

Program Questions:  
Local Government Efficiency Program - 2023-24 Re-Release

Q\_1956

Are you an eligible NYS local government entity for the Local Government Efficiency program? The Local Government Efficiency program defines "local government entities" as counties, cities, towns, villages, special improvement districts, fire districts, public libraries, association libraries, water authorities, sewer authorities, regional planning and development boards, school districts, and Boards of Cooperative Educational Services (BOCES) advancing certain joint projects.

Q\_10045

Will this project help local governments reduce expenditures, add revenues, streamline government management or operations, or improve service delivery?

Q\_10048

Is the applicant able to provide the required matching funds for the project? See scoring tips for the local match requirements.

Q\_12881

Are you developing a plan for a local government consolidation or dissolution under 'the New New York Reorganization and Citizen Empowerment Act' - General Municipal Law, Article 17-A? For a local government re-organization planning project pursuant to General Municipal Law, Article 17-A, please contact the Department of State directly for other available funding opportunities.

Q\_928

Project Street Address: Please input the project street address (Street Number and Street Name only).

If the project has multiple locations, please input the primary street address of the project. If the project does not have a definite street address, please input the approximate street address of the project (Street Number and Street Name only).

Q\_565

Project City

Q\_972

Project county or counties.

Q\_568

Project State

- Choice Options: AA,AL,AK,AZ,AR,CA,CO,CT,DE,FL,GA,HI,ID,IL,IN,IA,KS,KY,LA,ME,MD,MA,MI,MN,MS,MO,MT,NE,NV,NH,NJ,NM,NY,NC,ND,OH,OK,OR,PA,RI,SC,SD,TN,TX,UT,VT,VA,WA,WV,WI,WY,AS,DC,FM,GU,MH,MP,PW,PR,VI

Q\_1034

Project ZIP Code. (please use ZIP+4 if known)

Q\_3527

US Congressional District where the project is located. (This question's value will be filled automatically, based on the project address, when the application is finalized.)

- Choice Options: 1,2,3,4,5,6,7,8,9,10,11,12,13,14,15,16,17,18,19,20,21,22,23,24,25,26,27

Q\_616

For more than one project location, please provide full address(es) for each location. If Not Applicable, indicate "NA".

Q\_573

Project Longitude (This question's value will be filled automatically, based on the project address, when the application is finalized.)

Q\_572

Project Latitude (This question's value will be filled automatically, based on the project address, when the application is finalized.)

Q\_184

NYS Assembly District where the project is located. (This question's value will be filled automatically, based on the project address, when the application is finalized.)

Q\_190

NY Senate District where the project is located. (This question's value will be filled automatically, based on the project address, when the application is finalized.)

## Q\_549

Type of Applicant (select one)

Applicants will first select a single applicant type from the categories below and then a subtype based on their initial selection. Applicants should review the selections below which provides a list of subtypes by main applicant type.

### 1. For Profit entity options:

Limited Liability Corporation (LLC)

Limited Liability Partnership (LLP)

Sole Proprietorship

S Corporation

C Corporation

Limited Partnership (LP)

Other- applicant will be required to list their other for-profit designation.

### 2. Not-for profit entity options:

501(c)(1) Any corporation that is organized under an act of Congress that is exempt from federal income tax;

501(c)(2) Corporations that hold a title of property for exempt organizations;

501(c)(3) Corporations/funds/foundations that operate for religious/ charitable/ scientific/ literary/ educational purposes;

501(c)(4) Nonprofit organizations that promote social welfare;

501(c)(5) Labor, agricultural, or horticultural associations;

501(c)(6) Business leagues/chambers of commerce/etc. that are not organized for profit;

501(c)(7) Recreational organizations; and

Other- applicant will be required to list their other not-for-profit designation.

### 3. Government entity options:

Federal

State

County

City

Town

Village

Tribal

School District

County or Town Improvement District

District Corporation

Public Authority

Business Improvement District

Fire District

Board of Cooperative Education Services (BOCES)

Public Library

Association Library

Other- applicant will be required to list their other government designation.

- Choice Options: For-Profit, Not-for-Profit, Government

- This is a conditional question.

1. If **For-Profit** is selected then **Q\_15475** will be displayed

2. If **Government** is selected then **Q\_15478** will be displayed

3. If **Not-for-Profit** is selected then **Q\_15477** will be displayed

## Q\_15475

Select the for-profit entity of the applicant applying for funding:

- Choice Options: Limited Liability Corporation (LLC), Limited Liability Partnership (LLP), Sole Proprietorship, S Corporation, C Corporation, Limited Partnership (LP), Other

- This is a conditional question.

1. If **Other** is selected then **Q\_15483** will be displayed

- This is a conditional question based on the answer to [Q\\_1549](#). This question displays when selecting the answer: "**For-Profit**"

## Q\_15483

Enter the applicant's 'Other' for-profit entity designation.

- This is a conditional question based on the answer to [Q\\_15475](#). This question displays when selecting the answer: "**Other**"

## Q\_15477

Select the not-for-profit entity of the applicant applying for funding:

- Choice Options: 501(c)(1) Any corporation that is organized under an act of Congress that is exempt from federal income tax, 501(c)(2) Corporations that hold a title of property for exempt organizations, 501(c)(3) Corporations/funds/foundations that operate for religious/charitable/scientific/literary/educational purposes, 501(c)(4) Nonprofit organizations that promote social welfare, 501(c)(5) Labor/agricultural/horticultural associations, 501(c)(6) Business leagues/chambers of commerce/etc. that are not organized for profit, 501(c)(7) Recreational organizations, Other

- This is a conditional question.

1. If **Other** is selected then **Q\_15484** will be displayed

- This is a conditional question based on the answer to [Q\\_1549](#). This question displays when selecting the answer: "**Not-for-Profit**"

## Q\_15484

Enter the applicant's 'Other' not-for-profit entity designation.

- This is a conditional question based on the answer to [Q\\_15477](#). This question displays when selecting the answer: "**Other**"

## Q\_15478

Select the government entity of the applicant applying for funding:

- Choice Options: Federal, State, County, City, Town, Village, Tribal, School District, County or Town Improvement District, District Corporation, Public Authority, Business Improvement District, Fire District, Board of Cooperative Education Services (BOCES), Public Library, Association Library, Other

- This is a conditional question.

1. If **Other** is selected then **Q\_15485** will be displayed

- This is a conditional question based on the answer to [Q\\_1549](#). This question displays when selecting the answer: "**Government**"

## Q\_15485

Enter the applicant's 'Other' government entity designation.

- This is a conditional question based on the answer to [Q\\_15478](#). This question displays when selecting the answer: "**Other**"

## Q\_556

Select an applicant ID type from the list below that you normally use to identify your organization on application forms.

- Choice Options: Charity Reg #, Duns Number, Federal Tax ID Number, NYS Unemployment Insurance Tax Number, NYS Vendor Identification Number (SFS), Employee Identification Number (EIN), Unique Entity Identifier (UEI)

## Q\_2655

Based on your selection from the previous question, enter the associated ID number.

**Q\_546**

Organization Legal Name

**Q\_5416**

Applicant First Name

**Q\_5417**

Applicant Last Name

**Q\_551**

Applicant Street Address

**Q\_552**

Applicant City

**Q\_553**

Applicant State

**Q\_554**

Applicant ZIP Code. (please use ZIP+4 if known)

**Q\_651**

Applicant Telephone Number (please include area code)

**Q\_555**

Applicant Email Address

**Q\_5257**

Contact Salutation

- Choice Options: Mr., Mrs., Ms., Dr.

**Q\_547**

Contact First Name

**Q\_1049**

Contact Last Name

**Q\_1050**

Contact Title

**Q\_5490**

Primary Organization

**Q\_3688**

Contact Street Address

**Q\_3689**

Contact City

**Q\_3690**

Contact State

**Q\_3691**

Contact ZIP Code (please use ZIP+4 if known)

**Q\_562**

Primary Contact Phone Number. (please include area code)

**Q\_3692**

Contact Email

**Q\_5475**

Contract Salutation

•Choice Options: Mr., Mrs., Ms., Dr.

**Q\_5476**

Contract First

**Q\_5477**

Contract Last

**Q\_5478**

Contract Title

**Q\_5491**

Authorized Organization

**Q\_5479**

Contract Street

**Q\_5480**

Contract City

**Q\_5481**

Contract State

**Q\_5482**

Contract Zip (please use ZIP+4 if known)

**Q\_5483**

Contract Phone (please include area code)

**Q\_5484**

Contract Email

**Q\_5493**

Additional Salutation

•Choice Options: Mr., Mrs., Ms., Dr.

**Q\_1052**

Additional Project Contact First Name

**Q\_970**

Additional Project Contact Last Name

**Q\_1051**

Additional Contact Title

**Q\_5492**

Additional Organization

**Q\_3693**

Additional Contact Street Address

**Q\_3694**

Additional Contact City

**Q\_3695**

Additional Contact State

**Q\_3696**

Additional Contact ZIP (please use ZIP+4 if known)

**Q\_3697**

Additional Contact Telephone Number (please include area code)

**Q\_561**

Additional Contact Email Address

**Q\_4199**

Please select the primary sector or characterization that best defines this project.

- Choice Options: Agriculture, Arts/Culture/Cultural Institutions, Biomedical/Medical, Community Development, Education/College/University, Energy, Environment, Financial Services, Food/Beverage, Healthcare, Historic Preservation, Hospitality, Housing, Industrial/Manufacturing, Information Technology Services/Communications, Infrastructure, Municipal Government, Office, Recreation, Research & Development, Tourism/Travel, Transportation, Water/Wastewater/Sewer, Waterfront Revitalization, Workforce Development, Business Development, Technology Commercialization

**Q\_4198**

Please select the secondary sector or characterization that best defines this project.

- Choice Options: Agriculture, Arts/Culture/Cultural Institutions, Biomedical/Medical, Community Development, Education/College/University, Energy, Environment, Financial Services, Food/Beverage, Healthcare, Historic Preservation, Hospitality, Housing, Industrial/Manufacturing, Information Technology Services/Communications, Infrastructure, Municipal Government, Office, Research & Development, Tourism/Travel, Transportation, Water/Wastewater/Sewer, Waterfront Revitalization, Workforce Development, Business Development, Technology Commercialization

**Q\_575**

Project Description. Concisely describe the project, indicating the location, what will be planned, designed, acquired, and/or constructed, the issues/opportunities to be addressed, and expected outcomes and deliverables. Additional details will be collected later in the application process.

**Q\_976**

Statement of need: Provide a brief summary of the need for the project in the geographic area proposed and the project's financing needs, including funding gaps of the proposed project.

**Q\_12626**

Does the project align with the Regional Economic Development Council's Strategic Plan?

- This is a conditional question.  
1. If Yes is selected then Q\_12627 will be displayed

**Q\_12627**

Explain how the project aligns with the Regional Economic Development Council's Strategic Plan.

- This is a conditional question based on the answer to [Q\\_12626](#). This question displays when selecting the answer: "Yes"

**Q\_929**

Current State of Project Development (i.e. planning, preliminary engineering, final design, etc. You may enter N/A for non-project related applications)

### Q\_975

Estimated Project Timeline: include project start/completion dates, estimates for design, permitting and construction or other major steps.

### Q\_580

Provide a list of all federal, state, and local reviews, approvals, or permits needed or completed, including the dates when they are expected to be completed or were completed. If Not Applicable, indicate "NA".

### Q\_12606

Does this project require State and/or Federal Environmental Review?

- This is a conditional question.
  1. If **Yes** is selected then these questions will be displayed:
    - [Q\\_2364](#)
    - [Q\\_12607](#)

### Q\_2364

What is the status of State and/or Federal Environmental Review?

- This is a conditional question based on the answer to [Q\\_12606](#). This question displays when selecting the answer: "Yes"

### Q\_12607

Please indicate the lead agency (if applicable).

- This is a conditional question based on the answer to [Q\\_12606](#). This question displays when selecting the answer: "Yes"

### Q\_12604

Has a National Environmental Policy Act (NEPA) Record of Decision been issued?

- This is a conditional question.
  1. If **Yes** is selected then [Q\\_1054](#) will be displayed

### Q\_1054

Please explain decision and include date of Record of Decision.

- This is a conditional question based on the answer to [Q\\_12604](#). This question displays when selecting the answer: "Yes"

### Q\_12625

Has the applicant or project been awarded funding in prior CFA rounds?

- This is a conditional question.
  1. If **Yes** is selected then [Q\\_2362](#) will be displayed

### Q\_2362

What were the CFA numbers for which funding was awarded? (separate multiple CFA numbers with commas)

- This is a conditional question based on the answer to [Q\\_12625](#). This question displays when selecting the answer: "Yes"

### Q\_4160

For each program to which you are applying under the CFA, explain your strategy for proceeding if the full amount of requested funding, required matching funds, and temporary financing are not secured as expected, or committed sources become unavailable. This explanation must address any proposed project phases, and both CFA and non-CFA sources of funds.

### Q\_12354

Using the Excel worksheet which may be accessed from the DOS website or through the link in the scoring tips section, applicants must provide an objective analysis of the anticipated financial impact of the project, including reductions in expenditures and/or increased revenues and potential tax levy impact resulting from the project, exclusive of any grant funding. Complete the worksheet with information from each applicant, save as a PDF and upload.

### Q\_12358

Using the Excel worksheet, which may be accessed from the DOS website or through the link in the scoring tips section, attach a Work Plan that includes the project timeline, and project objectives. Include a cost for each task and performance measure.

### Q\_12359

Applicants are required to submit the MWBE Compliance Form with their application confirming their understanding of the MWBE requirement and agreeing to show due-diligence and make good faith efforts to provide meaningful participation by MWBEs, whenever possible, if awarded the contract. The MWBE Compliance Form is available at <https://dos.ny.gov/funding-bid-opportunities>.

### Q\_1978

Attach resolutions, municipal agreements, executed Intermunicipal Agreements or other items to the submission to help illustrate support for the application/project.

### Q\_13891

If applicable upload the relevant documentation showing that the project is a part of an adopted Countywide Shared Services Plan. If the project is not in an adopted plan, upload letters from the chief elected official of the county and lead applicant, declaring the intent to include in a 2023 plan.

**Q\_3652**

Please attach any additional information you would like to provide as support for the project.

**Q\_6946**

Please provide Letters of Support for your project (if applicable). All letters should be scanned into a single PDF file and their total size cannot exceed 30 Megabytes (MB).

**Q\_11915**

List all the partners involved in the project and provide contact information.

**Q\_11913**

LGE Priorities Will the project implement a planning project completed with SMSI or LGE funds? Yes/No

**Q\_14217**

If yes, provide information about the planning grant that was funded to help develop the implementation project described in this application.

**Q\_13895**

LGE Priorities - Has the project been listed in a countywide shared services plan? Yes/No

**Q\_14219**

If yes, provide the Countywide Shared Services Plan year and how it is a component of a countywide shared services plan.

**Q\_13897**

LGE Priorities - Will the project impact any of the following: Cybersecurity, Emergency Services, Water/Wastewater Operations or Climate Change Mitigation? Yes/No

**Q\_14220**

If yes, provide a summary of how the project will improve cybersecurity for local governments, enhance the delivery of emergency services, share or regionalize water or wastewater operations or help mitigate climate change through shared municipal services.

**Q\_12301**

Is the application for a planning or implementation project? Briefly explain.

**Q\_12302**

Explain the existing municipal conditions that are behind the submission of the application. This may include new financial challenges, service delivery concerns or local government management issues facing involved local governments.

**Q\_12357**

Explain how the financial return on investment will positively impact the involved local governments and taxpayers. Be sure to complete the fiscal impact worksheet in question 12354 and describe the financial benefits to the involved local governments.

**Q\_12294**

Explain the project's impact across municipalities. Specifically will the project impact just two local governments, multiple local governments in the same county, all local governments in the same county, or have an impact across multiple counties.

**Q\_12295**

Explain the complexity of the project in terms of the number of municipal services and functions impacted. Review will be based upon whether the project impacts just a single service, multiple services in a single municipal function or complete a full consolidation of a municipal function.

**Q\_12296**

Explain the impact the project will have on the management and operational structure of the project partners. The review will be based upon whether the change will produce singular improvements to existing organization or management processes, or develop new operational and/or management structures for involved local governments.

**Q\_12300**

Explain the applicants' capacity to complete the project on time. The review will include an assessment of past performance under previous LGE grants, as well as other funding programs.

### Q\_12298

Explain how prepared the applicants are to work together to implement the project. The assessment will include a review of the applicants' commitment to the project, and to the partnership described in the application. Include any municipal resolutions, memoranda of understanding, and intermunicipal agreements that support project implementation.

### Q\_12299

Explain how the applicants will ensure transparency and public participation during project development and implementation. The assessment will include a review of public participation and stakeholder involvement for application development, as well project implementation. Please also describe the public processes needed for implementation.

### Q\_12360

Describe how the requested funding will be used to complete the individual tasks for all work plan objectives identified in the budget attached in Question 12358. Also provide detail on how the requested funds will be sufficient to meet work plan tasks.

### Q\_13611

The NYS Smart Growth Public Infrastructure Policy Act requires that a public infrastructure project meet the relevant smart growth criterion to the extent practicable. Public Infrastructure projects consist of construction or reconstruction of transportation, sewer, wastewater treatment, water, education, housing and other publicly supported infrastructure. Does the proposed project build new public infrastructure, expand public infrastructure or use, maintain, or improve existing public infrastructure?

If you are maintaining or improving existing public infrastructure, please answer "YES". If you are building new public infrastructure or expanding public infrastructure, please answer "YES".

If this this is not applicable to your project, answer "NO".

- This is a conditional question.
  1. If **Yes** is selected then these questions will be displayed:
    - [Q\\_13612](#)
    - [Q\\_13613](#)
    - [Q\\_13614](#)
    - [Q\\_13615](#)
    - [Q\\_13616](#)
    - [Q\\_13617](#)
    - [Q\\_13618](#)
    - [Q\\_13619](#)
    - [Q\\_13620](#)
    - [Q\\_13621](#)
    - [Q\\_13622](#)
    - [Q\\_13623](#)

### Q\_13612

Explain how the proposed project will use, maintain, or improve existing infrastructure.

- This is a conditional question based on the answer to [Q\\_13611](#). This question displays when selecting the answer: "Yes"

### Q\_13613

Please explain the need to build new infrastructure instead of using or improving existing infrastructure. If n/a, please type N/A.

- This is a conditional question based on the answer to [Q\\_13611](#). This question displays when selecting the answer: "Yes"

### Q\_13614

Please explain how the proposed project is located in a municipal center.

- This is a conditional question based on the answer to [Q\\_13611](#). This question displays when selecting the answer: "Yes"

### Q\_13615

Please explain how the proposed project is located in a developed area or an area designated for concentrated infill development in a municipally approved comprehensive land use plan, local waterfront revitalization plan and/or brownfield opportunity area plan. Specifically, explain how your project advances infill development or redevelopment in existing developed areas consistent with an approved plan. Infill development includes redevelopment, rehabilitation and new development between existing buildings on vacant or under-utilized sites.

- This is a conditional question based on the answer to [Q\\_13611](#). This question displays when selecting the answer: "Yes"

### Q\_13616

Please explain how the proposed project will protect, preserve and enhance the State's resources, including agricultural land, forests, surface and groundwater, air quality, recreation and open space, scenic areas, and significant historic and archeological resources. Beyond simply avoiding or minimizing negative environmental impacts, please indicate the resources that may be impacted by your project and how your project will preserve and enhance these resources.

- This is a conditional question based on the answer to [Q\\_13611](#). This question displays when selecting the answer: "Yes"

### Q\_13617

Please explain how the proposed project will foster mixed land uses and compact development, downtown revitalization, Brownfield redevelopment, the enhancement of beauty in public spaces, the diversity and affordability of housing in proximity to places of employment, recreation and commercial development and the integration of all income and age groups. Specifically, explain how your project advances these objectives and improves the quality of life in your community.

- This is a conditional question based on the answer to [Q\\_13611](#). This question displays when selecting the answer: "Yes"

### Q\_13618

Please explain how the proposed project will provide mobility through transportation choices including improved public transportation and reduced automobile dependency. There are many alternatives to automobile transportation. Please explain how your project provides or complements alternatives to automobile travel such as bikes, pedestrians, public transit, air travel or rail travel.

- This is a conditional question based on the answer to [Q\\_13611](#). This question displays when selecting the answer: "Yes"

### Q\_13619

Please explain how the proposed project will involve coordination between state and local government and inter-municipal and regional planning. Identify any interaction between the applicant and any municipal and county governments, planning boards, regional planning associations or similar organizations. Document any outreach by the applicant to these organizations regarding the project and any relevant correspondence.

- This is a conditional question based on the answer to [Q\\_13611](#). This question displays when selecting the answer: "Yes"

### Q\_13620

Please explain how the proposed project will involve participation in community-based planning and collaboration. Specifically, explain how the project results from an inclusive, multi-stakeholder (including traditionally underserved populations) process of community-based planning and collaboration. To assist with your explanation, identify any affected community groups or organizations with an interest in the proposed project and if the planning process involved outreach to citizens and stakeholders at all stages of



development of the project.

- This is a conditional question based on the answer to [Q\\_13611](#). This question displays when selecting the answer: "Yes"

### Q\_13621

Please explain how the proposed project will ensure predictability in building and land use codes. Provide any additional relevant information.

- This is a conditional question based on the answer to [Q\\_13611](#). This question displays when selecting the answer: "Yes"

### Q\_13622

Please explain how the proposed project will promote sustainability by strengthening existing and creating new communities which reduce greenhouse gas emissions and do not compromise the needs of future generations, by among other means encouraging broad based public involvement in developing and implementing a community plan and ensuring the governance structure is adequate to sustain its implementation. Specifically, explain how your project promotes sustainability. For example, does your project include buildings and plans that seek to minimize consumption of fossil fuels (coal, petroleum), reduce water usage / consumption, and encourage the use of renewable energy (wind, solar, and geo-thermal).

- This is a conditional question based on the answer to [Q\\_13611](#). This question displays when selecting the answer: "Yes"

### Q\_13623

Please explain how the proposed project will mitigate future physical climate risk due to sea-level rise, and/or storm surges and/or flooding, based on available data predicting the likelihood of future extreme weather events, including hazard risk analysis data.

Specifically, explain how your project demonstrates that future physical climate risk due to sea-level rise, storm surge and flooding have been considered. For example, have you demonstrated consideration of the flood risk applicable to your specific structure type? Explain how the siting and design have evaluated flood-risk considerations, including, but not limited to, human health and safety, environmental effects, cost, funding-source requirements, feasibility and community impact.

For information on the State Climate Impacts Assessment visit:  
<https://nysclimateimpacts.org/about-the-assessment/what-is-the-assessment/>

For information on implementation of the Community Risk and Resiliency Act visit:  
<https://dec.ny.gov/environmental-protection/climate-change/new-york-response/crra>

- This is a conditional question based on the answer to [Q\\_13611](#). This question displays when selecting the answer: "Yes"

### Q\_1038

By entering your name in the box below, you certify that you are authorized on behalf of the applicant and its governing body to submit this application. You further certify that all of the information contained in this Application and in all statements, data and supporting documents which have been made or furnished for the purpose of receiving assistance for the project described in this application, are true, correct and complete to the best of your knowledge and belief. You acknowledge that offering a written instrument knowing that the written instrument contains a false statement or false information, with the intent to defraud the State or any political subdivision, public authority or public benefit corporation of the State, with the knowledge or belief that it will be filed with or recorded by the State or any political subdivision, public authority or public benefit corporation of the State, constitutes a crime under New York State Law.