS\) tool](#). See question requirements for more information on SHPO consultation.

- Question Header: Environmental and Historic Preservation Questions
- Question Type: Standard Question
- Required: Yes
- Answer Type: Single Choice Radio Button
- Choice Options: Yes, No, N/A
- This is a conditional question.
 1. If **Yes** is selected then **Q_5721** will be displayed
- Question Requirements:

If "yes", the project requires consultation with the [State Historic Preservation Office \(SHPO\)](#).

Q_5721

Please identify the name of the resource, explain the status of the SHPO consultation, and if consultation is complete, please provide a link to SHPO's Letter of Determination of No Adverse Effect or Letter of Resolution to Mitigate Adverse Effect.

- Question Header: Environmental and Historic Preservation Questions
- Question Type: Standard Question
- Required: Yes
- Answer Type: Long Answer
- Characters: 1 - 600
- This is a conditional question based on the answer to [Q_5719](#). This question displays when selecting the answer: "Yes"

Q_5720

Is the project site wholly or partially included within an identified archeologically sensitive area? Consult the [National Register Information System](#) to find out if a property is listed. Or use the [Cultural Resource Information System \(CRIS\) tool](#) to identify archeologically sensitive areas. See question requirements for more information on SHPO consultation.

- Question Header: Environmental and Historic Preservation Questions
- Question Type: Standard Question
- Required: Yes
- Answer Type: Single Choice Radio Button
- Choice Options: Yes, No, N/A
- This is a conditional question.
 1. If **Yes** is selected then **Q_5722** will be displayed
- Question Requirements:

If "yes", the project requires consultation with the [State Historic Preservation Office \(SHPO\)](#).

Q_5722

Please list the geographic information for the archeologically sensitive areas, explain the status of SHPO consultation, and if consultation is complete, please provide a link to SHPO's Letter of Determination of No Adverse Effect or Letter of Resolution to Mitigate Adverse Effect.

- Question Header: Environmental and Historic Preservation Questions
- Question Type: Standard Question
- Required: Yes
- Answer Type: Long Answer
- Characters: 1 - 600
- This is a conditional question based on the answer to [Q_5720](#). This question displays when selecting the answer: "Yes"

Q_16316

Is the project located in or within a half mile of a Disadvantaged Community as identified by the Disadvantaged Communities map maintained by NYSERDA?

- Question Header: CLIMATE IMPACT STATEMENT
- The following questions make up the remainder of the Climate Impact Statement and will assist Empire State Development in determining whether a proposed approval, undertaking, support, or funding for a project by ESD is consistent with the State's greenhouse gas emission reduction targets. Not all questions/answers may be relevant to all projects.
- Question Type: Standard Question
- Required: Yes
- Answer Type: Yes/No

Q_16391

Did you answer yes to the any one of the following three questions?

Q_16310: Have any other entities completed a Climate Impact Statement or other similar form for this project?

Q_16312: Has an Environmental Impact Statement been prepared for this project?

Q_16314: Have the greenhouse gas emissions expected from the project been quantified in any other way?

- Question Type: Standard Question
- Required: Yes
- Answer Type: Yes/No
- Question Requirements:

For OSDW Pay for Performance Operating Grant applicants only: please be sure to answer "no" or "n/a" to CLCPA questions only, as these questions are not applicable to your program.

Q_16510

Have you uploaded supporting documentation in the attachments section of this application?

- Question Type: Standard Question
- Required: Yes
- Answer Type: Yes/No

Q_16372

Does the project involve new building construction or renovation of an existing building?

You should select 'Yes' only if the project involves new building construction or the renovation of an existing building. If you answer 'Yes' to less than 4 questions in this section (Part B - The Building), not including this question, and are awarded, you may be contacted to incorporate greenhouse gas mitigation measures into your project.

- Question Header: CLIMATE IMPACT STATEMENT - Part B - The Building
- Not all questions/answers may be relevant to all projects.

Please note that if you are required to complete this section (Part B- The Building) and are awarded, if you answer 'Yes' to less than 4 of the questions in this section (Part B - The Building), you will be contacted to incorporate greenhouse gas mitigation measures into your project.

- Question Type: Standard Question
- Required: Yes
- Answer Type: Yes/No
- This is a conditional question.
 1. If YES is selected then these questions will be displayed:

[Q_16317](#)
[Q_16319](#)
[Q_16321](#)
[Q_16323](#)
[Q_16325](#)
[Q_16327](#)
[Q_16329](#)
[Q_16331](#)
[Q_16339](#)
[Q_16341](#)
[Q_16343](#)
[Q_16345](#)
[Q_16347](#)
[Q_16350](#)

- Question Requirements:
*Sample GHG Mitigation Measures**

Building Design and Operation Measures

- Design an energy efficient building envelop to reduce cooling/heating requirements
- Install high-efficiency HVAC systems
- Construct green roofs
- Eliminate or reduce use of refrigerants in HVAC systems
- Use high-albedo roofing materials
- Maximize interior daylighting
- Reduce energy demand using peak shaving or load shifting strategies
- Incorporate window glazing to optimize daylighting, heat loss and solar heat gain
- Incorporate super insulation to minimize heat loss
- Incorporate motion sensors and lighting and climate control
- Use efficient, directed exterior lighting
- Use water conserving fixtures that exceed building code requirements
- Re-use gray water and/or collect and re-use rainwater
- Provide for storage and collection of recyclables (including paper, corrugated cardboard, glass, plastic and metals) in building design
- Re-use building materials and products
- Use building materials with recycled content
- Use building materials that are extracted and/or manufactured within the region
- Use rapidly renewable building materials
- Use wood that is locally produced and/or certified in accordance with the Sustainable Forestry Initiative or the Forestry Stewardship Council's Principles and Criteria
- Conduct 3rd party building commissioning to ensure energy performance (e.g. LEED)
- Track energy performance of building and develop strategy to maintain efficiency
- Provide construction and design guidelines to facilitate sustainable design for build-out by tenants

Efficiency or Mitigation Measures for On-site GHG Sources

- Use energy efficient boilers, heaters, furnaces, incinerators, or generators
- Use process design efficiency for industrial process sources
- Incorporate co-firing of biomass or use of bio-fuels
- Collect biogas and use for power generation
- Use biodiesel or bioheat for heating fuel or in vehicles/equipment
- Incorporate on-site renewable energy sources into project, such as wind or solar
- Incorporate combined heat and power (CHP) technologies
- Pursue carbon collection, capture, and reuse or sequestration Site

Selection and Design Measures

- Provide access to public transportation
- Minimize energy use through building orientation
- Select brownfields or greyfields for redevelopment to minimize vegetation/forest loss
- Incorporate mixed-use design to promote short commutes for employment and shopping
- Provide permanent protection for open space on the project site
- Manage forested areas for carbon sequestration
- Select site with potential for carbon sequestration (for large CO2 generators)
- Conserve and restore natural areas on-site
- Minimize building footprint
- Design project to support alternative transportation (walking and bicycling)
- Use low impact development for stormwater design
- Design water efficient landscaping

Transportation Measures

- Locate new buildings in or near areas designated for transit-oriented development (TOD)
- Incorporate TOD principles in employee and customer activity patterns
- Purchase alternative fuel and/or fuel efficient vehicles for fleet, including the range of maintenance and operation vehicles used on-site.
- Incorporate idling reduction policies
- Join or form a Transportation Management Association
- Provide new transit service or support extension/expansion of existing transit (buses, trains, shuttles, water transportation)
- Support expansion of parking at Park-n-Ride Lots and/or transit stations
- Develop or support multi-use paths to and through site
- Size parking capacity to meet, but not exceed, local parking requirements and, where possible, seek reductions in parking supply through special permits or waivers
- Pursue opportunities to minimize parking supply through shared or banked parking
- Develop a parking management program to minimize parking requirements such as parking cash-out, parking charges, preferential carpool or vanpool parking, limiting parking available to employees
- Develop and implement a marketing/information program that includes posting and distribution of ride sharing transit information
- Subsidize transit passes
- Provide for the use of pre-tax dollars for non-single occupancy vehicle commuting costs
- Reduce employee trips during peak periods through alternative work schedules, telecommuting and/or flex-time
- Provide a guaranteed ride home program
- Provide on-site amenities such as banks, dry cleaning, food service, childcare
- Provide bicycle storage and showers/changing rooms
- Roadway improvements to improve traffic flow
- Traffic signalization and coordination to improve traffic flow and support pedestrian and bicycle safety

Waste Reduction or Management Measures

- For landfills, recover organics from waste streams, enhance landfill gas collection, use flaring, or use landfill gas for energy production
- Utilize composting
- Promote and facilitate recycling
- Incorporate internal environmental accounting practices to promote waste reduction

* Sample GHG mitigation measures compiled by DEC as a menu of possible items to implement. Many of these options are already in regular use and have successfully been deployed to reduce energy use, energy costs, and GHG emissions

Q_16317

Is the project an adaptive reuse of an existing building?

- Question Type: Standard Question
- Required: Yes
- Answer Type: Single Choice Dropdown
- Choice Options: YES, NO, N/A
- This is a conditional question.
 1. If NO is selected then [Q_16373](#) will be displayed
 2. If YES is selected then [Q_16318](#) will be displayed
- This is a conditional question based on the answer to [Q_16322](#). This question displays when selecting the answer: "YES"

Q_16318

Explain briefly:

- Question Type: Standard Question
- Required: Yes
- Answer Type: Short Answer
- This is a conditional question based on the answer to [Q_16317](#). This question displays when selecting the answer: "YES"

Q_16373

Explain briefly:

- Question Type: Standard Question
- Required: Yes
- Answer Type: Short Answer
- This is a conditional question based on the answer to [Q_16317](#). This question displays when selecting the answer: "NO"

Q_16319

Will the project reuse building materials?

- Question Type: Standard Question
- Required: Yes
- Answer Type: Single Choice Dropdown
- Choice Options: YES, NO, N/A
- This is a conditional question.
 1. If NO is selected then [Q_16374](#) will be displayed
 2. If YES is selected then [Q_16320](#) will be displayed
- This is a conditional question based on the answer to [Q_16322](#). This question displays when selecting the answer: "YES"

Q_16320

Explain briefly:

- Question Type: Standard Question
- Required: Yes
- Answer Type: Short Answer
- This is a conditional question based on the answer to [Q_16319](#). This question displays when selecting the answer: "YES"

Q_16374

Explain briefly:

- Question Type: Standard Question
- Required: Yes
- Answer Type: Short Answer
- This is a conditional question based on the answer to [Q_16319](#). This question displays when selecting the answer: "NO"

Q_16321

Will the project recycle rehabbed building materials?

- Question Type: Standard Question
- Required: Yes
- Answer Type: Single Choice Dropdown
- Choice Options: YES, NO, N/A
- This is a conditional question.
 1. If NO is selected then [Q_16375](#) will be displayed
 2. If YES is selected then [Q_16322](#) will be displayed
- This is a conditional question based on the answer to [Q_16322](#). This question displays when selecting the answer: "YES"

Q_16322

Explain briefly:

- Question Type: Standard Question
- Required: Yes
- Answer Type: Short Answer
- This is a conditional question based on the answer to [Q_16321](#). This question displays when selecting the answer: "YES"

Q_16375

Explain briefly:

- Question Type: Standard Question
- Required: Yes
- Answer Type: Short Answer
- This is a conditional question based on the answer to [Q_16321](#). This question displays when selecting the answer: "NO"

Q_16323

Will the project incorporate low-carbon building materials into construction?

Some examples of low-carbon materials and products in the market include low-carbon bricks, concrete, tiles and recycled metals.

- Question Type: Standard Question
- Required: Yes
- Answer Type: Single Choice Dropdown
- Choice Options: YES, NO, N/A
- This is a conditional question.
 1. If NO is selected then [Q_16376](#) will be displayed
 2. If YES is selected then [Q_16324](#) will be displayed
- This is a conditional question based on the answer to [Q_16322](#). This question displays when selecting the answer: "YES"

Q_16324

Explain briefly:

- Question Type: Standard Question
- Required: Yes
- Answer Type: Short Answer
- This is a conditional question based on the answer to [Q_16323](#). This question displays when selecting the answer: "YES"

Q_16376

Explain briefly:

- Question Type: Standard Question
- Required: Yes
- Answer Type: Short Answer
- This is a conditional question based on the answer to [Q_16323](#). This question displays when selecting the answer: "NO"

Q_16325

Will the project utilize an energy efficient building envelope or improve building weatherization through, for example, insulation, air sealing, and/or replacing poorly performing windows and doors?

- Question Type: Standard Question
- Required: Yes
- Answer Type: Single Choice Dropdown
- Choice Options: YES, NO, N/A
- This is a conditional question.
 1. If NO is selected then [Q_16377](#) will be displayed
 2. If YES is selected then [Q_16326](#) will be displayed
- This is a conditional question based on the answer to [Q_16372](#). This question displays when selecting the answer: "YES"

Q_16326

Explain briefly:

- Question Type: Standard Question
- Required: Yes
- Answer Type: Short Answer
- This is a conditional question based on the answer to [Q_16325](#). This question displays when selecting the answer: "YES"

Q_16377

Explain briefly:

- Question Type: Standard Question
- Required: Yes
- Answer Type: Short Answer
- This is a conditional question based on the answer to [Q_16325](#). This question displays when selecting the answer: "NO"

Q_16327

Will the project adopt measures to avoid, reduce or re-use waste?

- Question Type: Standard Question
- Required: Yes
- Answer Type: Single Choice Dropdown
- Choice Options: YES, NO, N/A
- This is a conditional question.
 1. If NO is selected then [Q_16378](#) will be displayed
 2. If YES is selected then [Q_16328](#) will be displayed
- This is a conditional question based on the answer to [Q_16372](#). This question displays when selecting the answer: "YES"

Q_16328

Explain briefly:

- Question Type: Standard Question
- Required: Yes
- Answer Type: Short Answer
- This is a conditional question based on the answer to [Q_16327](#). This question displays when selecting the answer: "YES"

Q_16378

Explain briefly:

- Question Type: Standard Question
- Required: Yes
- Answer Type: Short Answer
- This is a conditional question based on the answer to [Q_16327](#). This question displays when selecting the answer: "NO"

Q_16329

Will the project utilize climate friendly refrigerants (those with a Global Warming Potential of less than 10) instead of hydrofluorocarbons?

- Question Type: Standard Question
- Required: Yes
- Answer Type: Single Choice Dropdown
- Choice Options: YES, NO, N/A
- This is a conditional question.
 1. If NO is selected then [Q_16379](#) will be displayed
 2. If YES is selected then [Q_16330](#) will be displayed
- This is a conditional question based on the answer to [Q_16372](#). This question displays when selecting the answer: "YES"

Q_16330

Explain briefly:

- Question Type: Standard Question
- Required: Yes
- Answer Type: Short Answer
- This is a conditional question based on the answer to [Q_16329](#). This question displays when selecting the answer: "YES"

Q_16379

Explain briefly:

- Question Type: Standard Question
- Required: Yes
- Answer Type: Short Answer
- This is a conditional question based on the answer to [Q_16329](#). This question displays when selecting the answer: "NO"

Q_16331

Will the project incorporate energy efficiency measures such as smart thermostats, energy efficient lighting, or heat pumps for space heating and cooling?

- Question Type: Standard Question
- Required: Yes
- Answer Type: Single Choice Dropdown

- Choice Options: YES, NO, N/A
- This is a conditional question.
 1. If **NO** is selected then **Q_16380** will be displayed
 2. If **YES** is selected then **Q_16338** will be displayed
- This is a conditional question based on the answer to [Q_16372](#). This question displays when selecting the answer: "YES"

Q_16338

Explain briefly:

- Question Type: Standard Question
- Required: Yes
- Answer Type: Short Answer
- This is a conditional question based on the answer to [Q_16331](#). This question displays when selecting the answer: "YES"

Q_16380

Explain briefly:

- Question Type: Standard Question
- Required: Yes
- Answer Type: Short Answer
- This is a conditional question based on the answer to [Q_16331](#). This question displays when selecting the answer: "NO"

Q_16339

Will the project make use of thermal energy networks, such as those that utilize, for example, ground-source heat pumps, waste heat, or industrial process heat to provide space heating, cooling and/or domestic hot water?

- Question Type: Standard Question
- Required: Yes
- Answer Type: Single Choice Dropdown
- Choice Options: YES, NO, N/A
- This is a conditional question.
 1. If **NO** is selected then **Q_16381** will be displayed
 2. If **YES** is selected then **Q_16340** will be displayed
- This is a conditional question based on the answer to [Q_16372](#). This question displays when selecting the answer: "YES"

Q_16340

Explain briefly:

- Question Type: Standard Question
- Required: Yes
- Answer Type: Short Answer
- This is a conditional question based on the answer to [Q_16339](#). This question displays when selecting the answer: "YES"

Q_16381

Explain briefly:

- Question Type: Standard Question
- Required: Yes
- Answer Type: Short Answer
- This is a conditional question based on the answer to [Q_16339](#). This question displays when selecting the answer: "NO"

Q_16341

Will the project incorporate infrastructure for charging/fueling zero-emission vehicles?

- Question Type: Standard Question
- Required: Yes
- Answer Type: Single Choice Dropdown
- Choice Options: YES, NO, N/A
- This is a conditional question.
 1. If **NO** is selected then **Q_16382** will be displayed
 2. If **YES** is selected then **Q_16342** will be displayed
- This is a conditional question based on the answer to [Q_16372](#). This question displays when selecting the answer: "YES"

Q_16342

Explain briefly:

- Question Type: Standard Question
- Required: Yes
- Answer Type: Short Answer
- This is a conditional question based on the answer to [Q_16341](#). This question displays when selecting the answer: "YES"

Q_16382

Explain briefly:

- Question Type: Standard Question
- Required: Yes
- Answer Type: Short Answer
- This is a conditional question based on the answer to [Q_16341](#). This question displays when selecting the answer: "NO"

Q_16343

Will the project install on-site renewable energy systems and/or on-site battery storage systems to contribute to the project's energy needs?

- Question Type: Standard Question
- Required: Yes
- Answer Type: Single Choice Dropdown
- Choice Options: YES, NO, N/A
- This is a conditional question.
 1. If **NO** is selected then **Q_16383** will be displayed
 2. If **YES** is selected then **Q_16344** will be displayed
- This is a conditional question based on the answer to [Q_16372](#). This question displays when selecting the answer: "YES"

Q_16344

Explain briefly:

- Question Type: Standard Question
- Required: Yes
- Answer Type: Short Answer
- This is a conditional question based on the answer to [Q_16343](#). This question displays when selecting the answer: "YES"

Q_16383

Explain briefly:

- Question Type: Standard Question
- Required: Yes
- Answer Type: Short Answer
- This is a conditional question based on the answer to [Q_16343](#). This question displays when selecting the answer: "NO"

Q_16345

Will the project utilize renewable energy for at least 50% of its electricity?

- Question Type: Standard Question
- Required: Yes
- Answer Type: Single Choice Dropdown
- Choice Options: YES, NO, N/A
- This is a conditional question.
 1. If **NO** is selected then **Q_16384** will be displayed
 2. If **YES** is selected then **Q_16346** will be displayed
- This is a conditional question based on the answer to [Q_16372](#). This question displays when selecting the answer: "YES"

Q_16346

Explain briefly:

- Question Type: Standard Question
- Required: Yes
- Answer Type: Short Answer
- This is a conditional question based on the answer to [Q_16345](#). This question displays when selecting the answer: "YES"

Q_16384

Explain briefly:

- Question Type: Standard Question
- Required: Yes
- Answer Type: Short Answer
- This is a conditional question based on the answer to [Q_16345](#). This question displays when selecting the answer: "NO"

Q_16347

Will the building systems be 100% electrified?

- Question Type: Standard Question
- Required: Yes
- Answer Type: Single Choice Dropdown
- Choice Options: YES, NO, N/A
- This is a conditional question.
 1. If **NO** is selected then **Q_16390** will be displayed
 2. If **YES** is selected then **Q_16348** will be displayed
- This is a conditional question based on the answer to [Q_16372](#). This question displays when selecting the answer: "YES"

Q_16348

Explain briefly:

- Question Type: Standard Question
- Required: Yes
- Answer Type: Short Answer
- This is a conditional question based on the answer to [Q_16347](#). This question displays when selecting the answer: "YES"

Q_16390

Explain briefly:

- Question Type: Standard Question
- Required: Yes
- Answer Type: Short Answer
- This is a conditional question based on the answer to [Q_16347](#). This question displays when selecting the answer: "NO"

Q_16350

Are there any renewable energy or energy efficiency improvements being made to the building as part of the project that are not reflected in the items above?

- Question Type: Standard Question
- Required: Yes
- Answer Type: Single Choice Dropdown
- Choice Options: YES, NO, N/A
- This is a conditional question.
 1. If **NO** is selected then **Q_16385** will be displayed
 2. If **YES** is selected then **Q_16351** will be displayed
- This is a conditional question based on the answer to [Q_16372](#). This question displays when selecting the answer: "YES"

Q_16351

Explain briefly:

- Question Type: Standard Question
- Required: Yes
- Answer Type: Short Answer
- This is a conditional question based on the answer to [Q_16350](#). This question displays when selecting the answer: "YES"

Q_16385

Explain briefly:

- Question Type: Standard Question
- Required: Yes
- Answer Type: Short Answer
- This is a conditional question based on the answer to [Q_16350](#). This question displays when selecting the answer: "NO"

Q_16363

Does the project involve the installation, expansion or renovation of a manufacturing process?

- Question Header: CLIMATE IMPACT STATEMENT - Part C -The Manufacturing Process
Not all questions/answers may be relevant to all projects.

*The information in this section (Part C- The Manufacturing Process) is being collected for awareness and informational purposes only at this time.

- Question Type: Standard Question
- Required: Yes
- Answer Type: Yes/No
- This is a conditional question.
 1. If **Yes** is selected then these questions will be displayed:
 - [Q_16352](#)
 - [Q_16354](#)
 - [Q_16357](#)
 - [Q_16359](#)
 - [Q_16361](#)

Q_16352

Will the project use innovative measures to reduce emissions such as low-carbon thermal solutions or carbon capture, storage, and utilization?

- Question Type: Standard Question
- Required: Yes
- Answer Type: Single Choice Dropdown
- Choice Options: YES, NO, N/A
- This is a conditional question.
 1. If **NO** is selected then [Q_16386](#) will be displayed
 2. If **YES** is selected then [Q_16353](#) will be displayed
- This is a conditional question based on the answer to [Q_16363](#). This question displays when selecting the answer: "Yes"

Q_16353

Explain briefly:

- Question Type: Standard Question
- Required: Yes
- Answer Type: Short Answer
- This is a conditional question based on the answer to [Q_16352](#). This question displays when selecting the answer: "YES"

Q_16386

Explain briefly:

- Question Type: Standard Question
- Required: Yes
- Answer Type: Short Answer
- This is a conditional question based on the answer to [Q_16352](#). This question displays when selecting the answer: "NO"

Q_16354

Will the project use cleaner fuels, such as hydrogen formed without greenhouse gas emissions through the use of electrolysis powered by renewable electricity, to replace or displace the use of fossil fuels?

- Question Type: Standard Question
- Required: Yes
- Answer Type: Single Choice Dropdown
- Choice Options: YES, NO, N/A
- This is a conditional question.
 1. If **NO** is selected then [Q_16356](#) will be displayed
 2. If **YES** is selected then [Q_16355](#) will be displayed
- This is a conditional question based on the answer to [Q_16363](#). This question displays when selecting the answer: "Yes"

Q_16355

Explain briefly:

- Question Type: Standard Question
- Required: Yes
- Answer Type: Short Answer
- This is a conditional question based on the answer to [Q_16354](#). This question displays when selecting the answer: "YES"

Q_16356

Please identify the fuels and systems that will be employed in the manufacturing process and explain why cleaner fuels or electrification will not be used.

- Question Type: Standard Question
- Required: Yes
- Answer Type: Short Answer
- This is a conditional question based on the answer to [Q_16354](#). This question displays when selecting the answer: "NO"

Q_16357

Will the project incorporate mitigation measures for process emissions?

- Question Type: Standard Question
- Required: Yes
- Answer Type: Single Choice Dropdown
- Choice Options: YES, NO, N/A
- This is a conditional question.
 1. If **NO** is selected then [Q_16387](#) will be displayed
 2. If **YES** is selected then [Q_16358](#) will be displayed
- This is a conditional question based on the answer to [Q_16363](#). This question displays when selecting the answer: "Yes"

Q_16358

Explain briefly:

- Question Type: Standard Question
- Required: Yes
- Answer Type: Short Answer
- This is a conditional question based on the answer to [Q_16357](#). This question displays when selecting the answer: "YES"

Q_16387

Explain briefly:

- Question Type: Standard Question
- Required: Yes
- Answer Type: Short Answer
- This is a conditional question based on the answer to [Q_16357](#). This question displays when selecting the answer: "NO"

Q_16359

Will the project use best available control technology for mitigating and controlling greenhouse gas emissions?

- Question Type: Standard Question
- Required: Yes
- Answer Type: Single Choice Dropdown
- Choice Options: YES, NO, N/A
- This is a conditional question.
 1. If **NO** is selected then **Q_16388** will be displayed
 2. If **YES** is selected then **Q_16360** will be displayed
- This is a conditional question based on the answer to [Q_16363](#). This question displays when selecting the answer: "Yes"

Q_16360

Explain briefly:

- Question Type: Standard Question
- Required: Yes
- Answer Type: Short Answer
- This is a conditional question based on the answer to [Q_16359](#). This question displays when selecting the answer: "YES"

Q_16388

Explain briefly:

- Question Type: Standard Question
- Required: Yes
- Answer Type: Short Answer
- This is a conditional question based on the answer to [Q_16359](#). This question displays when selecting the answer: "NO"

Q_16361

Are there any renewable energy or energy efficiency improvements being made to the manufacturing process as part of the project that are not reflected in the items above?

- Question Type: Standard Question
- Required: Yes
- Answer Type: Single Choice Dropdown
- Choice Options: YES, NO, N/A
- This is a conditional question.
 1. If **NO** is selected then **Q_16389** will be displayed
 2. If **YES** is selected then **Q_16362** will be displayed
- This is a conditional question based on the answer to [Q_16363](#). This question displays when selecting the answer: "Yes"

Q_16362

Explain briefly:

- Question Type: Standard Question
- Required: Yes
- Answer Type: Short Answer
- This is a conditional question based on the answer to [Q_16361](#). This question displays when selecting the answer: "YES"

Q_16389

Explain briefly:

- Question Type: Standard Question
- Required: Yes
- Answer Type: Short Answer
- This is a conditional question based on the answer to [Q_16361](#). This question displays when selecting the answer: "NO"

Q_13611

The NYS Smart Growth Public Infrastructure Policy Act requires that a public infrastructure project meet the relevant smart growth criterion to the extent practicable. Public Infrastructure projects consist of construction or reconstruction of transportation, sewer, wastewater treatment, water, education, housing and other publicly supported infrastructure. Does the proposed project build new public infrastructure, expand public infrastructure or use, maintain, or improve existing public infrastructure?

If you are maintaining or improving existing public infrastructure, please answer "YES". If you are building new public infrastructure or expanding public infrastructure, please answer "YES".

If this is not applicable to your project, answer "NO".

- Question Header: Smart Growth Questions
- Question Type: Smart Growth
- Required: Yes
- Answer Type: Yes/No
- This is a conditional question.
 1. If **Yes** is selected then these questions will be displayed:
 - [Q_13612](#)
 - [Q_13613](#)
 - [Q_13614](#)
 - [Q_13615](#)
 - [Q_13616](#)
 - [Q_13617](#)
 - [Q_13618](#)
 - [Q_13619](#)
 - [Q_13620](#)
 - [Q_13621](#)
 - [Q_13622](#)
 - [Q_13623](#)

Q_13613

Please explain the need to build new infrastructure instead of using or improving existing infrastructure. If n/a, please type N/A.

- Question Header: Smart Growth Questions
- Question Type: Smart Growth
- Required: Yes
- Answer Type: Long Rich Text (HTML)
- Characters: 0 - 1000
- This is a conditional question based on the answer to [Q_13611](#). This question displays when selecting the answer: "Yes"

Q_13612

Explain how the proposed project will use, maintain, or improve existing infrastructure.

- Question Header: Smart Growth Questions
- Question Type: Smart Growth
- Required: Yes
- Answer Type: Long Rich Text (HTML)
- Characters: 0 - 400
- This is a conditional question based on the answer to [Q_13611](#). This question displays when selecting the answer: "Yes"

Q_13614

Please explain how the proposed project is located in a municipal center.

- Question Header: Smart Growth Questions
- Question Type: Smart Growth
- Required: Yes
- Answer Type: Long Rich Text (HTML)
- Characters: 0 - 400
- This is a conditional question based on the answer to [Q_13611](#). This question displays when selecting the answer: "Yes"
- Question Requirements:

Municipal Centers are areas of concentrated and mixed land use that serve as centers of various activities (civic, commercial, recreational, and residential, among others). Specific examples include Central Business Districts; Brownfield Opportunity Areas (BOAs); Downtowns in Local Waterfront Revitalization Program (LWRP) Areas; Transit-Oriented Development, Environmental Justice Areas and Hardship Areas; in many instances, an entire city, village or hamlet can be considered a municipal center. This definition can include development "adjacent to municipal centers" and a "future municipal center" – an area planned and zoned to be a municipal center.

For specific guidance on rail/port, aviation, and other transportation projects please refer to [Smart Growth Public Infrastructure Policy Act](#)

Q_13615

Please explain how the proposed project is located in a developed area or an area designated for concentrated infill development in a municipally approved comprehensive land use plan, local waterfront revitalization plan and/or brownfield opportunity area plan. Specifically, explain how your project advances infill development or redevelopment in existing developed areas consistent with an approved plan. Infill development includes redevelopment, rehabilitation and new development between existing buildings on vacant or under-utilized sites.

- Question Header: Smart Growth Questions
- Question Type: Smart Growth
- Required: Yes
- Answer Type: Long Rich Text (HTML)
- Characters: 0 - 400
- This is a conditional question based on the answer to [Q_13611](#). This question displays when selecting the answer: "Yes"

Q_13616

Please explain how the proposed project will protect, preserve and enhance the State's resources, including agricultural land, forests, surface and groundwater, air quality, recreation and open space, scenic areas, and significant historic and archeological resources. Beyond simply avoiding or minimizing negative environmental impacts, please indicate the resources that may be impacted by your project and how your project will preserve and enhance these resources.

- Question Header: Smart Growth Questions
- Question Type: Smart Growth
- Required: Yes
- Answer Type: Long Rich Text (HTML)
- Characters: 0 - 400
- This is a conditional question based on the answer to [Q_13611](#). This question displays when selecting the answer: "Yes"

Q_13617

Please explain how the proposed project will foster mixed land uses and compact development, downtown revitalization, Brownfield redevelopment, the enhancement of beauty in public spaces, the diversity and affordability of housing in proximity to places of employment, recreation and commercial development and the integration of all income and age groups. Specifically, explain how your project advances these objectives and improves the quality of life in your community.

- Question Header: Smart Growth Questions
- Question Type: Smart Growth
- Required: Yes
- Answer Type: Long Rich Text (HTML)
- Characters: 0 - 400
- This is a conditional question based on the answer to [Q_13611](#). This question displays when selecting the answer: "Yes"

Q_13618

Please explain how the proposed project will provide mobility through transportation choices including improved public transportation and reduced automobile dependency. There are many alternatives to automobile transportation. Please explain how your project provides or complements alternatives to automobile travel such as bikes, pedestrians, public transit, air travel or rail travel.

- Question Header: Smart Growth Questions
- Question Type: Smart Growth
- Required: Yes
- Answer Type: Long Rich Text (HTML)
- Characters: 0 - 400
- This is a conditional question based on the answer to [Q_13611](#). This question displays when selecting the answer: "Yes"

Q_13619

Please explain how the proposed project will involve coordination between state and local government and inter-municipal and regional planning. Identify any interaction between the applicant and any municipal and county governments, planning boards, regional planning associations or similar organizations. Document any outreach by the applicant to these organizations regarding the project and any relevant correspondence.

- Question Header: Smart Growth Questions
- Question Type: Smart Growth
- Required: Yes
- Answer Type: Long Rich Text (HTML)
- Characters: 0 - 400
- This is a conditional question based on the answer to [Q_13611](#). This question displays when selecting the answer: "Yes"

Q_13620

Please explain how the proposed project will involve participation in community-based planning and collaboration. Specifically, explain how the project results from an inclusive, multi-stakeholder (including traditionally underserved populations) process of community-based planning and collaboration. To assist with your explanation, identify any affected community groups or organizations with an interest in the proposed project and if the planning process involved outreach to citizens and stakeholders at all stages of development of the project.

- Question Header: Smart Growth Questions
- Question Type: Smart Growth
- Required: Yes
- Answer Type: Long Rich Text (HTML)
- Characters: 0 - 400
- This is a conditional question based on the answer to [Q_13611](#). This question displays when selecting the answer: "Yes"

Q_13621

Please explain how the proposed project will ensure predictability in building and land use codes. Provide any additional relevant information.

- Question Header: Smart Growth Questions
- Question Type: Smart Growth
- Required: Yes
- Answer Type: Long Rich Text (HTML)
- Characters: 0 - 400
- This is a conditional question based on the answer to [Q_13611](#). This question displays when selecting the answer: "Yes"

Q_13622

Please explain how the proposed project will promote sustainability by strengthening existing and creating new communities which reduce greenhouse gas emissions and do not compromise the needs of future generations, by among other means encouraging broad based public involvement in developing and implementing a community plan and ensuring the governance structure is adequate to sustain its implementation. Specifically, explain how your project promotes sustainability. For example, does your project include buildings and plans that seek to minimize consumption of fossil fuels (coal, petroleum), reduce water usage / consumption, and encourage the use of renewable energy (wind, solar, and geo-thermal).

- Question Header: Smart Growth Questions

- Question Type: Smart Growth
- Required: Yes
- Answer Type: Long Rich Text (HTML)
- Characters: 0 - 400
- This is a conditional question based on the answer to [Q_13611](#). This question displays when selecting the answer: "Yes"

Q_13623

Please explain how the proposed project will mitigate future physical climate risk due to sea-level rise, and/or storm surges and/or flooding, based on available data predicting the likelihood of future extreme weather events, including hazard risk analysis data.

Specifically, explain how your project demonstrates that future physical climate risk due to sea-level rise, storm surge and flooding have been considered. For example, have you demonstrated consideration of the flood risk applicable to your specific structure type? Explain how the siting and design have evaluated flood-risk considerations, including, but not limited to, human health and safety, environmental effects, cost, funding-source requirements, feasibility and community impact.

For information on the State Climate Impacts Assessment visit:

<https://nysclimateimpacts.org/about-the-assessment/what-is-the-assessment/>

For information on implementation of the Community Risk and Resiliency Act visit:

<https://dec.ny.gov/environmental-protection/climate-change/new-york-response/crra>

- Question Header: Smart Growth Questions
- Question Type: Smart Growth
- Required: Yes
- Answer Type: Long Rich Text (HTML)
- Characters: 0 - 400
- This is a conditional question based on the answer to [Q_13611](#). This question displays when selecting the answer: "Yes"

Q_7341

By entering your name in the box below, you certify, under penalty of perjury, that the information given herein is true and correct in all respects for the company or organization applying for funding (the "Company"), presently and for the past five years: -the Company is not a party to any litigation or any litigation is not pending or anticipated that could have an adverse material effect on the company's financial condition;

-the Company does not have any contingent liabilities that could have a material effect on its solvency;

-the Company, its affiliates or any member of its management or any other concern with which such members of management have been officers or directors, have never been involved in bankruptcy, creditor's rights, or receivership proceedings or sought protection from creditors;

-the Company is not delinquent on any of its state, federal or local tax obligations;

-No principal, officer of the Company, owner or majority stockholder of any firm or corporation, or member of the management has been charged or convicted of a misdemeanor or felony, indicted, granted immunity, convicted of a crime or subject to a judgment, or the subject of an investigation, whether open or closed, by any government entity for a civil or criminal violation for: (i) any business-related activity including, but not limited to, fraud, coercion, extortion, bribe or bribe receiving, giving or accepting unlawful gratuities, immigration or tax fraud, racketeering, mail fraud, wire fraud, price fixing or collusive bidding; or (ii) any crime, whether or not business related, where the underlying conduct relates to truthfulness, including but not limited to, the filing of false documents or false sworn statements, perjury or larceny;

-the Company or any of the Company's affiliates, principal owners or Officers has not received a violation of State Labor Law deemed "willful";

-the Company or any of its affiliates has never been cited for a violation of State, Federal, or local laws or regulations with respect to labor practices, hazardous wastes, environmental pollution or other operating practices;

-there are not any outstanding judgments or liens pending against the Company other than liens in the normal course of business.

-the Company or any of its affiliates, principal owners or officers the company has not been the subject of any judgments, injunctions, or liens including, but not limited to, judgments based on taxes owed, fines and penalties assessed by any governmental agency, or elected official against the Company.

- the Company or any of its affiliates, principal owners or officers the company has not been investigated by any governmental agency, including, but not limited to, federal, state and local regulatory agencies

-the Company or any of its affiliates, principal owners or officers the company has not been debarred from entering into any government contract; been found non-responsible on any government contract; been declared in default or terminated for cause on any government contract; been determined to be ineligible to bid or propose on any contract; been suspended from bidding on any government contract; received an overall unsatisfactory performance rating from any government agency on any contract; agree to a voluntary exclusion from bidding or contracting on a government contract.

- the Company or any of its affiliates, principal owners or officers the company has not failed to file any of the required forms with any government entity regulating the Company. By entering your name in the box below, you agree to allow the Department of Taxation to share the Company tax information with ESD. By entering your name in the box below, you agree to allow the Department of Labor to share tax and employer information with ESD. Note: If any of the statements above are not true, in addition to entering your name, also include an explanation in the box below, indicating which issue you are addressing.

- Question Type: Certification
- Required: Yes
- Answer Type: Short Answer

Q_1038

By entering your name in the box below, you certify that you are authorized on behalf of the applicant and its governing body to submit this application. You further certify that all of the information contained in this Application and in all statements, data and supporting documents which have been made or furnished for the purpose of receiving assistance for the project described in this application, are true, correct and complete to the best of your knowledge and belief. You acknowledge that offering a written instrument knowing that the written instrument contains a false statement or false information, with the intent to defraud the State or any political subdivision, public authority or public benefit corporation of the State, with the knowledge or belief that it will be filed with or recorded by the State or any political subdivision, public authority or public benefit corporation of the State, constitutes a crime under New York State Law.

- Question Type: Certification
- Required: Yes
- Answer Type: Short Answer

Q_2365

By entering your name in the box below, you are acknowledging that ESD's Contractor & Supplier Diversity policy will apply to this project. You are further acknowledging that you are aware of ESD's agency-wide Minority and Women Business Enterprise ("MWBE") utilization goal of 30% and ESD's agency wide Service-Disabled Veteran-Owned Business ("SDVOB") utilization goal of 6%. Please note that each project will be assigned an individual contract-specific goal, which may be higher or lower than the 30% and 6%, respectively. Furthermore, you understand that, should this project receive a funding award, the Applicant shall be required to use good faith efforts to achieve the prescribed MWBE and SDVOB goals assigned to this project and failure to attain MWBE and SDVOB goals could result in grant amount being reduced.

- Question Type: Certification
- Required: Yes
- Answer Type: Short Answer

Q_4182

By entering your name in the box below, you certify and agree that you are aware that your award will be reduced in proportion to the reduction of jobs and/or total project costs. Furthermore, you understand that, should this project receive a funding award, the Applicant will maintain such records and take such actions necessary to demonstrate such compliance throughout the completion of the project.

- Question Type: Certification
- Required: Yes
- Answer Type: Short Answer